

# ParityManager™ Report

Maryland Department of Health

Medicaid - Merged Optum FFS UHC 09142020

October 28, 2020

9 Parity Flags

## **Executive Summary**

The table below displays a count of parity flags for each Standard.

	Parity Flag
Standard 4	0
Standard 5	0
Standard 6	0
Standard 7	0
Standard 8	9
Standard 9	0
Standard 10	0

The table below displays a count of Standards missing information and need additional input.

	Missing Information
Standard 4	Yes
Standard 5	-
Standard 6	Yes
Standard 7	Yes
Standard 8	-
Standard 9	-
Standard 10	Yes

The URAC ParityManager<sup>m</sup> facilitates the processes that a modern parity compliance program needs to have but, as a document management and communications software solution, ParityManager<sup>m</sup> does not in and of itself automatically result in parity compliant operations.

Any parity flags are reported in the table below.

	Parity Flag
Lifetime Dollar Limits	0
Annual Dollar Limits	0

If either section is considered incomplete, it is reported in the table below.

	Missing Information
Lifetime Dollar Limits	Υ
Annual Dollar Limits	Υ

Any parity flags are reported in the table below.

Parity Flag				
Mental Health Substance Use Disorder Medical/Surgical				
Inpatient	-	-	-	
Outpatient - Other	-	-	-	
Outpatient - Office Based	-	-	-	
Emergency Benefits	-	-	-	
Prescription Drugs	-	-	-	

Any classification/category combination considered incomplete is reported in the table below.

Missing Information			
	Mental Health	Substance Use Disorder	Medical/Surgical
Inpatient	-	-	-
Outpatient - Other	-	-	-
Outpatient - Office Based	-	-	-
Emergency Benefits	-	-	-
Prescription Drugs	-	-	-

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Which of the following benefits does this plan cover?

	Mental Health	Substance Use Disorder	Medical/Surgical
Inpatient	Acute Care Services	Inpatient Detoxification hospital	Does not apply
	Ancillary Services	Inpatient Detoxification- IMD (Licensed Intermediate Care Facilities for Addictions in Maryland)	Nursing Facility: Nursing services
	AnesthesiaInpatient	Residential SUD TreatmentASAM 3.1	Nursing Facility: Dietary services
	Electroconvulsive therapy (ECT)inpatient	Residential SUD TreatmentASAM 3.3	Nursing Facility: Physician services
	Patient Consultation	Residential SUD TreatmentASAM 3.5	Nursing Facility: Specialized rehabilitation
	Psychological or neuropsychological testing and evaluationinpatient	Residential SUD TreatmentASAM 3.7	Nursing Facility: Social work
	Individual therapyinpatient	Residential SUD TreatmentASAM 3.7WM (Licensed ASAM 3.7D in Maryland)	Nursing Facility: Activities
	Group therapyinpatient	Inpatient ASAM 4.0	Nursing Facility: Pharmaceutical service
	Family therapyinpatient	Does not apply	Nursing Facility: Laboratory, radiology and other diagnostic testing
	Special Psychiatric Hospital	Nursing Facility: SUD services	Nursing Facility: Dental services
	Residential Treatment Centers	Individual therapy—SUD inpatient	Hospice: Short-term inpatient care
	Does not apply	Group therapy—SUD inpatient	Acute Care Services (Births/Deliveries)
	Nursing Facility MH services	Family therapy—SUD inpatient	Acute Care Services (Medical/Surgical)
		Discharge Day	Amputationsinpatient
		Ancillary Services-SUD	Ancillary Services
			AnesthesiaInpatient
			Bariatric Surgery
			Bypass surgeryinpatient
			Cardiac Procedures (non-emergent) inpatient
			Chemotherapyinpatient

Mental Health	Substance Use Disorder	Medical/Surgical
		Cosmetic ProceduresInpatient
		Diagnostic and X-ray services: CTinpatient
		Diagnostic and X-ray services: MRIinpatient
		Diagnostic and X-ray services: PETinpatient
		Diagnostic and X-ray services: Radiographyinpatient
		DialysisInpatient
		Erectile Dysfunction Procedures
		Eye ProceduresInpatient
		Gender affirmation surgery
		Grafts/Implants
		Occupational Therapyinpatient
		Physical Therapyinpatient
		Speech Therapyinpatient
		Hospice CareInpatient
		Hospital Careinpatient
		Hysterectomy
		Institutional Long Term Care/Skilled Nursing Facility (first 90 days)
		Investigational Surgeries/Clinical Trials inpatient
		Neurostimulators
		Neurosurgical proceduresinpatient
		NICU/Sick baby/Detained baby
		Oncology Servicesinpatient
		Plastic and Restorative Surgery inpatient
		Post-Stabilization Services-inpatient
		Rehabilitation Services (Acute)
		Room and Board
		Spinal Cord Stimulator
		Surgery (nonurgent)inpatient
		Surgery (urgent)inpatient

	Mental Health	Substance Use Disorder	Medical/Surgical
			Transplants
			Transplants Pre and Post Transplant Services
			Transportation between hospitals
			Sterlization servicesinpatient
Outpatient - Other	Laboratory Services	Drug Testing	Applied Behavior Analysis (autism services)primarily in-home
	Mobile treatment-Assertive community treatment (ACT)	Laboratory Services	Does not apply
	Mobile treatment-non-ACT	Does not apply	HIV/AIDS laboratory services
	Targeted Case Management		Augmentive communication devices
	Does not apply		Durable Medical Equipment (DME)/Disposable Medical Supplies (DMS)
			Oxygen
			HH: registered Nurse
			HH: Home Health Aide
			HH: physical therapy
			HH: Occupational therapy
			HH: Speech therapy
			Hospice: nursing services
			Hospice: Medical social services
			Hospice: Medical appliances and supplies
			Hospice: home health and aide services
			PACE: Day health care
			PACE: In-home care
			PACE: Nursing care
			PACE: Personal care
			PACE: Meals
			PACE: Recreation
			PACE: Social work
			PACE: Transportation
			CPAS and CFC: Nurse monitoring
			CPAS and CFC: Personal assistance services

Mental Health	Substance Use Disorder	Medical/Surgical
		CFC: Personal emergency response system
		CFC: Transition services
		CFC: Consumer training
		CFC: home delivered meals
		CFC: assistive technology
		CFC: Accessibility adaptations
		CFC: environmental assessments
		ICS: case management
		ICS: Medical Day Care
		ICS: Nutritionist/Dietician
		ICS: Family training
		ICS: behavioral consultation
		ICS: Assisted living
		ICS: senior Center Plus
		1915c: case management
		1915c: certified Nursing Assistant/Home Health Aide
		1915c: nursing (private duty) (21+ years of age)
		1915c: medical Day Care
		Autism: Family leave (retainer day)
		Autism: Residential habilitation (regular and intensive)
		Autism: Intensive individual support services
		Autism: Therapeutic integration (regular and intensive)
		Autism: adult life planning
		Autism: Respite care
		Autism: family training
		Autism: Environmental accessibility adaption
		Brain Injury: Residential habilitation
		Brain Injury: Day habilitation
		Brain injury: Supported employment

Mental Health	Substance Use Disorder	Medical/Surgical
		Brain injury: Individual support services
		Brain injury: medical Day Care
		HCBS: case management
		HCBS: Medical Day Care
		HCBS: Nutritionist/Dietician
		HCBS: family training
		HCBS: Behavioral consultation
		HCBS: Assisted living
		HCBS: senior Center Plus
		Medical Day Care Waiver with associated services
		Community Pathways and Community Supports waivers: Assistive technology and services
		Community Pathways and Community Supports waivers: Behavioral support services
		Community Pathways and Community Supports waivers: Career exploration
		Community Pathways and Community Supports waivers: Community development
		Community Pathways and Community Supports waivers: Day habilitation
		Community Pathways and Community Supports waivers: Employment discovery and customization
		Community Pathways and Community Supports waivers: Employment services
		Community Pathways and Community Supports waivers: Environmental assessment
		Community Pathways and Community Supports waivers: Environmental modification
		Community Pathways and Community Supports waivers: Family and peer mentoring supports
		Community Pathways and Community Supports waivers: Family caregiver training and empowerment
		Community Pathways and Community Supports waivers: Housing support services

Mental Health	Substance Use Disorder	Medical/Surgical
		Community Pathways and Community Supports waivers: Individual and family directed goods and services
		Community Pathways and Community Supports waivers: Medical Day Care
		Community Pathways and Community Supports waivers: Nurse case management and delegation
		Community Pathways and Community Supports waivers: Nurse consultation
		Community Pathways and Community Supports waivers: Nurse health case management
		Community Pathways and Community Supports waivers: Participant education, training and advocacy
		Community Pathways and Community Supports waivers: Personal supports
		Community Pathways and Community Supports waivers: Respite care services
		Community Pathways and Community Supports waivers: Support broker services
		Community Pathways and Community Supports waivers: Supported employment
		Community Pathways and Community Supports waivers: Transportation
		Community Pathways and Community Supports waivers: Vehicle modification
		Community Pathways only: community living
		Community Pathways only: live-in caregiver supports
		Community Pathways only: Remote support services
		Community Pathways only: Shared living
		Community Pathways only: Support living
		Community Pathways only: transition services
		Family Supports: Assistive technology and services
		Family Supports: Behavioral support services

Mental Health	Substance Use Disorder	Medical/Surgical
		Family Supports: Environmental assessment
		Family Supports: Environmental modification
		Family Supports: Family and peer mentoring supports
		Family Supports: Family caregiver training and empowerment
		Family Supports: Housing support services
		Family Supports: Individual and family directed goods and services
		Family Supports: Nurse case management and delegation
		Family Supports: Nurse consultation
		Family Supports: Participant education, training and advocacy
		Family Supports: Personal supports
		Family Supports: Respite care services
		Family Supports: Support broker services
		Family Supports: Transportation
		Family Supports: Vehicle modification
		HH: Registered Nurse
		Health-related services and targeted case management services provided to children when the services are specified in the child's Individualized Education Plan or Individualized Family Service Plan
		EPSDT: Nursing services (Registered Nurse, Licensed Practical Nurse, Certified Nursing Assistant-Certified Medication Technician)
		Medical Day Care waiver with associated services(16+ years of age):
		(2) Preventive services (semiannual cleanings, fluoride, etc.) - OP OR/ASC;
		(4) Diagnostic services (oral exams, x-rays, sealants, etc.) - OP OR/ASC;
		(6) Restorative services (filings, crowns, etc) - OP OR/ASC;
		(8) Endodontic services (root canals, etc.) - OP OR/ASC;
		(10) Periodontal services (scaling, full mouth debridement, etc.) - OP OR/ASC;

Mental Health	Substance Use Disorder	Medical/Surgical
		(12)Prosthodontics (dentures, etc.) - OP OR/ASC;
		(14) Oral and Maxillofacial surgery services (extractions, etc.) - OP OR/ASC;
		(17) Anesthesia - OP OR/ASC.
		Care Management
		Targeted Case Management
		Diabetic Education
		National Diabetes Prevention Program
		DME/DMS Alternative ApproachFull category; need to identify any NQTLs that apply to any DME/DMS under the broad category. Description of NQTL factors should be more detailed.
		Genetic Testing
		Hepatitis C Virus Genotyping
		Home Health Services: medical supplies used for home heath visit
		Home Health Services: occupational therapy
		Home Health Services: physical therapy
		Home Health Services: skilled nursing services
		Home Health Services: speech pathology services
		Hospice CareOutpatient
		Laboratory Services
		Molecular Pathology Labs
		Neuro-Psychological Testing/Developmental Delay Programs
		Personal Care Services
		Private Duty Nursing
		Remote Patient Monitoring
		Applied Behavior Analysis (autism services)primarily in-home
		HH: Registered Nurse (> 1 visit per day; services rendered during a 30-day period in excess of the Medicaid NF rate)
		HH: Registered Nurse (≤ 1 visit per day; services rendered during a 30-day period that do not exceed the Medicaid NF rate)

	Mental Health	Substance Use Disorder	Medical/Surgical
			HH: Home Health Aide (> 1 visit per day; > 4 hours of service per day; visit w/o skilled nursing)
			HH: Home Health Aide (≤ 1 visit per day; ≤ 4 hours of service per day; visit w/ skilled nursing; services rendered during a 30-day period that do not exceed the Medicaid NF rate)
			HH: Physical therapy (> 1 visit per day; ; services rendered during a 30-day period in excess of the Medicaid NF rate)
			HH: Physical therapy ( $\leq 1$ visit per day; services rendered during a 30-day period that do not exceed the Medicaid NF rate)
			HH: Occupational therapy (> 1 visit per day; services rendered during a 30-day period in excess of the Medicaid NF rate))
			HH: Occupational therapy ( $\leq 1$ visit per day; services rendered during a 30-day period that do not exceed the Medicaid NF rate)
			HH: Speech therapy (> 1 visit per day; services rendered during a 30-day period in excess of the Medicaid NF rate)
			HH: Speech therapy (≤ 1 visit per day; services rendered during a 30-day period that do not exceed the Medicaid NF rate)
			Disposable Medical Supplies (> \$500.00)
			Disposable Medical Supplies (≤ \$500.00)
			Durable Medical Equipment (> \$1,000.00)
			Durable Medical Equipment (≤ \$1,000.00)
			Durable Medical Equipment rental (≤ 3 months)
			Durable Medical Equipment rental (> 3 months)
			Disposable Medical Equipment (w/o HCPCS)
			Durable Medical Equipment (w/o HCPCS)
			Oxygen (w/o HCPCS)
Outpatient - Office Based	Biofeedback	Alcohol and/or drug assessment	Does not apply
	Educational Therapy	Ambulatory detoxification	HH: Physical therapy
	Electroconvulsive therapy (ECT) outpatient	Group therapyoutpatient	HH: Occupational therapy

Mental Health	Substance Use Disorder	Medical/Surgical
Family Psycho-Educational Therapy	Individual therapy outpatient	HH: Speech therapy
Family Therapyoutpatient	Intensive Outpatient (IOP) -ASAM 2.1	Hospice: Physician services
Group therapyoutpatient	MAT Initial Induction: Alcohol/Drug Services; Medical/Somatic (Medical Intervention in Ambulatory Setting)	Hospice: Counseling services (including dietary, spiritual and bereavement)
Health Behavior Assessment	MAT Initial Intake (Evaluation and Management, Including Rx)	Hospice: Physical therapy
Health Behavior Reassessment	MAT Ongoing (Evaluation and Management, including Rx)	Hospice: Occupational therapy
Individual Psycho-Educational Therapy	Partial Hospitalization (PHP)ASAM 2.5	Hospice: Speech therapy
Individual therapyoutpatient	Opioid Treatment Program (OTP) services - including med management	PACE: Medical specialty services (podiatry, psychiatry, dentistry, optometry and audiology)
Intensive outpatient (IOP)	Buprenorphine guest dosing	PACE: Rehabilitation
Mental health assessment	Methadone guest dosing	ICS: Nutritionist/Dietician
Mental health reassessment	Methadone Maintenance	ICS: Behavioral consultation
Multiple family group therapy	Ongoing services (Buprenorphine/Naloxone): Alcohol/Other Drug Abuse Services, Not Otherwise Specified	1915c: Physician participation in plan of care meeting
Partial hospitalization (PHP)	Does not apply	Home and Community Based Options waiver:
Psychiatric Rehabilitation Services	health home services for SUD (opioid addiction) - opioid treatment program	HCBS: nutritionist/Dietician
Psychological or neuropsychological testing and evaluation		HCBS: behavioral consultation
Therapeutic Behavioral Services		HH: physical therapy
Transcranial Magnetic Stimulation (TMS)		1915c: physician participation in plan care meeting
Traumatic Brain Injury (TBI) Day Habilitation		(1) Preventive services (semiannual cleanings, fluoride, etc.) - Clinic;
Evaulation and Management-		(3) Diagnostic services (oral exams, x-rays, sealants, etc.) - Clinic;
Outpatient		rays, sediants, etc.) - chine,

Mental Health	Substance Use Disorder	Medical/Surgical
health home services for MH reasons (serious and persistent mental illness (SPMI) - services by mobile treatment services (MTS) or psychological rehabilitation programs		(7) Endodontic services (root canals, etc.) - Clinic;
		(9) Periodontal services (scaling, full mouth debridement, etc.) - Clinic;
		(11) Prosthodontics (dentures, etc.) - Clinic;
		(13) Oral and Maxillofacial surgery services (extractions, etc.) - Clinic;
		(15) Orthodontic services - Clinic;
		(16) Anesthesia - Clinic; and
		(1) Preventive services (cleanings, fluoride, etc.) - Clinic;
		(2) Diagnostic services (oral exams, x-rays, etc.) - Clinic;
		(3) Restorative services (filings, crowns, etc) - Clinic;
		(4) Endodontic services (root canals, etc.) - Clinic;
		(5) Periodontal services (scaling, full mouth debridement, etc.) - Clinic;
		(6) Prosthodontics (adjustment of dentures, etc.) - Clinic;
		(7) Oral and Maxillofacial surgery services (extractions, etc.) - Clinic; and
		(8) Anesthesia - Clinic.
		Acne Services
		Acupunture
		Amputationsoutpatient
		Audiology Services (hearing loss assessment and treatment)
		Blood/Blood Products
		Bypass surgeryoutpatient
		Capsule Endoscopy
		Cardiac Procedures (non-emergent) outpatient
		Cardiac Rehabilitation
		Chemotherapyoutpatient
		Chiropractic Services

Mental Health	Substance Use Disorder	Medical/Surgical
		Circumcision
		Clinic ServicesFQHC
		Clinic ServicesCoumadin Clinic
		Clinic ServicesSBHC
		Clinic ServicesOther
		Clinic ServicesHeart Failure Clinic
		Clinic ServicesWound Clinic
		Corrective Surgeryoutpatient
		Cosmetic Procedures/Surgeries outpatient
		Dermatology Procedures
		Diagnostic and X-ray services: CT outpatient
		Diagnostic and X-ray services: MRI outpatient
		Diagnostic and X-ray services: PET outpatient
		Diagnostic and X-ray services: Radiographyoutpatient
		Dialysisoutpatient
		Foster Care Evaluation/Check-up for children entering State custody
		Genetic Counseling
		Grafts/Implantsoutpatient
		Hyperbaric Oxygen Therapy
		Infusion/Maintenance Drug Infusion
		Investigational Surgeries/Clinical Trials outpatient
		National Diabetes Prevention Program Services
		Nerve Stimulatoroutpatient
		Neuro-Psychological Testing/Developmental Delay Programs
		Neurosurgical proceduresoutpatient
		Newborn Office Services
		Nutritional Counseling
		OB Ultrasound

	Mental Health	Substance Use Disorder	Medical/Surgical
			Occupational Therapy
			Oncology Treatment
			Oral Surgery Adult
			Oral Surgery Child
			Outpatient hospital care (emergent)
			Outpatient hospital care (non-emergent)
			Outpatient Rehabilitative services
			Outpatient Surgery (Ambulatory Surgery Center)
			Pain Management Procedures
			Pain Management Visits
			Physical Therapy
			Plastic and Restorative Surgery outpatient
			Podiatry Services
			Post-Stabilization Services
			Pregnancy-related Services (OB Care)
			Primary Care
			Proton Therapy Treatment
			Pulmonary Rehab
			Sleep Studies /Sleep Apnea Studies
			Somatic services related to gender dysphoria
			Specialty Care
			Speech Therapyoutpatient
			Sterlization Servicesoutpatient
			Transplants Pre and Post Transplant Servicesoutpatient
			Urgent Care
			Vision Services
			Wound Vacuum-assisted closure (VAC)
			Post-Stabilization Services-outpatient
Emergency Benefits	Emergency Room- All inclusive ancillary services	Emergency Room- All inclusive ancillary services	Emergency Services billed with CDT codes

	Mental Health	Substance Use Disorder	Medical/Surgical
	Emergency Room- Medications	Emergency Room- Medications	Emergency Transportation (Ambulance)
	Emergency RoomBeyond EMTALA Screening	Emergency RoomBeyond EMTALA Screening	Observation Stay up to 24 h
	Emergency RoomEMTALA Screening	Emergency RoomEMTALA Screening	Air Ambulance
	Emergency RoomPost Stabilization Services	Emergency RoomPost Stabilization Services	Emergency TransportBasic Life Suppo
	Emergency RoomStabilization Services	Emergency Room Stabilization Services	Emergency TransportAdvanced Life Support (ALS)
	Emergency Room-Clinical Laboratory	Emergency Room-Clinical Laboratory	Emergency RoomEMTALA Screening
	Emergency Room-General Services	Emergency Room-General Services	Emergency RoomBeyond EMTALA Screening
	Observation Stay24 h	Observation Stay24 h	Emergency Room- All inclusive ancillar services
	Does not apply	Does not apply	Emergency Room-General Services
			Emergency Room-Clinical Laboratory
			Emergency Room- Medications
			Emergency Room-Radiology
			Emergency Room- Dental Services
			Emergency Room-Post-Stabilization Services
			Emergency RoomStabilization Service
rescription rugs	FFS MH Drug	FFS SUD Drug	MCO administered prescription drug
			FFS M/S Drug

Any parity flags found in Standard 6 are reported below. The number of flags per classification and limit type combination is shown.

Parity Flag				
	Deductible	Copay	Coinsurance	Out-of-pocket maximum
Inpatient	-	-	-	-
Outpatient - Other	-	-	-	-
Outpatient - Office Based	-	-	-	-
Emergency Benefits	-	-	-	-
Prescription Drugs	-	-	-	-

Any classification/limit type combination considered incomplete is reported in the table below.

Missing Information				
	Deductible	Copay	Coinsurance	Out-of-pocket maximum
Inpatient	Υ	Υ	Υ	Υ
Outpatient - Other	Υ	Υ	Υ	Υ
Outpatient - Office Based	Υ	Υ	Υ	Υ
<b>Emergency Benefits</b>	Υ	Υ	Υ	Υ
Prescription Drugs	Y	Υ	Y	Y

Any parity flags found in Standard 7 are reported below. The number of flags per classification and limit type combination is shown.

	Parity Flag	Parity Flag		
	Day limits	Hour limits	Visit limits	
Inpatient	-	-	-	
Outpatient - Other	-	-	-	
Outpatient - Office Based	-	-	-	
Emergency Benefits	-	-	-	
Prescription Drugs	-	-	-	

Any classification/limit type combination considered incomplete is reported in the table below.

Missing Information				
	Day limits	Hour limits	Visit limits	
Inpatient	Υ	Υ	Y	
Outpatient - Other	Y	Υ	Υ	
Outpatient - Office Based	Υ	Υ	Υ	
Emergency Benefits	Υ	Υ	Υ	
Prescription Drugs	Υ	Y	Y	

Any parity flags found in Standard 8 are reported below. The number of flags per classification and limit type combination is shown.

Parity Flag				
	Mental Health	Substance Use Disorder	Medical/Surgical	
Inpatient	1	1	-	
Outpatient - Other	1	1	-	
Outpatient - Office Based	1	1	-	
<b>Emergency Benefits</b>	1	2	-	
Prescription Drugs	-	-	-	

Any classification/category/NQTL combination considered incomplete is reported in the table below.

Missing Information					
	Mental Health	Substance Use Disorder	Medical/Surgical		
Inpatient	-	-	-		
Outpatient - Other	-	-	-		
Outpatient - Office Based	-	-	-		
Emergency Benefits	-	-	-		
Prescription Drugs	<del>-</del>	-	<del>-</del>		

Which of the following NQTL types are currently in use?

Red NQTLs should be considered a parity flag.

	Mental Health	Substance Use Disorder	Medical/Surgical	
Emergency Benefits	data collection	Outlier Management	Outlier Management	
	medical necessity	Service Limitations	medical necessity	
		data collection		
		medical necessity		
npatient	Outlier Management	Outlier Management	Outlier Management	
	concurrent review	Service Limitations	Service Limitations	
	data collection	concurrent review	concurrent review	
	fail first requirements/step therapy	data collection	fail first requirements/step therapy	
	medical necessity	fail first requirements/step therapy	medical necessity	
	prior authorization/pre authorization	medical necessity	prior authorization/pre authorization	
		prior authorization/pre authorization		
Outpatient - Other	Outlier Management	Outlier Management	Outlier Management	
	Service Limitations	Service Limitations	Service Limitations	
	concurrent review	concurrent review	concurrent review	
	data collection	data collection	fail first requirements/step therapy	
	fail first requirements/step therapy	fail first requirements/step therapy	medical necessity	
	medical necessity	medical necessity	prior authorization/pre authorization	
	prior authorization/pre authorization	prior authorization/pre authorization		
Outpatient - Office Based	Outlier Management	Outlier Management	Outlier Management	
	Service Limitations	Service Limitations	Service Limitations	
	concurrent review	concurrent review	concurrent review	
	data collection	data collection	fail first requirements/step therapy	
	fail first requirements/step therapy	fail first requirements/step therapy	medical necessity	
	medical necessity	medical necessity	prior authorization/pre authorization	
	prior authorization/pre authorization	prior authorization/pre authorization		
Prescription Drugs	fail first requirements/step therapy	Outlier Management	Outlier Management	
	medical necessity	concurrent review	concurrent review	
	prior authorization/pre authorization	fail first requirements/step therapy	fail first requirements/step therapy	
	prior authorization/pre authorization tiered drug formulary	fail first requirements/step therapy medical necessity	fail first requirements/step therapy formulary design	

Mental Health Substance	e Use Disorder Medical/Surgica	I
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tiered drug formulary

Classification: Emergency Benefits Category: Medical/Surgical

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

## **Outlier Management**

## medical necessity

• Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

• Maryland Medicaid\_Parity Analysis Definitions\_9.10.20.pdf

Classification: Emergency Benefits Category: Mental Health

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

## medical necessity

## data collection

• Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

• Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

Classification: Emergency Benefits Category: Substance Use Disorder

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

## **Outlier Management**

 Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

#### **Service Limitations**

 Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

### medical necessity

 Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

#### data collection

 Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

Classification: Inpatient Category: Medical/Surgical

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

#### **Outlier Management**

## Maryland Medicaid\_Parity Analysis Definitions\_9.10.20.pdf

#### **Service Limitations**

 1.Maryland Medicaid\_Parity Analysis Definitions\_9.10.20.pdf

#### concurrent review

• 1.Maryland Medicaid\_Parity Analysis Definitions\_9.10.20.pdf

## fail first

Maryland
 Medicaid\_Parity Analysis
 Definitions\_7.16.20.pdf

requirements/step therapy

#### medical necessity

• Maryland Medicaid\_Parity Analysis Definitions\_9.10.20.pdf

#### prior authorization/pre authorization

• Maryland Medicaid\_Parity Analysis Definitions\_9.10.20.pdf

Classification: Inpatient Category: Mental Health

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

#### **Outlier Management**

## Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

#### concurrent review

 Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

### fail first

## $requirements/step\ the rapy$

 Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

#### medical necessity

 Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

#### prior authorization/pre authorization

• Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

#### data collection

• Maryland Medicaid\_Parity Analysis Definitions\_9.10.20.pdf

Classification: Inpatient

Category: Substance Use Disorder

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

#### **Outlier Management**

- Maryland Medicaid\_Parity Analysis Definitions\_9.10.20.pdf
- 1.Maryland Medicaid\_Parity Analysis Definitions\_9.10.20.pdf

#### **Service Limitations**

 Maryland Medicaid\_Parity Analysis Definitions\_9.10.20.pdf

#### concurrent review

 Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

## fail first

requirements/step therapyMarylandMedicaid\_Parity Analysis

 $Definitions\_7.16.20.pdf$ 

#### medical necessity

• Maryland Medicaid\_Parity Analysis Definitions\_9.10.20.pdf

### prior authorization/pre authorization

• Maryland Medicaid\_Parity Analysis Definitions 9.10.20.pdf

#### data collection

• Maryland Medicaid\_Parity Analysis Definitions 9.10.20.pdf

Classification: Outpatient - Other Category: Medical/Surgical

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

#### **Outlier Management**

## Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

#### **Service Limitations**

 Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

#### concurrent review

 Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

## fail first

 Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

requirements/step therapy

#### medical necessity

• Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

#### prior authorization/pre authorization

• Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

Classification: Outpatient - Other Category: Mental Health

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

#### **Outlier Management**

## Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

#### **Service Limitations**

 Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

## concurrent review

 Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

## fail first

requirements/step therapyMarylandMedicaid\_Parity AnalysisDefinitions\_7.16.20.pdf

#### medical necessity

 Maryland Medicaid\_Parity Analysis Definitions 9.10.20.pdf

#### prior authorization/pre authorization

Maryland Medicaid\_Parity Analysis
 Definitions 7.16.20.pdf

#### data collection

 Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

Classification: Outpatient - Other Category: Substance Use Disorder

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

#### **Outlier Management**

 Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

#### **Service Limitations**

 Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

#### concurrent review

 Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

## fail first

requirements/step therapyMarylandMedicaid\_Parity AnalysisDefinitions\_7.16.20.pdf

#### medical necessity

 Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

#### prior authorization/pre authorization

Maryland Medicaid\_Parity Analysis
 Definitions 7.16.20.pdf

#### data collection

 Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

Classification: Outpatient - Office Based Category: Medical/Surgical

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

#### **Outlier Management**

## Maryland Medicaid\_Parity Analysis Definitions\_9.10.20.pdf

#### **Service Limitations**

 Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

#### concurrent review

 Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

## fail first

requirements/step therapy
 Maryland
 Medicaid\_Parity Analysis
 Definitions\_7.16.20.pdf

### medical necessity

• Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

#### prior authorization/pre authorization

• Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

Classification: Outpatient - Office Based

Category: Mental Health

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

#### **Outlier Management**

## Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

#### **Service Limitations**

 Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

## concurrent review

 Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

## fail first

Maryland
 Medicaid\_Parity Analysis
 Definitions\_7.16.20.pdf

requirements/step therapy

#### medical necessity

 Maryland Medicaid\_Parity Analysis Definitions 7.16.20.pdf

#### prior authorization/pre authorization

Maryland Medicaid\_Parity Analysis
 Definitions 7.16.20.pdf

#### data collection

 Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

Classification: Outpatient - Office Based Category: Substance Use Disorder

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

#### **Outlier Management**

## Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

#### **Service Limitations**

 Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

#### concurrent review

 Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

## fail first

requirements/step therapyMarylandMedicaid\_Parity AnalysisDefinitions\_7.16.20.pdf

#### medical necessity

 Maryland Medicaid\_Parity Analysis Definitions 7.16.20.pdf

#### prior authorization/pre authorization

Maryland Medicaid\_Parity Analysis
 Definitions 7.16.20.pdf

#### data collection

 Maryland Medicaid\_Parity Analysis Definitions\_9.10.20.pdf

Classification: Prescription Drugs Category: Medical/Surgical

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

#### **Outlier Management**

 Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

#### concurrent review

 Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

# fail first

requirements/step therapyMarylandMedicaid\_Parity AnalysisDefinitions\_7.16.20.pdf

#### medical necessity

 Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

#### prior authorization/pre authorization

 Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

## tiered drug formulary

Maryland Medicaid\_Parity Analysis
 Definitions 7.16.20.pdf

#### formulary design

 Maryland Medicaid\_Parity Analysis Definitions 7.16.20.pdf

Classification: Prescription Drugs Category: Mental Health

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

# fail first requirements/step therapy

 Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

#### medical necessity

 Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

# prior authorization/pre authorization

 Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

## tiered drug formulary

 Maryland Medicaid\_Parity Analysis Definitions\_9.10.20.pdf

Classification: Prescription Drugs Category: Substance Use Disorder

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

#### **Outlier Management**

# Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

#### concurrent review

 Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

#### fail first

# requirements/step therapy

 Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

### medical necessity

 Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

#### prior authorization/pre authorization

• Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

#### tiered drug formulary

• Maryland Medicaid\_Parity Analysis Definitions\_9.10.20.pdf

NQTL Type: Outlier Management Classification: Emergency Benefits

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

Mental Health

Substance Use Disorder

Does not apply

- Air Ambulance
- Emergency Room- All inclusive ancillary services
- Emergency Room- Dental Services
- Emergency Room- Medications
- Emergency Room--Beyond EMTALA Screening
- Emergency Room--EMTALA Screening
- Emergency Room--Stabilization Services
- Emergency Room-Clinical Laboratory
- Emergency Room-General Services
- Emergency Room-Post-Stabilization Services
- Emergency Room-Radiology
- Emergency Transport--Advanced Life Support (ALS)
- Emergency Transport--Basic Life Support
- Observation Stay up to 24 h

NQTL Type: Outlier Management Classification: Inpatient

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

#### Mental Health

- · Acute Care Services
- Nursing Facility MH services
- Residential Treatment Centers
- · Special Psychiatric Hospital

#### Substance Use Disorder

- Inpatient ASAM 4.0
- Inpatient Detoxification--hospital
- Inpatient Detoxification-IMD
   (Licensed Intermediate Care Facilities for Addictions in Maryland)
- Nursing Facility: SUD services
- Residential SUD Treatment--ASAM
   3.1
- Residential SUD Treatment--ASAM
   3.3
- Residential SUD Treatment--ASAM
   3.5
- Residential SUD Treatment--ASAM
   3 7
- Residential SUD Treatment--ASAM 3.7WM (Licensed ASAM 3.7D in Maryland)

- Acute Care Services (Births/Deliveries)
- Acute Care Services (Medical/Surgical)
- Amputations--inpatient
- Ancillary Services
- Anesthesia--Inpatient
- Bariatric Surgery
- Bypass surgery--inpatient
- Cardiac Procedures (non-emergent)--inpatient
- Chemotherapy--inpatient
- Corrective Surgery--inpatient
- Cosmetic Procedures--Inpatient
- Diagnostic and X-ray services: CT-inpatient
- Diagnostic and X-ray services: MRI-inpatient
- Diagnostic and X-ray services: PET-inpatient
- Diagnostic and X-ray services: Radiography--inpatient
- Dialysis--Inpatient
- Erectile Dysfunction Procedures
- Eye Procedures--Inpatient
- Gender affirmation surgery
- Grafts/Implants
- Hospice Care--Inpatient
- Hospital Care--inpatient
- Hysterectomy
- Institutional Long Term Care/Skilled Nursing Facility (first 90 days)
- Investigational Surgeries/Clinical Trials--inpatient
- · NICU/Sick baby/Detained baby
- Neurostimulators
- Neurosurgical procedures--inpatient
- Occupational Therapy--inpatient
- Oncology Services--inpatient
- Physical Therapy--inpatient
- Plastic and Restorative Surgery-inpatient
- Post-Stabilization Services-inpatient
- Rehabilitation Services (Acute)
- Room and Board
- Speech Therapy--inpatient
- Spinal Cord Stimulator
- Sterlization services--inpatient
- Surgery (nonurgent)--inpatient

- Surgery (urgent)--inpatient
- Transplants
- Transplants Pre and Post Transplant Services
- Transportation between hospitals

NQTL Type: Outlier Management Classification: Outpatient - Other

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

#### Mental Health

- Laboratory Services
- Mobile treatment-Assertive community treatment (ACT)
- Mobile treatment-non-ACT
- Targeted Case Management

#### Substance Use Disorder

- Drug Testing
- · Laboratory Services

- Community Pathways and Community Supports waivers: Participant education, training and advocacy
- Community Pathways and Community Supports waivers: Personal supports
- Community Pathways and Community Supports waivers: Respite care services
- Community Pathways and Community Supports waivers: Support broker services
- Community Pathways and Community Supports waivers: Supported employment
- Community Pathways and Community Supports waivers: Transportation
- Community Pathways and Community Supports waivers: Vehicle modification
- Community Pathways and Community Supports waivers: Behavioral support services
- Community Pathways and Community Supports waivers: Career exploration
- Community Pathways and Community Supports waivers: Community development
- Community Pathways and Community Supports waivers: Day habilitation
- Community Pathways and Community Supports waivers: Employment discovery and customization
- Community Pathways and Community Supports waivers: Employment services
- Community Pathways and Community Supports waivers: Environmental assessment
- Community Pathways and Community Supports waivers: Environmental modification
- Community Pathways and Community Supports waivers:

- Family and peer mentoring supports
- Community Pathways and Community Supports waivers: Family caregiver training and empowerment
- Community Pathways and Community Supports waivers: Housing support services
- Community Pathways and Community Supports waivers: Individual and family directed goods and services
- Community Pathways and Community Supports waivers: Medical Day Care
- Community Pathways and Community Supports waivers: Nurse case management and delegation
- Community Pathways and Community Supports waivers: Nurse consultation
- Community Pathways and Community Supports waivers: Nurse health case management
- Family Supports: Environmental assessment
- Family Supports: Environmental modification
- Family Supports: Family and peer mentoring supports
- Family Supports: Family caregiver training and empowerment
- Family Supports: Housing support services
- Family Supports: Individual and family directed goods and services
- Family Supports: Nurse case management and delegation
- Family Supports: Participant education, training and advocacy
- Family Supports: Personal supports
- Family Supports: Support broker services
- Family Supports: Transportation
- Family Supports: Vehicle modification
- Autism: Family leave (retainer day)
- Autism: Intensive individual support services
- Autism: Residential habilitation (regular and intensive)
- Autism: Respite care
- Autism: Therapeutic integration (regular and intensive)
- Autism: adult life planning
- · Autism: family training
- Brain Injury: Day habilitation
- Brain Injury: Residential habilitation
- Brain injury: Supported employment
- Brain injury: medical Day Care

- Community Pathways and Community Supports waivers: Assistive technology and services
- Family Supports: Assistive technology and services
- Family Supports: Behavioral support services
- Family Supports: Nurse consultation
- Family Supports: Respite care services
- (10) Periodontal services (scaling, full mouth debridement, etc.) - OP OR/ASC;
- (12)Prosthodontics (dentures, etc.) -OP OR/ASC;
- (14) Oral and Maxillofacial surgery services (extractions, etc.) - OP OR/ASC;
- (17) Anesthesia OP OR/ASC.
- (2) Preventive services (semiannual cleanings, fluoride, etc.) - OP OR/ASC;
- (4) Diagnostic services (oral exams, x-rays, sealants, etc.) OP OR/ASC;
- (6) Restorative services (filings, crowns, etc) - OP OR/ASC;
- (8) Endodontic services (root canals, etc.) - OP OR/ASC;
- Applied Behavior Analysis (autism services)--primarily in-home
- Applied Behavior Analysis (autism services)--primarily in-home
- Autism: Environmental accessibility adaption
- Brain injury: Individual support services
- CFC: home delivered meals
- CPAS and CFC: Personal assistance services
- Community Pathways only: Remote support services
- Community Pathways only: Shared living
- Community Pathways only: Support living
- Community Pathways only: community living
- Community Pathways only: live-in caregiver supports
- Community Pathways only: transition services
- DME/DMS Alternative Approach--Full category; need to identify any NQTLs that apply to any DME/DMS under the broad category.
   Description of NQTL factors should be more detailed.
- Diabetic Education
- Durable Medical Equipment (DME)/Disposable Medical Supplies

(DMS)

- EPSDT: Nursing services (Registered Nurse, Licensed Practical Nurse, Certified Nursing Assistant-Certified Medication Technician)
- Genetic Testing
- HCBS: case management
- HH: Home Health Aide
- HH: Occupational therapy
- HH: Registered Nurse
- HH: Speech therapy
- HH: physical therapy
- HH: registered Nurse
- · Hepatitis C Virus Genotyping
- Home Health Services: medical supplies used for home heath visit
- Home Health Services: occupational therapy
- Home Health Services: physical therapy
- Home Health Services: skilled nursing services
- Home Health Services: speech pathology services
- Hospice Care--Outpatient
- ICS: case management
- Laboratory Services
- Medical Day Care waiver with associated services(16+ years of age):
- Molecular Pathology Labs
- National Diabetes Prevention Program
- Neuro-Psychological Testing/Developmental Delay Programs
- Oxygen
- Personal Care Services
- Private Duty Nursing
- Remote Patient Monitoring

NQTL Type: Outlier Management Classification: Outpatient - Office Based

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

#### Mental Health

- Biofeedback
- Electroconvulsive therapy (ECT)-outpatient
- Evaulation and Management-Outpatient
- Family Psycho-Educational Therapy
- Family Therapy--outpatient
- Group therapy--outpatient
- · Health Behavior Assessment
- · Health Behavior Reassessment
- Individual Psycho-Educational Therapy
- · Individual therapy--outpatient
- Intensive outpatient (IOP)
- Mental health assessment
- · Mental health reassessment
- Multiple family group therapy
- Partial hospitalization (PHP)
- Psychiatric Rehabilitation Services
- Psychological or neuropsychological testing and evaluation
- Therapeutic Behavioral Services
- Traumatic Brain Injury (TBI) Day Habilitation

## Substance Use Disorder

- · Alcohol and/or drug assessment
- Ambulatory detoxification
- · Group therapy--outpatient
- Individual therapy--outpatient
- Intensive Outpatient (IOP)--ASAM 2.1
- MAT Initial Induction: Alcohol/Drug Services; Medical/Somatic (Medical Intervention in Ambulatory Setting)
- MAT Initial Intake (Evaluation and Management, Including Rx)
- MAT Ongoing (Evaluation and Management, including Rx)
- Opioid Treatment Program (OTP) services - including med management
- Partial Hospitalization (PHP)--ASAM
   2.5

- Acne Services
- Acupunture
- Amputations--outpatient
- Audiology Services (hearing loss assessment and treatment)
- Blood/Blood Products
- Bypass surgery--outpatient
- Capsule Endoscopy
- Cardiac Procedures (non-emergent)--outpatient
- Cardiac Rehabilitation
- Chemotherapy--outpatient
- Chiropractic Services
- Circumcision
- · Clinic Services--Coumadin Clinic
- Clinic Services--FQHC
- Clinic Services--Heart Failure Clinic
- · Clinic Services--Other
- Clinic Services--SBHC
- Clinic Services--Wound Clinic
- Corrective Surgery--outpatient
- Cosmetic Procedures/Surgeries-outpatient
- Dermatology Procedures
- Diagnostic and X-ray services: CT-outpatient
- Diagnostic and X-ray services: MRI-outpatient
- Diagnostic and X-ray services: PET-outpatient
- Diagnostic and X-ray services: Radiography--outpatient
- Dialysis--outpatient
- Foster Care Evaluation/Check-up for children entering State custody
- Genetic Counseling
- Grafts/Implants--outpatient
- HH: Occupational therapy
- HH: Physical therapy
- HH: Speech therapy
- HH: physical therapy
- Hyperbaric Oxygen Therapy
- Infusion/Maintenance Drug Infusion
- Investigational Surgeries/Clinical Trials--outpatient
- National Diabetes Prevention Program Services
- Nerve Stimulator--outpatient

- Neuro-Psychological Testing/Developmental Delay Programs
- Neurosurgical procedures-outpatient
- Newborn Office Services
- · Nutritional Counseling
- OB Ultrasound
- Occupational Therapy
- Oncology Treatment
- Oral Surgery Adult
- Outpatient Rehabilitative services
- Outpatient Surgery (Ambulatory Surgery Center)
- Outpatient hospital care (emergent)
- Outpatient hospital care (nonemergent)
- PACE: Medical specialty services (podiatry, psychiatry, dentistry, optometry and audiology)
- PACE: Rehabilitation
- Pain Management Procedures
- Pain Management Visits
- Physical Therapy
- Plastic and Restorative Surgery-outpatient
- Podiatry Services
- Post-Stabilization Services
- Post-Stabilization Servicesoutpatient
- Pregnancy-related Services (OB Care)
- Primary Care
- Proton Therapy Treatment
- · Pulmonary Rehab
- Sleep Studies /Sleep Apnea Studies
- Somatic services related to gender dysphoria
- Specialty Care
- Speech Therapy--outpatient
- Sterlization Services--outpatient
- Transplants Pre and Post Transplant Services--outpatient
- Urgent Care
- Vision Services
- Wound Vacuum-assisted closure (VAC)

NQTL Type: Outlier Management Classification: Prescription Drugs

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

Mental Health

Substance Use Disorder

FFS SUD Drug

Medical/Surgical

• MCO administered prescription drug

NQTL Type: medical necessity Classification: Emergency Benefits

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

Mental Health

· Does not apply

Substance Use Disorder

Does not apply

- Air Ambulance
- Emergency Room- All inclusive ancillary services
- Emergency Room- Dental Services
- Emergency Room- Medications
- Emergency Room--Beyond EMTALA Screening
- Emergency Room--EMTALA Screening
- Emergency Room--Stabilization Services
- Emergency Room-Clinical Laboratory
- Emergency Room-General Services
- Emergency Room-Post-Stabilization Services
- Emergency Room-Radiology
- Emergency Transport--Advanced Life Support (ALS)
- Emergency Transport--Basic Life Support
- Observation Stay up to 24 h

NQTL Type: medical necessity Classification: Inpatient

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

#### Mental Health

- Acute Care Services
- · Ancillary Services
- Anesthesia--Inpatient
- Electroconvulsive therapy (ECT)-inpatient
- Family therapy--inpatient
- · Group therapy--inpatient
- Individual therapy--inpatient
- · Nursing Facility MH services
- Patient Consultation
- Psychological or neuropsychological testing and evaluation--inpatient
- Residential Treatment Centers
- · Special Psychiatric Hospital

## Substance Use Disorder

- Ancillary Services-SUD
- Discharge Day
- Family therapy—SUD inpatient
- Group therapy—SUD inpatient
- Individual therapy—SUD inpatient
- Inpatient ASAM 4.0
- Inpatient Detoxification--hospital
- Inpatient Detoxification-IMD
   (Licensed Intermediate Care Facilities for Addictions in Maryland)
- Nursing Facility: SUD services
- Residential SUD Treatment--ASAM
   3 1
- Residential SUD Treatment--ASAM
   3 3
- Residential SUD Treatment--ASAM
   3.5
- Residential SUD Treatment--ASAM
   3 7
- Residential SUD Treatment--ASAM 3.7WM (Licensed ASAM 3.7D in Maryland)

- Acute Care Services (Births/Deliveries)
- Acute Care Services (Medical/Surgical)
- · Amputations--inpatient
- Ancillary Services
- Anesthesia--Inpatient
- Bariatric Surgery
- Bypass surgery--inpatient
- Cardiac Procedures (non-emergent)--inpatient
- Chemotherapy--inpatient
- Corrective Surgery--inpatient
- Cosmetic Procedures--Inpatient
- Diagnostic and X-ray services: CT-inpatient
- Diagnostic and X-ray services: MRI-inpatient
- Diagnostic and X-ray services: PET-inpatient
- Diagnostic and X-ray services: Radiography--inpatient
- Dialysis--Inpatient
- Erectile Dysfunction Procedures
- Eye Procedures--Inpatient
- Gender affirmation surgery
- Grafts/Implants
- Hospice Care--Inpatient
- · Hospice: Short-term inpatient care
- Hospital Care--inpatient
- Hysterectomy
- Institutional Long Term Care/Skilled Nursing Facility (first 90 days)
- Investigational Surgeries/Clinical Trials--inpatient
- NICU/Sick baby/Detained baby
- Neurostimulators
- Neurosurgical procedures--inpatient
- Nursing Facility: Activities
- Nursing Facility: Dental services
- Nursing Facility: Dietary services
- Nursing Facility: Laboratory, radiology and other diagnostic testing
- Nursing Facility: Nursing services
- Nursing Facility: Pharmaceutical services
- Nursing Facility: Physician services
- Nursing Facility: Social work

- Nursing Facility: Specialized rehabilitation
- Occupational Therapy--inpatient
- Oncology Services--inpatient
- Physical Therapy--inpatient
- Plastic and Restorative Surgery--inpatient
- Post-Stabilization Services-inpatient
- Rehabilitation Services (Acute)
- Room and Board
- Speech Therapy--inpatient
- Spinal Cord Stimulator
- Sterlization services--inpatient
- Surgery (nonurgent)--inpatient
- Surgery (urgent)--inpatient
- Transplants
- Transplants Pre and Post Transplant Services
- Transportation between hospitals

NQTL Type: medical necessity Classification: Outpatient - Other

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

#### Mental Health

- Laboratory Services
- Mobile treatment-Assertive community treatment (ACT)
- Mobile treatment-non-ACT
- Targeted Case Management

#### Substance Use Disorder

- · Drug Testing
- · Laboratory Services

- Community Pathways and Community Supports waivers: Participant education, training and advocacy
- Community Pathways and Community Supports waivers: Personal supports
- Community Pathways and Community Supports waivers: Respite care services
- Community Pathways and Community Supports waivers: Support broker services
- Community Pathways and Community Supports waivers: Supported employment
- Community Pathways and Community Supports waivers: Transportation
- Community Pathways and Community Supports waivers: Vehicle modification
- Community Pathways and Community Supports waivers: Behavioral support services
- Community Pathways and Community Supports waivers: Career exploration
- Community Pathways and Community Supports waivers: Community development
- Community Pathways and Community Supports waivers: Day habilitation
- Community Pathways and Community Supports waivers: Employment discovery and customization
- Community Pathways and Community Supports waivers: Employment services
- Community Pathways and Community Supports waivers: Environmental assessment
- Community Pathways and Community Supports waivers: Environmental modification
- Community Pathways and Community Supports waivers:

- Family and peer mentoring supports
- Community Pathways and Community Supports waivers: Family caregiver training and empowerment
- Community Pathways and Community Supports waivers: Housing support services
- Community Pathways and Community Supports waivers: Individual and family directed goods and services
- Community Pathways and Community Supports waivers: Medical Day Care
- Community Pathways and Community Supports waivers: Nurse case management and delegation
- Community Pathways and Community Supports waivers: Nurse consultation
- Community Pathways and Community Supports waivers: Nurse health case management
- Family Supports: Environmental assessment
- Family Supports: Environmental modification
- Family Supports: Family and peer mentoring supports
- Family Supports: Family caregiver training and empowerment
- Family Supports: Housing support services
- Family Supports: Individual and family directed goods and services
- Family Supports: Nurse case management and delegation
- Family Supports: Participant education, training and advocacy
- Family Supports: Personal supports
- Family Supports: Support broker services
- Family Supports: Transportation
- Family Supports: Vehicle modification
- Autism: Family leave (retainer day)
- Autism: Intensive individual support services
- Autism: Residential habilitation (regular and intensive)
- Autism: Respite care
- Autism: Therapeutic integration (regular and intensive)
- Autism: adult life planning
- Autism: family training
- Brain Injury: Day habilitation
- Brain Injury: Residential habilitation
- Brain injury: Supported employment
- Brain injury: medical Day Care

- Community Pathways and Community Supports waivers: Assistive technology and services
- Family Supports: Assistive technology and services
- Family Supports: Behavioral support services
- Family Supports: Nurse consultation
- Family Supports: Respite care services
- (10) Periodontal services (scaling, full mouth debridement, etc.) - OP OR/ASC;
- (12)Prosthodontics (dentures, etc.) -OP OR/ASC;
- (14) Oral and Maxillofacial surgery services (extractions, etc.) - OP OR/ASC;
- (17) Anesthesia OP OR/ASC.
- (2) Preventive services (semiannual cleanings, fluoride, etc.) - OP OR/ASC;
- (4) Diagnostic services (oral exams, x-rays, sealants, etc.) OP OR/ASC;
- (6) Restorative services (filings, crowns, etc) - OP OR/ASC;
- (8) Endodontic services (root canals, etc.) - OP OR/ASC;
- 1915c: case management
- 1915c: certified Nursing Assistant/Home Health Aide
- 1915c: medical Day Care
- 1915c: nursing (private duty) (21+ years of age)
- Applied Behavior Analysis (autism services)--primarily in-home
- Applied Behavior Analysis (autism services)--primarily in-home
- Augmentive communication devices
- Autism: Environmental accessibility adaption
- Brain injury: Individual support services
- CFC: Accessibility adaptations
- CFC: Consumer training
- CFC: Personal emergency response system
- CFC: Transition services
- CFC: assistive technology
- CFC: environmental assessments
- CFC: home delivered meals
- CPAS and CFC: Nurse monitoring
- CPAS and CFC: Personal assistance services
- Care Management
- Community Pathways only: Remote support services
- Community Pathways only: Shared living

- Community Pathways only: Support living
- Community Pathways only: community living
- Community Pathways only: live-in caregiver supports
- Community Pathways only: transition services
- DME/DMS Alternative Approach--Full category; need to identify any NQTLs that apply to any DME/DMS under the broad category.
   Description of NQTL factors should be more detailed.
- Diabetic Education
- Durable Medical Equipment (DME)/Disposable Medical Supplies (DMS)
- EPSDT: Nursing services (Registered Nurse, Licensed Practical Nurse, Certified Nursing Assistant-Certified Medication Technician)
- · Genetic Testing
- · HCBS: Assisted living
- · HCBS: Behavioral consultation
- HCBS: Medical Day Care
- HCBS: Nutritionist/Dietician
- HCBS: case management
- · HCBS: family training
- HCBS: senior Center Plus
- HH: Home Health Aide
- HH: Occupational therapy
- HH: Registered Nurse
- HH: Speech therapy
- · HH: physical therapy
- · HH: registered Nurse
- HIV/AIDS laboratory services
- Health-related services and targeted case management services provided to children when the services are specified in the child's Individualized Education Plan or Individualized Family Service Plan
- Hepatitis C Virus Genotyping
- Home Health Services: medical supplies used for home heath visit
- Home Health Services: occupational therapy
- Home Health Services: physical therapy
- Home Health Services: skilled nursing services
- Home Health Services: speech pathology services
- Hospice Care--Outpatient
- Hospice: Medical appliances and supplies
- Hospice: Medical social services
- Hospice: home health and aide services

- · Hospice: nursing services
- ICS: Assisted living
- · ICS: Family training
- ICS: Medical Day Care
- ICS: Nutritionist/Dietician
- ICS: behavioral consultation
- ICS: case management
- ICS: senior Center Plus
- Laboratory Services
- Medical Day Care Waiver with associated services
- Medical Day Care waiver with associated services(16+ years of age):
- Molecular Pathology Labs
- National Diabetes Prevention Program
- Neuro-Psychological Testing/Developmental Delay Programs
- Oxygen
- PACE: Day health care
- PACE: In-home care
- PACE: Meals
- PACE: Nursing care
- PACE: Personal care
- PACE: Recreation
- PACE: Social work
- PACE: Transportation
- Personal Care Services
- · Private Duty Nursing
- Remote Patient Monitoring
- Targeted Case Management

NQTL Type: medical necessity Classification: Outpatient - Office Based

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

#### Mental Health

- Biofeedback
- Educational Therapy
- Electroconvulsive therapy (ECT)-outpatient
- Evaulation and Management-Outpatient
- Family Psycho-Educational Therapy
- Family Therapy--outpatient
- · Group therapy--outpatient
- · Health Behavior Assessment
- · Health Behavior Reassessment
- Individual Psycho-Educational Therapy
- · Individual therapy--outpatient
- Intensive outpatient (IOP)
- · Mental health assessment
- Mental health reassessment
- Multiple family group therapy
- Partial hospitalization (PHP)
- Psychiatric Rehabilitation Services
- Psychological or neuropsychological testing and evaluation
- Therapeutic Behavioral Services
- Transcranial Magnetic Stimulation (TMS)
- Traumatic Brain Injury (TBI) Day Habilitation
- health home services for MH reasons (serious and persistent mental illness (SPMI) - services by mobile treatment services (MTS) or psychological rehabilitation programs

#### Substance Use Disorder

- · Alcohol and/or drug assessment
- · Ambulatory detoxification
- · Buprenorphine guest dosing
- Group therapy--outpatient
- Individual therapy--outpatient
- Intensive Outpatient (IOP)--ASAM
   2.1
- MAT Initial Induction: Alcohol/Drug Services; Medical/Somatic (Medical Intervention in Ambulatory Setting)
- MAT Initial Intake (Evaluation and Management, Including Rx)
- MAT Ongoing (Evaluation and Management, including Rx)
- Methadone Maintenance
- · Methadone guest dosing
- Ongoing services
   (Buprenorphine/Naloxone):
   Alcohol/Other Drug Abuse Services,
   Not Otherwise Specified
- Opioid Treatment Program (OTP) services - including med management
- Partial Hospitalization (PHP)--ASAM
- health home services for SUD (opioid addiction) - opioid treatment program

- (15) Orthodontic services Clinic;
- (1) Preventive services (cleanings, fluoride, etc.) Clinic;
- (1) Preventive services (semiannual cleanings, fluoride, etc.) Clinic;
- (11) Prosthodontics (dentures, etc.)
   Clinic:
- (13) Oral and Maxillofacial surgery services (extractions, etc.) - Clinic;
- (16) Anesthesia Clinic; and
- (2) Diagnostic services (oral exams, x-rays, etc.) - Clinic;
- (3) Diagnostic services (oral exams, x-rays, sealants, etc.) - Clinic;
- (3) Restorative services (filings, crowns, etc) Clinic;
- (4) Endodontic services (root canals, etc.) Clinic;
- (5) Periodontal services (scaling, full mouth debridement, etc.) - Clinic;
- (5) Restorative services (filings, crowns, etc) Clinic;
- (6) Prosthodontics (adjustment of dentures, etc.) Clinic;
- (7) Endodontic services (root canals, etc.) Clinic;
- (7) Oral and Maxillofacial surgery services (extractions, etc.) - Clinic; and
- (8) Anesthesia Clinic.
- (9) Periodontal services (scaling, full mouth debridement, etc.) Clinic;
- 1915c: Physician participation in plan of care meeting
- 1915c: physician participation in plan of care meeting
- Acne Services
- Acupunture
- · Amputations--outpatient
- Audiology Services (hearing loss assessment and treatment)
- Blood/Blood Products
- Bypass surgery--outpatient
- Capsule Endoscopy
- Cardiac Procedures (non-emergent)

   outpatient
- Cardiac Rehabilitation
- Chemotherapy--outpatient
- Chiropractic Services

- Circumcision
- Clinic Services--Coumadin Clinic
- Clinic Services--FQHC
- Clinic Services--Heart Failure Clinic
- · Clinic Services--Other
- · Clinic Services--SBHC
- Clinic Services--Wound Clinic
- Corrective Surgery--outpatient
- Cosmetic Procedures/Surgeries-outpatient
- Dermatology Procedures
- Diagnostic and X-ray services: CT-outpatient
- Diagnostic and X-ray services: MRI-outpatient
- Diagnostic and X-ray services: PET-outpatient
- Diagnostic and X-ray services: Radiography--outpatient
- · Dialysis--outpatient
- Foster Care Evaluation/Check-up for children entering State custody
- · Genetic Counseling
- · Grafts/Implants--outpatient
- HCBS: behavioral consultation
- HCBS: nutritionist/Dietician
- HH: Occupational therapy
- HH: Physical therapy
- HH: Speech therapy
- HH: physical therapy
- Home and Community Based Options waiver:
- Hospice: Counseling services (including dietary, spiritual and bereavement)
- Hospice: Occupational therapy
- Hospice: Physical therapy
- Hospice: Physician services
- Hospice: Speech therapy
- Hyperbaric Oxygen Therapy
- ICS: Behavioral consultation
- ICS: Nutritionist/Dietician
- Infusion/Maintenance Drug Infusion
- Investigational Surgeries/Clinical Trials--outpatient
- National Diabetes Prevention Program Services
- Nerve Stimulator--outpatient
- Neuro-Psychological Testing/Developmental Delay Programs
- Neurosurgical procedures-outpatient
- Newborn Office Services
- Nutritional Counseling
- · OB Ultrasound
- Occupational Therapy
- Oncology Treatment
- Oral Surgery Adult
- Oral Surgery Child

- Outpatient Rehabilitative services
- Outpatient Surgery (Ambulatory Surgery Center)
- Outpatient hospital care (emergent)
- Outpatient hospital care (nonemergent)
- PACE: Medical specialty services (podiatry, psychiatry, dentistry, optometry and audiology)
- PACE: Rehabilitation
- Pain Management Procedures
- Pain Management Visits
- Physical Therapy
- Plastic and Restorative Surgery-outpatient
- Podiatry Services
- Post-Stabilization Services
- Post-Stabilization Servicesoutpatient
- Pregnancy-related Services (OB Care)
- Primary Care
- Proton Therapy Treatment
- Pulmonary Rehab
- Sleep Studies /Sleep Apnea Studies
- Somatic services related to gender dysphoria
- Specialty Care
- Speech Therapy--outpatient
- Sterlization Services--outpatient
- Transplants Pre and Post Transplant Services--outpatient
- Urgent Care
- Vision Services
- Wound Vacuum-assisted closure (VAC)

NQTL Type: medical necessity Classification: Prescription Drugs

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

Mental Health

• FFS MH Drug

Substance Use Disorder

FFS SUD Drug

- FFS M/S Drug
- MCO administered prescription drug

NQTL Type: data collection Classification: Emergency Benefits

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

Mental Health

Substance Use Disorder

Medical/Surgical

• Does not apply

NQTL Type: data collection Classification: Inpatient

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

Mental Health

Substance Use Disorder

Medical/Surgical

• Does not apply

NQTL Type: data collection Classification: Outpatient - Other

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

Mental Health

Substance Use Disorder

Medical/Surgical

• Does not apply

NQTL Type: data collection

Classification: Outpatient - Office Based

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

Mental Health

Substance Use Disorder

Medical/Surgical

• Does not apply

NQTL Type: Service Limitations Classification: Emergency Benefits

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

Mental Health

Substance Use Disorder

Medical/Surgical

NQTL Type: Service Limitations Classification: Inpatient

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

Mental Health

### Substance Use Disorder

Does not apply

- Acute Care Services (Births/Deliveries)
- Acute Care Services (Medical/Surgical)
- Amputations--inpatient
- · Ancillary Services
- Anesthesia--Inpatient
- Bariatric Surgery
- Bypass surgery--inpatient
- Cardiac Procedures (non-emergent)--inpatient
- Chemotherapy--inpatient
- Corrective Surgery--inpatient
- Cosmetic Procedures--Inpatient
- Diagnostic and X-ray services: CT-inpatient
- Diagnostic and X-ray services: MRI-inpatient
- Diagnostic and X-ray services: PET-inpatient
- Diagnostic and X-ray services: Radiography--inpatient
- Dialysis--Inpatient
- Erectile Dysfunction Procedures
- Eye Procedures--Inpatient
- Gender affirmation surgery
- Grafts/Implants
- Hospice Care--Inpatient
- Hospice: Short-term inpatient care
- Hospital Care--inpatient
- Hysterectomy
- Institutional Long Term Care/Skilled Nursing Facility (first 90 days)
- Investigational Surgeries/Clinical Trials--inpatient
- NICU/Sick baby/Detained baby
- Neurostimulators
- Neurosurgical procedures--inpatient
- Nursing Facility: Activities
- Nursing Facility: Dental services
- Nursing Facility: Dietary services
- Nursing Facility: Laboratory, radiology and other diagnostic testing
- Nursing Facility: Nursing services
- Nursing Facility: Pharmaceutical services
- Nursing Facility: Physician services
- Nursing Facility: Social work

- Nursing Facility: Specialized rehabilitation
- Occupational Therapy--inpatient
- Oncology Services--inpatient
- Physical Therapy--inpatient
- Plastic and Restorative Surgery-inpatient
- Post-Stabilization Services-inpatient
- Rehabilitation Services (Acute)
- Room and Board
- Speech Therapy--inpatient
- Spinal Cord Stimulator
- Sterlization services--inpatient
- Surgery (nonurgent)--inpatient
- Surgery (urgent)--inpatient
- Transplants
- Transplants Pre and Post Transplant Services
- Transportation between hospitals

NQTL Type: Service Limitations Classification: Outpatient - Other

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

#### Mental Health

- Mobile treatment-Assertive community treatment (ACT)
- Mobile treatment-non-ACT
- Targeted Case Management

# Substance Use Disorder

Does not apply

# Medical/Surgical

• Applied Behavior Analysis (autism services)--primarily in-home

NQTL Type: Service Limitations Classification: Outpatient - Office Based

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

#### Mental Health

- Biofeedback
- Evaulation and Management-Outpatient
- Family Psycho-Educational Therapy
- Family Therapy--outpatient
- Group therapy--outpatient
- Health Behavior Assessment
- Health Behavior Reassessment
- Individual therapy--outpatient
- Intensive outpatient (IOP)
- Mental health assessment
- Mental health reassessment
- Multiple family group therapy
- Partial hospitalization (PHP)
- Psychiatric Rehabilitation Services
- Psychological or neuropsychological testing and evaluation
- Therapeutic Behavioral Services

### Substance Use Disorder

- Alcohol and/or drug assessment
- Ambulatory detoxification
- Group therapy--outpatient
- Individual therapy--outpatient
- Intensive Outpatient (IOP)--ASAM 2.1
- MAT Initial Induction: Alcohol/Drug Services; Medical/Somatic (Medical Intervention in Ambulatory Setting)
- MAT Initial Intake (Evaluation and Management, Including Rx)
- MAT Ongoing (Evaluation and Management, including Rx)
- Methadone Maintenance
- Ongoing services
   (Buprenorphine/Naloxone):
   Alcohol/Other Drug Abuse Services,
   Not Otherwise Specified
- Opioid Treatment Program (OTP) services - including med management
- Partial Hospitalization (PHP)--ASAM
   2.5

## Medical/Surgical

NQTL Type: concurrent review Classification: Inpatient

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

#### Mental Health

- Acute Care Services
- Nursing Facility MH services
- Residential Treatment Centers
- Special Psychiatric Hospital

#### Substance Use Disorder

- Inpatient ASAM 4.0
- Inpatient Detoxification--hospital
- Inpatient Detoxification-IMD
   (Licensed Intermediate Care Facilities for Addictions in Maryland)
- Nursing Facility: SUD services
- Residential SUD Treatment--ASAM
   3 1
- Residential SUD Treatment--ASAM
   3.3
- Residential SUD Treatment--ASAM
   3.5
- Residential SUD Treatment--ASAM
   3 7
- Residential SUD Treatment--ASAM 3.7WM (Licensed ASAM 3.7D in Maryland)

- Acute Care Services (Births/Deliveries)
- Acute Care Services (Medical/Surgical)
- Amputations--inpatient
- · Bariatric Surgery
- Bypass surgery--inpatient
- Cardiac Procedures (non-emergent)--inpatient
- Chemotherapy--inpatient
- Corrective Surgery--inpatient
- Cosmetic Procedures--Inpatient
- Eye Procedures--Inpatient
- Gender affirmation surgery
- Grafts/Implants
- · Hospice: Short-term inpatient care
- Hysterectomy
- Institutional Long Term Care/Skilled Nursing Facility (first 90 days)
- Investigational Surgeries/Clinical Trials--inpatient
- NICU/Sick baby/Detained baby
- Neurostimulators
- Neurosurgical procedures--inpatient
- Nursing Facility: Activities
- Nursing Facility: Dental services
- Nursing Facility: Dietary services
- Nursing Facility: Laboratory, radiology and other diagnostic testing
- Nursing Facility: Nursing services
- Nursing Facility: Pharmaceutical services
- Nursing Facility: Physician services
- Nursing Facility: Social work
- Nursing Facility: Specialized rehabilitation
- Oncology Services--inpatient
- Plastic and Restorative Surgery-inpatient
- Rehabilitation Services (Acute)
- Spinal Cord Stimulator
- Sterlization services--inpatient
- Surgery (nonurgent)--inpatient
- · Surgery (urgent)--inpatient

NQTL Type: concurrent review Classification: Outpatient - Other

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

#### Mental Health

- Mobile treatment-Assertive community treatment (ACT)
- Mobile treatment-non-ACT
- Targeted Case Management

#### Substance Use Disorder

· Does not apply

- Community Pathways and Community Supports waivers: Participant education, training and advocacy
- Community Pathways and Community Supports waivers: Personal supports
- Community Pathways and Community Supports waivers: Respite care services
- Community Pathways and Community Supports waivers: Support broker services
- Community Pathways and Community Supports waivers: Supported employment
- Community Pathways and Community Supports waivers: Transportation
- Community Pathways and Community Supports waivers: Vehicle modification
- Community Pathways and Community Supports waivers: Behavioral support services
- Community Pathways and Community Supports waivers: Career exploration
- Community Pathways and Community Supports waivers: Community development
- Community Pathways and Community Supports waivers: Day habilitation
- Community Pathways and Community Supports waivers: Employment discovery and customization
- Community Pathways and Community Supports waivers: Employment services
- Community Pathways and Community Supports waivers: Environmental assessment
- Community Pathways and Community Supports waivers: Environmental modification
- Community Pathways and Community Supports waivers:

- Family and peer mentoring supports
- Community Pathways and Community Supports waivers: Family caregiver training and empowerment
- Community Pathways and Community Supports waivers: Housing support services
- Community Pathways and Community Supports waivers: Individual and family directed goods and services
- Community Pathways and Community Supports waivers: Medical Day Care
- Community Pathways and Community Supports waivers: Nurse case management and delegation
- Community Pathways and Community Supports waivers: Nurse consultation
- Community Pathways and Community Supports waivers: Nurse health case management
- Family Supports: Environmental assessment
- Family Supports: Environmental modification
- Family Supports: Family and peer mentoring supports
- Family Supports: Family caregiver training and empowerment
- Family Supports: Housing support services
- Family Supports: Individual and family directed goods and services
- Family Supports: Nurse case management and delegation
- Family Supports: Participant education, training and advocacy
- Family Supports: Personal supports
- Family Supports: Support broker services
- Family Supports: Transportation
- Family Supports: Vehicle modification
- Autism: Family leave (retainer day)
- Autism: Intensive individual support services
- Autism: Residential habilitation (regular and intensive)
- Autism: Respite care
- Autism: Therapeutic integration (regular and intensive)
- Autism: adult life planning
- · Autism: family training
- Brain Injury: Day habilitation
- Brain Injury: Residential habilitation
- Brain injury: Supported employment
- Brain injury: medical Day Care

- Community Pathways and Community Supports waivers: Assistive technology and services
- Family Supports: Assistive technology and services
- Family Supports: Behavioral support services
- Family Supports: Nurse consultation
- Family Supports: Respite care services
- 1915c: nursing (private duty) (21+ years of age)
- Applied Behavior Analysis (autism services)--primarily in-home
- Applied Behavior Analysis (autism services)--primarily in-home
- Autism: Environmental accessibility adaption
- Brain injury: Individual support services
- CFC: Accessibility adaptations
- · CFC: Consumer training
- CFC: Personal emergency response system
- CFC: Transition services
- · CFC: assistive technology
- CFC: environmental assessments
- · CFC: home delivered meals
- CPAS and CFC: Nurse monitoring
- CPAS and CFC: Personal assistance services
- Community Pathways only: Remote support services
- Community Pathways only: Shared living
- Community Pathways only: Support living
- Community Pathways only: community living
- Community Pathways only: live-in caregiver supports
- Community Pathways only: transition services
- DME/DMS Alternative Approach--Full category; need to identify any NQTLs that apply to any DME/DMS under the broad category.
   Description of NQTL factors should be more detailed.
- Durable Medical Equipment (DME)/Disposable Medical Supplies (DMS)
- EPSDT: Nursing services (Registered Nurse, Licensed Practical Nurse, Certified Nursing Assistant-Certified Medication Technician)
- · HCBS: Assisted living
- HCBS: Behavioral consultation
- HCBS: Medical Day Care
- HCBS: Nutritionist/Dietician

- HCBS: case management
- · HCBS: family training
- HCBS: senior Center Plus
- HH: Home Health Aide
- HH: Occupational therapy
- HH: Registered Nurse
- HH: Speech therapy
- HH: physical therapy
- Hospice: Medical appliances and supplies
- Hospice: Medical social services
- Hospice: home health and aide services
- · Hospice: nursing services
- ICS: Assisted living
- · ICS: Family training
- ICS: Medical Day Care
- ICS: Nutritionist/Dietician
- ICS: behavioral consultation
- ICS: case management
- ICS: senior Center Plus
- Medical Day Care waiver with associated services(16+ years of age):
- PACE: Day health care
- PACE: In-home care
- PACE: Meals
- PACE: Nursing care
- · PACE: Personal care
- PACE: Recreation
- PACE: Social work
- PACE: Transportation
- Private Duty Nursing

NQTL Type: concurrent review Classification: Outpatient - Office Based

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

#### Mental Health

- Biofeedback
- Electroconvulsive therapy (ECT)-outpatient
- Evaulation and Management-Outpatient
- Family Therapy--outpatient
- Group therapy--outpatient
- Individual therapy--outpatient
- Intensive outpatient (IOP)
- Multiple family group therapy
- Partial hospitalization (PHP)
- Psychiatric Rehabilitation Services
- Psychological or neuropsychological testing and evaluation
- Therapeutic Behavioral Services
- Traumatic Brain Injury (TBI) Day Habilitation
- health home services for MH reasons (serious and persistent mental illness (SPMI) - services by mobile treatment services (MTS) or psychological rehabilitation programs

### Substance Use Disorder

- Ambulatory detoxification
- Group therapy--outpatient
- Individual therapy--outpatient
- Intensive Outpatient (IOP)--ASAM 2.1
- MAT Ongoing (Evaluation and Management, including Rx)
- Methadone Maintenance
- Ongoing services (Buprenorphine/Naloxone):
   Alcohol/Other Drug Abuse Services,
   Not Otherwise Specified

- Chemotherapy--outpatient
- HCBS: behavioral consultation
- HCBS: nutritionist/Dietician
- HH: Occupational therapy
- HH: Physical therapy
- HH: Speech therapy
- · HH: physical therapy
- ICS: Behavioral consultation
- ICS: Nutritionist/Dietician
- Infusion/Maintenance Drug Infusion
- Oncology Treatment

NQTL Type: concurrent review Classification: Prescription Drugs

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

Mental Health

Substance Use Disorder

FFS SUD Drug

Medical/Surgical

• MCO administered prescription drug

NQTL Type: fail first requirements/step therapy Classification: Inpatient

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

Mental Health

• Does not apply

Substance Use Disorder

Does not apply

Medical/Surgical

• Does not apply

NQTL Type: fail first requirements/step therapy Classification: Outpatient - Other

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

Mental Health

Does not apply

Substance Use Disorder

Does not apply

- CFC: Accessibility adaptations
- CFC: assistive technology
- CFC: environmental assessments
- Does not apply

NQTL Type: fail first requirements/step therapy Classification: Outpatient - Office Based

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

Mental Health

• Does not apply

Substance Use Disorder

Does not apply

- Chemotherapy--outpatient
- Infusion/Maintenance Drug Infusion
- Oncology Treatment

NQTL Type: fail first requirements/step therapy Classification: Prescription Drugs

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

Mental Health

• FFS MH Drug

Substance Use Disorder

FFS SUD Drug

- FFS M/S Drug
- MCO administered prescription drug

NQTL Type: prior authorization/pre authorization Classification: Inpatient

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

#### Mental Health

- Acute Care Services
- Nursing Facility MH services
- Residential Treatment Centers
- · Special Psychiatric Hospital

### Substance Use Disorder

- Inpatient ASAM 4.0
- Inpatient Detoxification--hospital
- Inpatient Detoxification-IMD
   (Licensed Intermediate Care
   Facilities for Addictions in Maryland)
- Nursing Facility: SUD services
- Residential SUD Treatment--ASAM
   3 1
- Residential SUD Treatment--ASAM
   3.3
- Residential SUD Treatment--ASAM
   3.5
- Residential SUD Treatment--ASAM
   3 7
- Residential SUD Treatment--ASAM 3.7WM (Licensed ASAM 3.7D in Maryland)

- Acute Care Services (Medical/Surgical)
- Amputations--inpatient
- Bariatric Surgery
- Bypass surgery--inpatient
- Cardiac Procedures (non-emergent)--inpatient
- Chemotherapy--inpatient
- Corrective Surgery--inpatient
- Cosmetic Procedures--Inpatient
- Eye Procedures--Inpatient
- Gender affirmation surgery
- Grafts/Implants
- Hysterectomy
- Institutional Long Term Care/Skilled Nursing Facility (first 90 days)
- Investigational Surgeries/Clinical Trials--inpatient
- Neurostimulators
- Neurosurgical procedures--inpatient
- Nursing Facility: Activities
- Nursing Facility: Dental services
- Nursing Facility: Dietary services
- Nursing Facility: Laboratory, radiology and other diagnostic testing
- Nursing Facility: Nursing services
- Nursing Facility: Pharmaceutical services
- Nursing Facility: Physician services
- Nursing Facility: Social work
- Nursing Facility: Specialized rehabilitation
- Oncology Services--inpatient
- Plastic and Restorative Surgery-inpatient
- Rehabilitation Services (Acute)
- Spinal Cord Stimulator
- Sterlization services--inpatient
- Surgery (nonurgent)--inpatient
- Transplants

NQTL Type: prior authorization/pre authorization Classification: Outpatient - Other

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

### Mental Health

- Mobile treatment-Assertive community treatment (ACT)
- · Mobile treatment-non-ACT
- Targeted Case Management

### Substance Use Disorder

· Does not apply

- Autism: Family leave (retainer day)
- Autism: Intensive individual support services
- Autism: Residential habilitation (regular and intensive)
- Autism: Respite care
- Autism: Therapeutic integration (regular and intensive)
- · Autism: adult life planning
- · Autism: family training
- · Brain Injury: Day habilitation
- Brain Injury: Residential habilitation
- Brain injury: Supported employment
- Brain injury: medical Day Care
- (10) Periodontal services (scaling, full mouth debridement, etc.) - OP OR/ASC;
- (12)Prosthodontics (dentures, etc.) -OP OR/ASC;
- (14) Oral and Maxillofacial surgery services (extractions, etc.) - OP OR/ASC;
- (17) Anesthesia OP OR/ASC.
- (2) Preventive services (semiannual cleanings, fluoride, etc.) - OP OR/ASC;
- (4) Diagnostic services (oral exams, x-rays, sealants, etc.) - OP OR/ASC;
- (6) Restorative services (filings, crowns, etc) OP OR/ASC;
- (8) Endodontic services (root canals, etc.) - OP OR/ASC;
- 1915c: certified Nursing Assistant/Home Health Aide
- 1915c: nursing (private duty) (21+ years of age)
- Applied Behavior Analysis (autism services)--primarily in-home
- Applied Behavior Analysis (autism services)--primarily in-home
- Autism: Environmental accessibility adaption
- Brain injury: Individual support services
- CFC: Accessibility adaptations
- CFC: Consumer training
- CFC: Personal emergency response system
- · CFC: Transition services

- CFC: assistive technology
- CFC: environmental assessments
- CFC: home delivered meals
- CPAS and CFC: Nurse monitoring
- CPAS and CFC: Personal assistance services
- DME/DMS Alternative Approach--Full category; need to identify any NQTLs that apply to any DME/DMS under the broad category.
   Description of NQTL factors should be more detailed.
- Durable Medical Equipment (DME)/Disposable Medical Supplies (DMS)
- EPSDT: Nursing services (Registered Nurse, Licensed Practical Nurse, Certified Nursing Assistant-Certified Medication Technician)
- Genetic Testing
- · HCBS: Assisted living
- HCBS: Behavioral consultation
- · HCBS: Medical Day Care
- HCBS: Nutritionist/Dietician
- · HCBS: family training
- HCBS: senior Center Plus
- HH: Home Health Aide
- HH: Occupational therapy
- HH: Speech therapy
- HH: physical therapy
- HH: registered Nurse
- · Hepatitis C Virus Genotyping
- Home Health Services: occupational therapy
- Home Health Services: physical therapy
- Home Health Services: speech pathology services
- Hospice Care--Outpatient
- · ICS: Assisted living
- ICS: Family training
- ICS: Medical Day Care
- ICS: Nutritionist/Dietician
- ICS: behavioral consultation
- ICS: senior Center Plus
- Molecular Pathology Labs
- PACE: Day health care
- PACE: In-home care
- PACE: Meals
- PACE: Nursing care
- PACE: Personal care
- PACE: Recreation
- PACE: Social work
- PACE: Transportation
- Personal Care Services
- Private Duty Nursing
- Remote Patient Monitoring

NQTL Type: prior authorization/pre authorization Classification: Outpatient - Office Based

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

#### Mental Health

- Biofeedback
- Electroconvulsive therapy (ECT)-outpatient
- Evaulation and Management-Outpatient
- Family Therapy--outpatient
- · Group therapy--outpatient
- Individual therapy--outpatient
- Intensive outpatient (IOP)
- · Multiple family group therapy
- Partial hospitalization (PHP)
- Psychiatric Rehabilitation Services
- Psychological or neuropsychological testing and evaluation
- Therapeutic Behavioral Services
- Traumatic Brain Injury (TBI) Day Habilitation
- health home services for MH reasons (serious and persistent mental illness (SPMI) - services by mobile treatment services (MTS) or psychological rehabilitation programs

### Substance Use Disorder

- · Ambulatory detoxification
- Group therapy--outpatient
- Individual therapy--outpatient
- Intensive Outpatient (IOP)--ASAM
   2.1
- MAT Initial Induction: Alcohol/Drug Services; Medical/Somatic (Medical Intervention in Ambulatory Setting)
- MAT Initial Intake (Evaluation and Management, Including Rx)
- MAT Ongoing (Evaluation and Management, including Rx)
- Methadone Maintenance
- Ongoing services (Buprenorphine/Naloxone): Alcohol/Other Drug Abuse Services, Not Otherwise Specified
- Opioid Treatment Program (OTP) services - including med management
- Partial Hospitalization (PHP)--ASAM
   2.5

- (15) Orthodontic services Clinic;
- (11) Prosthodontics (dentures, etc.)
   Clinic:
- (13) Oral and Maxillofacial surgery services (extractions, etc.) Clinic;
- (2) Diagnostic services (oral exams, x-rays, etc.) - Clinic;
- (3) Diagnostic services (oral exams, x-rays, sealants, etc.) - Clinic;
- (3) Restorative services (filings, crowns, etc) Clinic;
- (4) Endodontic services (root canals, etc.) Clinic;
  (5) Periodontal services (scaling, full
- mouth debridement, etc.) Clinic;
   (5) Restorative services (filings,
- (5) Restorative services (filings, crowns, etc) Clinic;
- (6) Prosthodontics (adjustment of dentures, etc.) Clinic;
- (7) Endodontic services (root canals, etc.) Clinic;
- (7) Oral and Maxillofacial surgery services (extractions, etc.) - Clinic; and
- (9) Periodontal services (scaling, full mouth debridement, etc.) Clinic;
- 1915c: Physician participation in plan of care meeting
- Acupunture
- Amputations--outpatient
- Audiology Services (hearing loss assessment and treatment)
- Blood/Blood Products
- · Bypass surgery--outpatient
- · Capsule Endoscopy
- Cardiac Procedures (non-emergent)

   outpatient
- Chemotherapy--outpatient
- Corrective Surgery--outpatient
- Cosmetic Procedures/Surgeries-outpatient
- Diagnostic and X-ray services: CT-outpatient
- Diagnostic and X-ray services: MRI-outpatient
- Diagnostic and X-ray services: PET-outpatient
- Diagnostic and X-ray services: Radiography--outpatient

- · Grafts/Implants--outpatient
- HCBS: behavioral consultation
- HCBS: nutritionist/Dietician
- HH: Occupational therapy
- HH: Physical therapy
- HH: Speech therapy
- ICS: Behavioral consultation
- ICS: Nutritionist/Dietician
- Infusion/Maintenance Drug Infusion
- Investigational Surgeries/Clinical Trials--outpatient
- Nerve Stimulator--outpatient
- Neuro-Psychological Testing/Developmental Delay Programs
- Neurosurgical procedures-outpatient
- Oncology Treatment
- Oral Surgery Adult
- Outpatient Rehabilitative services
- PACE: Medical specialty services (podiatry, psychiatry, dentistry, optometry and audiology)
- PACE: Rehabilitation
- Plastic and Restorative Surgery-outpatient
- Proton Therapy Treatment
- Sleep Studies /Sleep Apnea Studies
- Somatic services related to gender dysphoria
- Transplants Pre and Post Transplant Services--outpatient
- Wound Vacuum-assisted closure (VAC)

NQTL Type: prior authorization/pre authorization Classification: Prescription Drugs

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

Mental Health

• FFS MH Drug

Substance Use Disorder

FFS SUD Drug

- FFS M/S Drug
- MCO administered prescription drug

NQTL Type: formulary design Classification: Prescription Drugs

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

Mental Health

Substance Use Disorder

- FFS M/S Drug
- MCO administered prescription drug

NQTL Type: tiered drug formulary Classification: Prescription Drugs

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

Mental Health

• FFS MH Drug

Substance Use Disorder

FFS SUD Drug

- FFS M/S Drug
- MCO administered prescription drug

Any parity flags found in Standard 9 are reported below. The number of flags per classification and category combination is shown.

Parity Flag					
	Mental Health	Substance Use Disorder	Medical/Surgical		
Inpatient	-	-	-		
Outpatient - Other	-	-	-		
Outpatient - Office Based	-	-	-		
Emergency Benefits	-	-	-		
Prescription Drugs	-	-	-		

Any classification/category/NQTL combination considered incomplete is reported in the table below.

Missing Information					
	Mental Health	Substance Use Disorder	Medical/Surgical		
Inpatient	-	-	-		
Outpatient - Other	-	-	-		
Outpatient - Office Based	-	-	-		
Emergency Benefits	-	-	-		
Prescription Drugs	<del>-</del>	-	<del>-</del>		

NQTL Type: Outlier Management Classification: Emergency Benefits Category: Substance Use Disorder

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N No Documents Attached

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

• Not Applicable

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

Not Applicable

NQTL Type: Outlier Management Classification: Emergency Benefits Category: Medical/Surgical

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: Y

Please upload documentation demonstrating that the NQTL type is applied to 100% of benefits in this classification. Supporting Documents

• NQTL applies to all benefits in classification.xlsx

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

- Service type
- Training, experience and licensure of providers
- Accreditation

NQTL Type: Outlier Management Classification: Emergency Benefits

Factor: Not Applicable

### **Definitions**

**Mental Health:** 

Substance Use Disorder: NQTL does not apply for this classification

Medical/Surgical:

Sources

Mental Health Substance Use Disorder Medical/Surgical

• Not Applicable

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

• Not Applicable

Explain

**Substance Use Disorder:** 

NQTL Type: Outlier Management Classification: Emergency Benefits

Factor: Service type

### **Definitions**

**Mental Health:** 

**Substance Use Disorder:** 

Medical/Surgical: Services with various levels of intensity, including frequency and expected duration;

### Sources

Mental Health

Substance Use Disorder

#### Medical/Surgical

- Internal claims analysis
- Medical expert reviews
- State and Federal requirements
- National accreditation standards
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an

NQTL to benefits

## **Evidentiary Standards**

Mental Health

Substance Use Disorder

### Medical/Surgical

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.
- A certain number/type of recognized medical literature and professional standards (including comparative effectiveness studies and clinical trials), and published research studies.
- Standards used by any committees of experts, and required level of qualifications of committee members.

Explain

NQTL Type: Outlier Management Classification: Emergency Benefits

Factor: Training, experience and licensure of providers

### **Definitions**

Mental Health: Services with various levels of intensity, including frequency and expected duration;

**Substance Use Disorder:** 

**Medical/Surgical:** Training, experience, and licensure of providers is Services must be rendered by a practitioner who is licensed, certified or otherwise legally authorized to provide health care services. The verification of applicable licenses, certifications and experience. Ensuring services are only provided by professional, competent care providers.

#### Sources

Mental Health

Substance Use Disorder

#### Medical/Surgical

- Medical expert reviews
- State and Federal requirements
- National accreditation standards
- Internal market and competitive analysis
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NOTL to benefits

### **Evidentiary Standards**

Mental Health

Substance Use Disorder

### Medical/Surgical

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.
- A certain number/type of recognized medical literature and professional standards (including comparative effectiveness studies and clinical trials), and published research studies.
- Standards used by any committees of experts, and required level of qualifications of committee members.

## Explain

NQTL Type: Outlier Management Classification: Emergency Benefits

Factor: Accreditation

## **Definitions**

**Mental Health:** Training, experience, and licensure of providers is Services must be rendered by a practitioner who is licensed, certified or otherwise legally authorized to provide health care services. The verification of applicable licenses, certifications and experience. Ensuring services are only provided by professional, competent care providers.

#### **Substance Use Disorder:**

**Medical/Surgical:** Healthcare providers accreditation status is checked to ensure they meet regulatory requirements and standards established by a recognized accreditation association or organization for their specialty and the services they are performing are within their scope of accreditation.

#### Sources

Mental Health

Substance Use Disorder

#### Medical/Surgical

- Internal claims analysis
- · Medical expert reviews
- State and Federal requirements
- National accreditation standards
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### **Evidentiary Standards**

Mental Health

Substance Use Disorder

### Medical/Surgical

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.
- A certain number/type of recognized medical literature and professional standards (including comparative effectiveness studies and clinical trials), and published research studies.
- Standards used by any committees of experts, and required level of qualifications of committee members.

## Explain

NQTL Type: Outlier Management Classification: Outpatient - Office Based

Category: Mental Health

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: Y

Please upload documentation demonstrating that the NQTL type is applied to 100% of benefits in this classification. Supporting Documents

• Optum Benefits Mapping\_MDH Revisions\_7.17.20.xlsx

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- · Excessive utilization
- · Variability in quality

NQTL Type: Outlier Management Classification: Outpatient - Office Based Category: Substance Use Disorder

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N No Documents Attached

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

- Excessive utilization
- · Variability in quality

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

- · Excessive utilization
- · Variability in quality

NQTL Type: Outlier Management
Classification: Outpatient - Office Based
Category: Medical/Surgical

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N No Documents Attached

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

- · Excessive utilization
- · High variability in cost per episode of care
- · Service type
- Training, experience and licensure of providers
- Accreditation
- Relative reimbursement rates
- · Claims evaluation, reporting, and analytics
- · Utilization patterns
- Administrative Burden/cost
- Clinical indications and/or clinical evidence
- Par facilities Medical Necessity Review Post Payment

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification

Relied Upon Considered

• Not Applicable

NQTL Type: Outlier Management Classification: Outpatient - Office Based

Factor: Excessive utilization

### **Definitions**

**Mental Health:** Utilization of a service or services beyond that which is deemed medically necessary **Substance Use Disorder:** Utilization of a service or services beyond that which is deemed medically necessary **Medical/Surgical:** Utilization of a service or services beyond that which is deemed medically necessary

### Sources

#### Mental Health

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

#### Substance Use Disorder

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits Medical/Surgical

Question Skipped

# **Evidentiary Standards**

#### Mental Health

- Utilization is two standard deviations above average utilization per episode of care.
- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

#### Substance Use Disorder

- Utilization is two standard deviations above average utilization per episode of care.
- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

Medical/Surgical

Question Skipped

### Explain

Mental Health: Substance Use Disorder: Medical/Surgical:

NQTL Type: Outlier Management Classification: Outpatient - Office Based Factor: High variability in cost per episode of care

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**Mental Health:** 

**Substance Use Disorder:** 

Medical/Surgical: Not Applicable. Did not select in Q P1Q2 and Q3.

Sources

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped

Explain

NQTL Type: Outlier Management Classification: Outpatient - Office Based

Factor: Service type

### **Definitions**

**Mental Health:** 

**Substance Use Disorder:** 

**Medical/Surgical:** Services with various levels of intensity, including frequency and expected duration;

Sources

Mental Health Substance Use Disorder Medical/Surgical

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

Explain

NQTL Type: Outlier Management Classification: Outpatient - Office Based

Factor: Training, experience and licensure of providers

### **Definitions**

#### **Mental Health:**

### **Substance Use Disorder:**

**Medical/Surgical:** Training, experience, and licensure of providers is Services must be rendered by a practitioner who is licensed, certified or otherwise legally authorized to provide health care services. The verification of applicable licenses, certifications and experience. Ensuring services are only provided by professional, competent care providers.

Sources

Mental Health Substance Use Disorder Medical/Surgical

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

**Explain** 

NQTL Type: Outlier Management Classification: Outpatient - Office Based

Factor: Accreditation

### **Definitions**

#### **Mental Health:**

### **Substance Use Disorder:**

**Medical/Surgical:** Healthcare providers accreditation status is checked to ensure they meet regulatory requirements and standards established by a recognized accreditation association or organization for their specialty and the services they are performing are within their scope of accreditation.

Sources

Mental Health Substance Use Disorder Medical/Surgical

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

**Explain** 

NQTL Type: Outlier Management Classification: Outpatient - Office Based Factor: Relative reimbursement rates

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**Mental Health:** 

**Substance Use Disorder:** 

Medical/Surgical: reimbursement rates that vary based on parameters such as place of service

Sources

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped

Explain

NQTL Type: Outlier Management Classification: Outpatient - Office Based Factor: Claims evaluation, reporting, and analytics

### **Definitions**

#### **Mental Health:**

### **Substance Use Disorder:**

**Medical/Surgical:** Claims evaluation, reporting, and analytics is defined as the service or treatment is subject to material variation (i.e., a statistically significant change identified internally through claims evaluation, reporting, and analytics and externally through published clinical evidence) by: level of care, site of service/care, diagnosis, and/or provider/facility with respect to outcome, utilization, or cost.

Sources

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped

Explain

NQTL Type: Outlier Management Classification: Outpatient - Office Based

Factor: Utilization patterns

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**Mental Health:** 

**Substance Use Disorder:** 

Medical/Surgical: Utilization patterns suggest evidence-based national clinical guidelines are not being followed consistently.

Sources

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped

Explain

NQTL Type: Outlier Management Classification: Outpatient - Office Based Factor: Administrative Burden/cost

### **Definitions**

### **Mental Health:**

### **Substance Use Disorder:**

**Medical/Surgical:** Administrative burden/cost is defined as the Plan will review the value of applying Prior Authorization in terms of improved outcomes or reduced costs exceeds the administrative burden/cost in applying Prior Authorization.

Sources

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped

Explain

NQTL Type: Outlier Management
Classification: Outpatient - Office Based
Factor: Clinical indications and/or clinical evidence

**Definitions** 

**Mental Health:** 

**Substance Use Disorder:** 

Medical/Surgical: Professional standards and protocols - defined as comparative effectiveness studies and clinical trials

Sources

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped

Explain

NQTL Type: Outlier Management Classification: Outpatient - Office Based

Factor: Par facilities Medical Necessity Review Post Payment

### **Definitions**

**Mental Health:** 

**Substance Use Disorder:** 

**Medical/Surgical:** Par Facilities Medical Necessity Review Post Payment is defined as Par Facilities with specific medical necessity contractual language that allows for post payment med nec review when facility receives a denial for lack of notification

Sources

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped

Explain

NQTL Type: Outlier Management Classification: Outpatient - Office Based

Factor: Variability in quality

### **Definitions**

**Mental Health:** is individual improving, or is there a need for a change in the treatment plan, monitoring appropriateness and efficacy of treatment.

**Substance Use Disorder:** is individual improving, or is there a need for a change in the treatment plan, monitoring appropriateness and efficacy of treatment.

Medical/Surgical:

#### Sources

#### Mental Health

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

#### Substance Use Disorder

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Medical/Surgical

# **Evidentiary Standards**

#### Mental Health

- Utilization is two standard deviations above average utilization per episode of care.
- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Substance Use Disorder

- Utilization is two standard deviations above average utilization per episode of care.
- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

#### Medical/Surgical

### Explain

### Mental Health:

**Substance Use Disorder:** 

NQTL Type: Outlier Management Classification: Outpatient - Office Based

Factor: Not Applicable

efi		

**Mental Health:** 

**Substance Use Disorder:** 

Medical/Surgical: NQTL does not apply for this classification

Sources

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped

Explain

NQTL Type: Outlier Management Classification: Inpatient Category: Mental Health

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N No Documents Attached

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

- · Excessive utilization
- · High levels of variation in length of stay
- · Variability in quality
- · Severity or chronicity of an illness
- · Service type
- Least restrictive appropriate level of care

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

Not Applicable

NQTL Type: Outlier Management Classification: Inpatient Category: Substance Use Disorder

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N No Documents Attached

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

- · Excessive utilization
- · High levels of variation in length of stay
- Variability in quality
- · Severity or chronicity of an illness
- Service type
- Least restrictive appropriate level of care

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

Not Applicable

NQTL Type: Outlier Management Classification: Inpatient Category: Medical/Surgical

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: Y

Please upload documentation demonstrating that the NQTL type is applied to 100% of benefits in this classification.
Supporting Documents

• NQTL applies to all benefits in classification.xlsx

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- · Excessive utilization
- · Service type
- Training, experience and licensure of providers
- Accreditation
- · Safety risks
- · Claims evaluation, reporting, and analytics
- Utilization patterns
- Administrative Burden/cost
- · Clinical indications and/or clinical evidence
- Par facilities Medical Necessity Review Post Payment

NQTL Type: Outlier Management Classification: Inpatient Factor: Excessive utilization

#### **Definitions**

**Mental Health:** Utilization of a service or services beyond that which is deemed medically necessary **Substance Use Disorder:** Utilization of a service or services beyond that which is deemed medically necessary **Medical/Surgical:** Utilization of a service or services beyond that which is deemed medically necessary

#### Sources

#### Mental Health

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

#### Substance Use Disorder

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

#### Medical/Surgical

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

# **Evidentiary Standards**

#### Mental Health

- Utilization is two standard deviations above average utilization per episode of care.
- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

#### Substance Use Disorder

- Utilization is two standard deviations above average utilization per episode of care.
- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

# Medical/Surgical Question Skipped

# Explain

NQTL Type: Outlier Management Classification: Inpatient

Factor: High levels of variation in length of stay

### **Definitions**

Mental Health: Individuals with the same illness having different courses of improvement.

Substance Use Disorder: Individuals with the same illness having different courses of improvement.

Medical/Surgical:

### Sources

#### Mental Health

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

# Substance Use Disorder

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

#### Medical/Surgical

# **Evidentiary Standards**

#### Mental Health

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

#### Substance Use Disorder

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

#### Medical/Surgical

NQTL Type: Outlier Management Classification: Inpatient Factor: Variability in quality

#### **Definitions**

**Mental Health:** is individual improving, or is there a need for a change in the treatment plan, monitoring appropriateness and efficacy of treatment.

**Substance Use Disorder:** is individual improving, or is there a need for a change in the treatment plan, monitoring appropriateness and efficacy of treatment.

Medical/Surgical:

#### Sources

#### Mental Health

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

# Substance Use Disorder

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Medical/Surgical

# **Evidentiary Standards**

#### Mental Health

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Substance Use Disorder

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Medical/Surgical

NQTL Type: Outlier Management Classification: Inpatient

Factor: Severity or chronicity of an illness

#### **Definitions**

Mental Health: Level of current symptoms and presence of symptoms over time.

Substance Use Disorder: Level of current symptoms and presence of symptoms over time.

Medical/Surgical:

### Sources

#### Mental Health

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

# Substance Use Disorder

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

#### Medical/Surgical

# **Evidentiary Standards**

#### Mental Health

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Substance Use Disorder

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Medical/Surgical

NQTL Type: Outlier Management Classification: Inpatient Factor: Service type

#### **Definitions**

**Mental Health:** Services with various levels of intensity, including frequency and expected duration;

Substance Use Disorder: Services with various levels of intensity, including frequency and expected duration;

Medical/Surgical: Services with various levels of intensity, including frequency and expected duration;

### Sources

#### Mental Health

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

#### Substance Use Disorder

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

#### Medical/Surgical

- Internal claims analysis
- · Medical expert reviews
- State and Federal requirements
- · National accreditation standards
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### **Evidentiary Standards**

#### Mental Health

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Substance Use Disorder

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

# Medical/Surgical Question Skipped

### Explain

NQTL Type: Outlier Management Classification: Inpatient

Factor: Least restrictive appropriate level of care

### **Definitions**

Mental Health: Lowest level of care the individual can be safely and effectively treated.

Substance Use Disorder: Lowest level of care the individual can be safely and effectively treated.

Medical/Surgical:

### Sources

#### Mental Health

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

# Substance Use Disorder

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

#### Medical/Surgical

# **Evidentiary Standards**

#### Mental Health

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

#### Substance Use Disorder

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Medical/Surgical

NQTL Type: Outlier Management Classification: Inpatient Factor: Not Applicable

### **Definitions**

Mental Health: NQTL does not apply for this classification

Substance Use Disorder: NQTL does not apply for this classification

Medical/Surgical:

• Not Applicable

Sources

Mental Health Substance Use Disorder

Not Applicable

Medical/Surgical

**Evidentiary Standards** 

Explain

Mental Health Substance Use Disorder

• Not Applicable • Not Applicable

Medical/Surgical

Medical/Surgical:

URAC ParityManager™ Report

NQTL Type: Outlier Management Classification: Inpatient

Factor: Training, experience and licensure of providers

### **Definitions**

#### **Mental Health:**

#### **Substance Use Disorder:**

Medical/Surgical: Training, experience, and licensure of providers is Services must be rendered by a practitioner who is licensed, certified or otherwise legally authorized to provide health care services. The verification of applicable licenses, certifications and experience. Ensuring services are only provided by professional, competent care providers.

#### Sources

Mental Health Substance Use Disorder

Medical/Surgical

- Internal claims analysis
- Medical expert reviews
- State and Federal requirements
- · National accreditation standards
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

**Evidentiary Standards** 

Substance Use Disorder Mental Health Medical/Surgical

Question Skipped

Explain

NQTL Type: Outlier Management Classification: Inpatient Factor: Accreditation

### **Definitions**

М	en	tal	He	alth	:

#### **Substance Use Disorder:**

**Medical/Surgical:** Healthcare providers accreditation status is checked to ensure they meet regulatory requirements and standards established by a recognized accreditation association or organization for their specialty and the services they are performing are within their scope of accreditation.

#### Sources

Mental Health Substance Use Disorder

Medical/Surgical

- Internal claims analysis
- Medical expert reviews
- State and Federal requirements
- · National accreditation standards
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped

Explain

NQTL Type: Outlier Management Classification: Inpatient Factor: Safety risks

efin					

**Mental Health:** 

**Substance Use Disorder:** 

Medical/Surgical: risk of PA drug compared to other drugs considered

Sources

Mental Health Substance Use Disorder

Medical/Surgical

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped

Explain

NQTL Type: Outlier Management Classification: Inpatient

Factor: Claims evaluation, reporting, and analytics

### **Definitions**

#### **Mental Health:**

#### **Substance Use Disorder:**

**Medical/Surgical:** Claims evaluation, reporting, and analytics is defined as the service or treatment is subject to material variation (i.e., a statistically significant change identified internally through claims evaluation, reporting, and analytics and externally through published clinical evidence) by: level of care, site of service/care, diagnosis, and/or provider/facility with respect to outcome, utilization, or cost.

#### Sources

Mental Health Substance Use Disorder Medical/Surgical

- Internal claims analysis
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

# **Evidentiary Standards**

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped

Explain

NQTL Type: Outlier Management Classification: Inpatient Factor: Utilization patterns

### **Definitions**

**Mental Health:** 

**Substance Use Disorder:** 

Medical/Surgical: Utilization patterns suggest evidence-based national clinical guidelines are not being followed consistently.

Sources

Mental Health Substance Use Disorder Medical/Surgical

• Internal claims analysis

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped

Explain

NQTL Type: Outlier Management Classification: Inpatient

Factor: Administrative Burden/cost

### **Definitions**

#### **Mental Health:**

#### **Substance Use Disorder:**

**Medical/Surgical:** Administrative burden/cost is defined as the Plan will review the value of applying Prior Authorization in terms of improved outcomes or reduced costs exceeds the administrative burden/cost in applying Prior Authorization.

### Sources

Mental Health Substance Use Disorder Medical/Surgical

- Internal claims analysis
- State and Federal requirements
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an

NQTL to benefits

# **Evidentiary Standards**

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped

# Explain

NQTL Type: Outlier Management Classification: Inpatient

Factor: Clinical indications and/or clinical evidence

### **Definitions**

**Mental Health:** 

**Substance Use Disorder:** 

Medical/Surgical: Professional standards and protocols - defined as comparative effectiveness studies and clinical trials

Sources

Mental Health Substance Use Disorder Medical/Surgical

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an

NQTL to benefits

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped

Explain

NQTL Type: Outlier Management Classification: Inpatient

Factor: Par facilities Medical Necessity Review Post Payment

### **Definitions**

#### **Mental Health:**

**Substance Use Disorder:** 

**Medical/Surgical:** Par Facilities Medical Necessity Review Post Payment is defined as Par Facilities with specific medical necessity contractual language that allows for post payment med nec review when facility receives a denial for lack of notification

Sources

Mental Health Substance Use Disorder

Medical/Surgical

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped

Explain

NQTL Type: Outlier Management Classification: Prescription Drugs Category: Substance Use Disorder

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N No Documents Attached

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

• Not Applicable

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

Not Applicable

NQTL Type: Outlier Management Classification: Prescription Drugs Category: Medical/Surgical

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: Y

Please upload documentation demonstrating that the NQTL type is applied to 100% of benefits in this classification. Supporting Documents

• NQTL applies to all benefits in classification.xlsx

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

- · Desk and Onsite Audits
- Real Time Audit (RTA)

NQTL Type: Outlier Management Classification: Prescription Drugs

Factor: Not Applicable

# **Definitions**

**Mental Health:** 

Substance Use Disorder: NQTL does not apply for this classification

Medical/Surgical:

Sources

Mental Health Substance Use Disorder Medical/Surgical

Not Applicable

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

• Not Applicable

Explain

**Substance Use Disorder:** 

NQTL Type: Outlier Management Classification: Prescription Drugs Factor: Desk and Onsite Audits

### **Definitions**

#### **Mental Health:**

#### **Substance Use Disorder:**

**Medical/Surgical:** Defined as an ongoing monitoring of paid claims through the use of analytics and algorithms. These analyses compare thresholds across grouping of data to reveal elements that are inconsistent, excessive, suspicious, or aberrant in nature.

### Sources

Mental Health Substance Use Disorder Medical/Surgical

• Internal claims analysis

# **Evidentiary Standards**

Mental Health Substance Use Disorder Medical/Surgical

 Standards used by any committees of experts, and required level of qualifications of committee members.

NQTL Type: Outlier Management Classification: Prescription Drugs Factor: Real Time Audit (RTA)

### **Definitions**

**Mental Health:** Defined as an ongoing monitoring of paid claims through the use of analytics and algorithms. These analyses compare thresholds across grouping of data to reveal elements that are inconsistent, excessive, suspicious, or aberrant in nature. **Substance Use Disorder:** 

**Medical/Surgical:** Defined as an audit of post-adjudicated claims which are subject to be screened for appropriate utilization patterns, cost information, and other submitted data.

Sources

Mental Health Substance Use Disorder Medical/Surgical

• Internal claims analysis

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

 Standards used by any committees of experts, and required level of qualifications of committee

members.

NQTL Type: Outlier Management Classification: Outpatient - Other Category: Mental Health

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N No Documents Attached

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

- · Excessive utilization
- · High levels of variation in length of stay
- · Variability in quality
- · Severity or chronicity of an illness
- · Service type
- Least restrictive appropriate level of care

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

Not Applicable

NQTL Type: Outlier Management Classification: Outpatient - Other Category: Substance Use Disorder

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N No Documents Attached

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

- · Excessive utilization
- · High levels of variation in length of stay
- · Variability in quality
- · Severity or chronicity of an illness
- · Service type
- Least restrictive appropriate level of care

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

Not Applicable

NQTL Type: Outlier Management Classification: Outpatient - Other Category: Medical/Surgical

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N No Documents Attached

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

- · Excessive utilization
- · Service type
- · Safety risks

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

· Not Applicable

NQTL Type: Outlier Management Classification: Outpatient - Other Factor: Excessive utilization

#### **Definitions**

**Mental Health:** Utilization of a service or services beyond that which is deemed medically necessary **Substance Use Disorder:** Utilization of a service or services beyond that which is deemed medically necessary **Medical/Surgical:** Utilization of a service or services beyond that which is deemed medically necessary

#### Sources

#### Mental Health

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

#### Substance Use Disorder

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

#### Medical/Surgical

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

# **Evidentiary Standards**

#### Mental Health

- Utilization is two standard deviations above average utilization per episode of care.
- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

#### Substance Use Disorder

- Utilization is two standard deviations above average utilization per episode of care.
- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

#### Medical/Surgical

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

NQTL Type: Outlier Management Classification: Outpatient - Other

Factor: High levels of variation in length of stay

### **Definitions**

Mental Health: Individuals with the same illness having different courses of improvement.

Substance Use Disorder: Individuals with the same illness having different courses of improvement.

Medical/Surgical:

### Sources

#### Mental Health

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

# Substance Use Disorder

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

#### Medical/Surgical

# **Evidentiary Standards**

#### Mental Health

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

#### Substance Use Disorder

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

#### Medical/Surgical

NQTL Type: Outlier Management Classification: Outpatient - Other Factor: Variability in quality

### **Definitions**

**Mental Health:** is individual improving, or is there a need for a change in the treatment plan, monitoring appropriateness and efficacy of treatment.

**Substance Use Disorder:** is individual improving, or is there a need for a change in the treatment plan, monitoring appropriateness and efficacy of treatment.

Medical/Surgical:

#### Sources

#### Mental Health

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

# Substance Use Disorder

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Medical/Surgical

# **Evidentiary Standards**

#### Mental Health

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Substance Use Disorder

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Medical/Surgical

# Explain

NQTL Type: Outlier Management Classification: Outpatient - Other Factor: Severity or chronicity of an illness

#### **Definitions**

Mental Health: Level of current symptoms and presence of symptoms over time.

Substance Use Disorder: Level of current symptoms and presence of symptoms over time.

Medical/Surgical:

### Sources

#### Mental Health

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

# Substance Use Disorder

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

#### Medical/Surgical

# **Evidentiary Standards**

#### Mental Health

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

#### Substance Use Disorder

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

#### Medical/Surgical

NQTL Type: Outlier Management Classification: Outpatient - Other

Factor: Service type

#### **Definitions**

**Mental Health:** Services with various levels of intensity, including frequency and expected duration;

Substance Use Disorder: Services with various levels of intensity, including frequency and expected duration;

Medical/Surgical: Services with various levels of intensity, including frequency and expected duration;

### Sources

#### Mental Health

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

#### Substance Use Disorder

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

#### Medical/Surgical

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

# **Evidentiary Standards**

#### Mental Health

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

#### Substance Use Disorder

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Medical/Surgical

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

NQTL Type: Outlier Management Classification: Outpatient - Other

Factor: Least restrictive appropriate level of care

### **Definitions**

Mental Health: lowest level of care the individual can be safely and effectively treated.

Substance Use Disorder: lowest level of care the individual can be safely and effectively treated.

Medical/Surgical:

### Sources

#### Mental Health

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

# Substance Use Disorder

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

#### Medical/Surgical

# **Evidentiary Standards**

#### Mental Health

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

#### Substance Use Disorder

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Medical/Surgical

NQTL Type: Outlier Management Classification: Outpatient - Other

Factor: Safety risks

### **Definitions**

**Mental Health:** 

**Substance Use Disorder:** 

Medical/Surgical: risk of PA drug compared to other drugs considered

Sources

Mental Health

Substance Use Disorder

#### Medical/Surgical

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### **Evidentiary Standards**

Mental Health

Substance Use Disorder

#### Medical/Surgical

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

# Explain

NQTL Type: Outlier Management Classification: Outpatient - Other Factor: Not Applicable

### **Definitions**

Mental Health: NQTL does not apply for this classification

Substance Use Disorder: NQTL does not apply for this classification

Medical/Surgical: NQTL does not apply for this classification

### Sources

Mental Health

• Not Applicable

Substance Use Disorder
• Not Applicable

Medical/Surgical
• Not Applicable

# **Evidentiary Standards**

Mental Health

• Not Applicable

Substance Use Disorder
• Not Applicable

Medical/Surgical
• Not Applicable

# Explain

NQTL Type: Service Limitations
Classification: Inpatient
Category: Substance Use Disorder

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N No Documents Attached

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

• Not Applicable

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

Not Applicable

NQTL Type: Service Limitations Classification: Inpatient Category: Medical/Surgical

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N No Documents Attached

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

• Not Applicable

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

Not Applicable

NQTL Type: Service Limitations Classification: Inpatient Factor: Not Applicable

### **Definitions**

**Mental Health:** 

**Substance Use Disorder:** NQTL does not apply for this classification

Medical/Surgical: NQTL does not apply for this classification

Sources

Mental Health Substance Use Disorder Medical/Surgical

Not Applicable
 Question Skipped

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

Not Applicable
 Question Skipped

Explain

Substance Use Disorder: Medical/Surgical:

NQTL Type: Service Limitations
Classification: Outpatient - Office Based
Category: Mental Health

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N No Documents Attached

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

• Service type

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

Service type

NQTL Type: Service Limitations Classification: Outpatient - Office Based Category: Substance Use Disorder

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N No Documents Attached

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

• Service type

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

Service type

NQTL Type: Service Limitations
Classification: Outpatient - Office Based
Category: Medical/Surgical

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N No Documents Attached

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

• Not Applicable

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

Not Applicable

NQTL Type: Service Limitations Classification: Outpatient - Office Based

Factor: Not Applicable

### **Definitions**

**Mental Health:** 

**Substance Use Disorder:** 

Medical/Surgical: NQTL does not apply for this classification

Sources

Mental Health Substance Use Disorder Medical/Surgical

• Not Applicable

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

• Not Applicable

Explain

NQTL Type: Service Limitations Classification: Outpatient - Office Based

Factor: Service type

#### **Definitions**

Mental Health: Services with various levels of intensity, including frequency and expected duration;

Substance Use Disorder: Services with various levels of intensity, including frequency and expected duration;

Medical/Surgical:

#### Sources

#### Mental Health

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Substance Use Disorder

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

#### Medical/Surgical

### **Evidentiary Standards**

#### Mental Health

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

#### Substance Use Disorder

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

#### Medical/Surgical

### Explain

#### **Mental Health:**

NQTL Type: Service Limitations Classification: Outpatient - Other Category: Mental Health

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N No Documents Attached

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

• Service type

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

Service type

NQTL Type: Service Limitations Classification: Outpatient - Other Category: Substance Use Disorder

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N No Documents Attached

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

• Not Applicable

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

Not Applicable

NQTL Type: Service Limitations Classification: Outpatient - Other Category: Medical/Surgical

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N No Documents Attached

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

• Not Applicable

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

Not Applicable

NQTL Type: Service Limitations Classification: Outpatient - Other Factor: Service type

### **Definitions**

Mental Health: Services with various levels of intensity, including frequency and expected duration;

**Substance Use Disorder:** 

Medical/Surgical:

### Sources

Mental Health Substance Use Disorder Medic

Medical/Surgical

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

# **Evidentiary Standards**

Mental Health Substance Use Disorder Medical/Surgical

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

Explain

**Mental Health:** 

NQTL Type: Service Limitations Classification: Outpatient - Other Factor: Not Applicable

### **Definitions**

**Mental Health:** 

**Substance Use Disorder:** NQTL does not apply for this classification

Medical/Surgical: NQTL does not apply for this classification

Sources

Mental Health Substance Use Disorder Medical/Surgical

Not Applicable
 Question Skipped

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

Not Applicable
 Question Skipped

Explain

Substance Use Disorder: Medical/Surgical:

NQTL Type: Service Limitations Classification: Emergency Benefits Category: Substance Use Disorder

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N No Documents Attached

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

• Not Applicable

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

Not Applicable

NQTL Type: Service Limitations Classification: Emergency Benefits

Factor: Not Applicable

### **Definitions**

**Mental Health:** 

Substance Use Disorder: NQTL does not apply for this classification

Medical/Surgical: NQTL does not apply for this classification

Sources

Mental Health Substance Use Disorder Medical/Surgical

• Not Applicable

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

• Not Applicable

Explain

NQTL Type: concurrent review
Classification: Outpatient - Office Based
Category: Mental Health

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N No Documents Attached

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

- High levels of variation in length of stay
- · Variability in quality
- · Severity or chronicity of an illness
- · Service type
- Least restrictive appropriate level of care
- · Clinical indications and/or evidence

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

- High levels of variation in length of stay
- · Variability in quality
- · Severity or chronicity of an illness
- Service type
- · Least restrictive appropriate level of care

NQTL Type: concurrent review
Classification: Outpatient - Office Based
Category: Substance Use Disorder

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N No Documents Attached

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

- High levels of variation in length of stay
- · Clinical indications and/or evidence

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

- · High levels of variation in length of stay
- · Severity or chronicity of an illness
- Service type
- · Least restrictive appropriate level of care
- Clinical indications and/or evidence

NQTL Type: concurrent review
Classification: Outpatient - Office Based
Category: Medical/Surgical

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N No Documents Attached

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

- Claims evaluation, reporting, and analytics
- Administrative Burden/cost

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

- Utilization patterns
- Clinical indications and/or clinical evidence

NQTL Type: concurrent review Classification: Outpatient - Office Based

Factor: Claims evaluation, reporting, and analytics

### **Definitions**

#### **Mental Health:**

#### **Substance Use Disorder:**

**Medical/Surgical:** Claims evaluation, reporting, and analytics is defined as the service or treatment is subject to material variation (i.e., a statistically significant change identified internally through claims evaluation, reporting, and analytics and externally through published clinical evidence) by: level of care, site of service/care, diagnosis, and/or provider/facility with respect to outcome, utilization, or cost.

#### Sources

Mental Health Substance Use Disorder

#### Medical/Surgical

- Internal claims analysis
- Medical expert reviews
- State and Federal requirements
- Internal market and competitive analysis
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### **Evidentiary Standards**

Mental Health Substance Use Disorder

#### Medical/Surgical

 The financial cost of the variation in utilization, cost, or outcome of the item or service exceeds the administrative cost of applying Prior Authorization

# Explain

NQTL Type: concurrent review Classification: Outpatient - Office Based

Factor: Administrative Burden/cost

### **Definitions**

#### **Mental Health:**

#### **Substance Use Disorder:**

**Medical/Surgical:** Administrative burden/cost is defined as the Plan will review the value of applying Prior Authorization in terms of improved outcomes or reduced costs exceeds the administrative burden/cost in applying Prior Authorization.

#### Sources

Mental Health

Substance Use Disorder

#### Medical/Surgical

- Internal claims analysis
- State and Federal requirements
- Internal market and competitive analysis
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NOTL to benefits

### **Evidentiary Standards**

Mental Health

Substance Use Disorder

### Medical/Surgical

 The unit cost of each service exceeds a minimum threshold (this threshold varies over time based on economic considerations and health are costs)

### Explain

NQTL Type: concurrent review

Classification: Outpatient - Office Based Factor: High levels of variation in length of stay

#### **Definitions**

Mental Health: Individuals with the same illness having different courses of improvement.

Substance Use Disorder: Individuals with the same illness having different courses of improvement.

Medical/Surgical:

### Sources

#### Mental Health

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

# Substance Use Disorder

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

#### Medical/Surgical

# **Evidentiary Standards**

#### Mental Health

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

#### Substance Use Disorder

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines. Medical/Surgical

### Explain

### Mental Health:

NQTL Type: concurrent review

Classification: Outpatient - Office Based Factor: Clinical indications and/or evidence

#### **Definitions**

**Mental Health:** Professional standards and protocols - defined as comparative effectiveness studies and clinical trials **Substance Use Disorder:** Professional standards and protocols - defined as comparative effectiveness studies and clinical trials **Medical/Surgical:** 

### Sources

#### Mental Health

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

# Substance Use Disorder

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

#### Medical/Surgical

# **Evidentiary Standards**

#### Mental Health

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

#### Substance Use Disorder

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

#### Medical/Surgical

### Explain

NQTL Type: concurrent review Classification: Outpatient - Office Based

Factor: Variability in quality

### **Definitions**

**Mental Health:** is individual improving, or is there a need for a change in the treatment plan, monitoring appropriateness and efficacy of treatment.

**Substance Use Disorder:** 

Medical/Surgical:

#### Sources

Mental Health

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits Substance Use Disorder

Medical/Surgical

### **Evidentiary Standards**

Mental Health

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines. Substance Use Disorder

Medical/Surgical

Explain

Mental Health:

NQTL Type: concurrent review

Classification: Outpatient - Office Based Factor: Severity or chronicity of an illness

#### **Definitions**

Mental Health: Level of current symptoms and presence of symptoms over time.

**Substance Use Disorder:** Level of current symptoms and presence of symptoms over time.

Medical/Surgical:

### Sources

#### Mental Health

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

# Substance Use Disorder

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

#### Medical/Surgical

### **Evidentiary Standards**

#### Mental Health

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

#### Substance Use Disorder

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

#### Medical/Surgical

### Explain

#### **Mental Health:**

NQTL Type: concurrent review

Classification: Outpatient - Office Based

Factor: Service type

#### **Definitions**

Mental Health: Services with various levels of intensity, including frequency and expected duration;

Substance Use Disorder: Services with various levels of intensity, including frequency and expected duration;

Medical/Surgical:

#### Sources

#### Mental Health

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

# Substance Use Disorder

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

#### Medical/Surgical

### **Evidentiary Standards**

#### Mental Health

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

#### Substance Use Disorder

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

#### Medical/Surgical

### Explain

### Mental Health:

NQTL Type: concurrent review

Classification: Outpatient - Office Based

Factor: Least restrictive appropriate level of care

#### **Definitions**

Mental Health: Lowest level of care the individual can be safely and effectively treated.

Substance Use Disorder: Lowest level of care the individual can be safely and effectively treated.

Medical/Surgical:

#### Sources

#### Mental Health

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

#### Source

Substance Use Disorder

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

#### Medical/Surgical

### **Evidentiary Standards**

#### Mental Health

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

#### Substance Use Disorder

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

#### Medical/Surgical

### Explain

#### **Mental Health:**

NQTL Type: concurrent review

Classification: Outpatient - Office Based

Factor: Utilization patterns

### **Definitions**

**Mental Health:** 

**Substance Use Disorder:** 

Medical/Surgical: Utilization patterns suggest evidence-based national clinical guidelines are not being followed consistently.

### Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Internal claims analysis
- Medical expert reviews
- State and Federal requirements
- Internal market and competitive analysis
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NOTL to benefits

### **Evidentiary Standards**

Mental Health

Substance Use Disorder

Medical/Surgical

 There is a denial rate for the requested item or service that exceeds a minimum threshold (variable over time based on The Plan's experience)

Explain

NQTL Type: concurrent review

Classification: Outpatient - Office Based

Factor: Clinical indications and/or clinical evidence

### **Definitions**

**Mental Health:** 

**Substance Use Disorder:** 

Medical/Surgical: Professional standards and protocols - defined as comparative effectiveness studies and clinical trials

### Sources

Mental Health Substance Use Disorder Medical/Surgical

- Medical expert reviews • State and Federal requirements
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### **Evidentiary Standards**

Mental Health Substance Use Disorder Medical/Surgical

• A certain number/type of recognized medical literature and professional standards (including comparative effectiveness studies and clinical trials), and published research studies.

Explain

NQTL Type: concurrent review Classification: Outpatient - Office Based

Factor: Least restrictive appropriate level of care

### **Definitions**

Mental Health: lowest level of care the individual can be safely and effectively treated.

**Substance Use Disorder:** 

Medical/Surgical:

### Sources

Mental Health Substance Use Disorder Medical/Surgical

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

# **Evidentiary Standards**

Mental Health Substance Use Disorder Medical/Surgical

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

Explain

**Mental Health:** 

NQTL Type: concurrent review Classification: Inpatient Category: Mental Health

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N No Documents Attached

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

- High levels of variation in length of stay
- Severity or chronicity of an illness
- Service type

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

- High levels of variation in length of stay
- · Variability in quality
- · Severity or chronicity of an illness
- Service type
- Least restrictive appropriate level of care

NQTL Type: concurrent review
Classification: Inpatient
Category: Substance Use Disorder

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: Y

Please upload documentation demonstrating that the NQTL type is applied to 100% of benefits in this classification.
Supporting Documents

• Optum Benefits Mapping\_MDH Revisions\_7.17.20.xlsx

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- High levels of variation in length of stay
- Variability in quality
- · Severity or chronicity of an illness
- Service type
- · Least restrictive appropriate level of care

NQTL Type: concurrent review Classification: Inpatient Category: Medical/Surgical

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N No Documents Attached

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

- · Excessive utilization
- · Service type
- · Claims evaluation, reporting, and analytics
- Utilization patterns
- Clinical indications and/or clinical evidence

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

• Not Applicable

NQTL Type: concurrent review Classification: Inpatient

Factor: High levels of variation in length of stay

#### **Definitions**

Mental Health: Individuals with the same illness having different courses of improvement.

Substance Use Disorder: Individuals with the same illness having different courses of improvement.

Medical/Surgical:

### Sources

#### Mental Health

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

# Substance Use Disorder

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

#### Medical/Surgical

# **Evidentiary Standards**

#### Mental Health

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

#### Substance Use Disorder

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

#### Medical/Surgical

### Explain

#### **Mental Health:**

NQTL Type: concurrent review Classification: Inpatient

Factor: Severity or chronicity of an illness

#### **Definitions**

Mental Health: Level of current symptoms and presence of symptoms over time.

Substance Use Disorder: Level of current symptoms and presence of symptoms over time.

Medical/Surgical:

### Sources

#### Mental Health

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

# Substance Use Disorder

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

#### Medical/Surgical

### **Evidentiary Standards**

#### Mental Health

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

#### Substance Use Disorder

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

#### Medical/Surgical

### Explain

#### **Mental Health:**

NQTL Type: concurrent review Classification: Inpatient Factor: Service type

#### **Definitions**

Mental Health: Services with various levels of intensity, including frequency and expected duration;

Substance Use Disorder: Services with various levels of intensity, including frequency and expected duration;

Medical/Surgical: Services with various levels of intensity, including frequency and expected duration;

#### Sources

#### Mental Health

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

#### Substance Use Disorder

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

#### Medical/Surgical

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### **Evidentiary Standards**

#### Mental Health

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

#### Substance Use Disorder

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

#### Medical/Surgical

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Explain

**Mental Health:** 

NQTL Type: concurrent review Classification: Inpatient Factor: Excessive utilization

### **Definitions**

**Mental Health:** 

**Substance Use Disorder:** 

Medical/Surgical: Utilization of a service or services beyond that which is deemed medically necessary

### Sources

Mental Health

Substance Use Disorder

#### Medical/Surgical

- Internal claims analysis
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### **Evidentiary Standards**

Mental Health

Substance Use Disorder

#### Medical/Surgical

- Utilization is two standard deviations above average utilization per episode of care.
- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

NQTL Type: concurrent review Classification: Inpatient

Factor: Claims evaluation, reporting, and analytics

#### **Definitions**

#### **Mental Health:**

#### **Substance Use Disorder:**

**Medical/Surgical:** Claims evaluation, reporting, and analytics is defined as the service or treatment is subject to material variation (i.e., a statistically significant change identified internally through claims evaluation, reporting, and analytics and externally through published clinical evidence) by: level of care, site of service/care, diagnosis, and/or provider/facility with respect to outcome, utilization, or cost.

#### Sources

Mental Health Substance Use Disorder

### Medical/Surgical

- Internal claims analysis
- State and Federal requirements
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NOTL to benefits

### **Evidentiary Standards**

Mental Health Substance Use Disorder

#### Medical/Surgical

 The financial cost of the variation in utilization, cost, or outcome of the item or service exceeds the administrative cost of applying Prior Authorization

NQTL Type: concurrent review Classification: Inpatient Factor: Utilization patterns

### **Definitions**

**Mental Health:** 

**Substance Use Disorder:** 

Medical/Surgical: Utilization patterns suggest evidence-based national clinical guidelines are not being followed consistently.

### Sources

Mental Health Substance Use Disorder

#### Medical/Surgical

- Internal claims analysis
- State and Federal requirements
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### **Evidentiary Standards**

Mental Health

Substance Use Disorder

### Medical/Surgical

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.
- Internal claims data showing that medical cost for certain services increased year over year

NQTL Type: concurrent review Classification: Inpatient

Factor: Clinical indications and/or clinical evidence

### **Definitions**

**Mental Health:** 

**Substance Use Disorder:** 

Medical/Surgical: Professional standards and protocols - defined as comparative effectiveness studies and clinical trials

### Sources

Mental Health Substance Use Disorder

#### Medical/Surgical

- Medical expert reviews
- · Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### **Evidentiary Standards**

Mental Health Substance Use Disorder Medical/Surgical

• Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

NQTL Type: concurrent review Classification: Inpatient Factor: Variability in quality

#### **Definitions**

**Mental Health:** is individual improving, or is there a need for a change in the treatment plan, monitoring appropriateness and efficacy of treatment.

**Substance Use Disorder:** is individual improving, or is there a need for a change in the treatment plan, monitoring appropriateness and efficacy of treatment.

Medical/Surgical:

#### Sources

#### Mental Health

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

#### Substance Use Disorder

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

#### Medical/Surgical

### **Evidentiary Standards**

#### Mental Health

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Substance Use Disorder

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Medical/Surgical

### Explain

#### **Mental Health:**

NQTL Type: concurrent review Classification: Inpatient

Factor: Least restrictive appropriate level of care

#### **Definitions**

Mental Health: Lowest level of care the individual can be safely and effectively treated.

Substance Use Disorder: Lowest level of care the individual can be safely and effectively treated.

Medical/Surgical:

### Sources

#### Mental Health

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

# Substance Use Disorder

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

#### Medical/Surgical

### **Evidentiary Standards**

#### Mental Health

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

#### Substance Use Disorder

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

#### Medical/Surgical

### Explain

#### **Mental Health:**

NQTL Type: concurrent review Classification: Inpatient Factor: Not Applicable

**Definitions** 

**Mental Health:** 

**Substance Use Disorder:** 

Medical/Surgical: NQTL does not apply for this classification

Sources

Mental Health Substance Use Disorder Medical/Surgical

• Not Applicable

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

Not Applicable

NQTL Type: concurrent review Classification: Outpatient - Other Category: Mental Health

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N No Documents Attached

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

- High levels of variation in length of stay
- · Severity or chronicity of an illness
- · Service type
- Least restrictive appropriate level of care
- · Clinical indications and/or evidence

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

- · High levels of variation in length of stay
- Severity or chronicity of an illness
- Service type
- Least restrictive appropriate level of care
- · Clinical indications and/or evidence

NQTL Type: concurrent review Classification: Outpatient - Other Category: Substance Use Disorder

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N No Documents Attached

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

- High levels of variation in length of stay
- · Severity or chronicity of an illness
- · Service type
- · Least restrictive appropriate level of care
- · Clinical indications and/or evidence

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

NQTL Type: concurrent review Classification: Outpatient - Other Category: Medical/Surgical

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N No Documents Attached

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

- · Excessive utilization
- · Health plan accreditation standards for quality assurance
- Medicare/Medicaid program participation eligibility
- · Service type
- Quality and performance measures (including customer feedback)
- · Safety risks
- · Claims evaluation, reporting, and analytics
- Administrative Burden/cost

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

NQTL Type: concurrent review Classification: Outpatient - Other

Factor: High levels of variation in length of stay

### **Definitions**

**Mental Health:** Individuals with the same illness having different courses of improvement.

Substance Use Disorder: Individuals with the same illness having different courses of improvement.

Medical/Surgical:

### Sources

#### Mental Health

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits Substance Use Disorder Question Skipped Medical/Surgical

### **Evidentiary Standards**

#### Mental Health

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines. Substance Use Disorder Question Skipped Medical/Surgical

### Explain

**Mental Health:** 

NQTL Type: concurrent review Classification: Outpatient - Other Factor: Severity or chronicity of an illness

### **Definitions**

Mental Health: Level of current symptoms and presence of symptoms over time.

Substance Use Disorder: Level of current symptoms and presence of symptoms over time.

Medical/Surgical:

### Sources

#### Mental Health

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits Substance Use Disorder Question Skipped

Medical/Surgical

### **Evidentiary Standards**

#### Mental Health

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines. Substance Use Disorder Question Skipped Medical/Surgical

### Explain

**Mental Health:** 

NQTL Type: concurrent review Classification: Outpatient - Other

Factor: Service type

#### **Definitions**

**Mental Health:** Services with various levels of intensity, including frequency and expected duration;

Substance Use Disorder: Services with various levels of intensity, including frequency and expected duration;

Medical/Surgical: Services with various levels of intensity, including frequency and expected duration;

### Sources

#### Mental Health

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits Substance Use Disorder Question Skipped

#### Medical/Surgical

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### **Evidentiary Standards**

#### Mental Health

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines. Substance Use Disorder Question Skipped Medical/Surgical

Question Skipped

### Explain

Mental Health: Substance Use Disorder: Medical/Surgical:

NQTL Type: concurrent review Classification: Outpatient - Other

Factor: Least restrictive appropriate level of care

### **Definitions**

Mental Health: Lowest level of care the individual can be safely and effectively treated.

**Substance Use Disorder:** 

Medical/Surgical:

### Sources

Mental Health Substance Use Disorder Medical/Surgical

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

# **Evidentiary Standards**

Mental Health Substance Use Disorder Medical/Surgical

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

Explain

**Mental Health:** 

NQTL Type: concurrent review Classification: Outpatient - Other

Factor: Clinical indications and/or evidence

### **Definitions**

**Mental Health:** Professional standards and protocols - defined as comparative effectiveness studies and clinical trials **Substance Use Disorder:** Professional standards and protocols - defined as comparative effectiveness studies and clinical trials **Medical/Surgical:** 

### Sources

#### Mental Health

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits Substance Use Disorder Question Skipped Medical/Surgical

### **Evidentiary Standards**

#### Mental Health

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines. Substance Use Disorder Question Skipped Medical/Surgical

### Explain

NQTL Type: concurrent review Classification: Outpatient - Other Factor: Excessive utilization

### **Definitions**

**Mental Health:** 

**Substance Use Disorder:** 

Medical/Surgical: Utilization of a service or services beyond that which is deemed medically necessary

Sources

Mental Health Substance Use Disorder Medical/Surgical

- Internal claims analysis
- State and Federal requirements
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped

Explain

NQTL Type: concurrent review Classification: Outpatient - Other

Factor: Health plan accreditation standards for quality assurance

### **Definitions**

**Mental Health:** 

**Substance Use Disorder:** 

**Medical/Surgical:** state and or federal standards that must be met by the health plan in order to obtain and maintain accreditation

Sources

Mental Health Substance Use Disorder Medic

Medical/Surgical

- State and Federal requirements
- National accreditation standards
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped

Explain

NQTL Type: concurrent review Classification: Outpatient - Other

Factor: Medicare/Medicaid program participation eligibility

### **Definitions**

#### **Mental Health:**

**Substance Use Disorder:** 

**Medical/Surgical:** Federal and State requirements for participation in the Medicare/Medicaid program, including those pertaining to medical, technical and financial eligibility

Sources

Mental Health Substance Use Disorder Medical/Surgical

• State and Federal requirements

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an

NQTL to benefits

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped

**Explain** 

NQTL Type: concurrent review Classification: Outpatient - Other

Factor: Quality and performance measures (including customer feedback)

### **Definitions**

#### **Mental Health:**

#### **Substance Use Disorder:**

**Medical/Surgical:** Measures intended to evaluate and improve the quality of services, including, but not limited to: performance measures associated with waiver assurances, State regulations, national quality standards and pay for performance efforts.

#### Sources

Mental Health Substance Use Disorder Medical/Surgical

- State and Federal requirements
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### **Evidentiary Standards**

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped

Explain

NQTL Type: concurrent review Classification: Outpatient - Other

Factor: Safety risks

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.,	-11			1()	115

**Mental Health:** 

**Substance Use Disorder:** 

Medical/Surgical: risk of PA drug compared to other drugs considered

Sources

Mental Health Substance Use Disorder

Medical/Surgical

- Medical expert reviews
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped

Explain

NQTL Type: concurrent review Classification: Outpatient - Other

Factor: Claims evaluation, reporting, and analytics

### **Definitions**

#### **Mental Health:**

#### **Substance Use Disorder:**

**Medical/Surgical:** Claims evaluation, reporting, and analytics is defined as the service or treatment is subject to material variation (i.e., a statistically significant change identified internally through claims evaluation, reporting, and analytics and externally through published clinical evidence) by: level of care, site of service/care, diagnosis, and/or provider/facility with respect to outcome, utilization, or cost.

#### Sources

Mental Health

Substance Use Disorder

#### Medical/Surgical

- Internal claims analysis
- Medical expert reviews
- State and Federal requirements
- Internal market and competitive analysis
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### **Evidentiary Standards**

Mental Health

Substance Use Disorder

Medical/Surgical

Question Skipped

 The financial cost of the variation in utilization, cost, or outcome of the item or service exceeds the administrative cost of applying Prior Authorization

NQTL Type: concurrent review Classification: Outpatient - Other Factor: Administrative Burden/cost

### **Definitions**

#### **Mental Health:**

Mental Health

#### **Substance Use Disorder:**

**Medical/Surgical:** Administrative burden/cost is defined as the Plan will review the value of applying Prior Authorization in terms of improved outcomes or reduced costs exceeds the administrative burden/cost in applying Prior Authorization.

#### Sources

Mental Health Substance Use Disorder

Medical/Surgical

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### **Evidentiary Standards**

Substance Use Disorder

Medical/Surgical

Question Skipped

 The unit cost of each service exceeds a minimum threshold (this threshold varies over time based on economic considerations and health are costs)

NQTL Type: concurrent review Classification: Outpatient - Other

Factor: Least restrictive appropriate level of care

### **Definitions**

**Mental Health:** 

**Substance Use Disorder:** lowest level of care the individual can be safely and effectively treated.

Medical/Surgical:

Sources

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped

Explain

NQTL Type: concurrent review Classification: Outpatient - Other Factor: Not Applicable

### **Definitions**

**Mental Health:** 

 $\textbf{Substance Use Disorder:} \ \ \text{NQTL does not apply for this classification}$ 

Medical/Surgical: NQTL does not apply for this classification

Sources

Mental Health Substance Use Disorder Medical/Surgical

• Not Applicable

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped

Explain

Substance Use Disorder: Medical/Surgical:

NQTL Type: concurrent review Classification: Prescription Drugs Category: Substance Use Disorder

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N No Documents Attached

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

• Not Applicable

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

NQTL Type: concurrent review Classification: Prescription Drugs Category: Medical/Surgical

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N No Documents Attached

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

• Not Applicable

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

NQTL Type: concurrent review Classification: Prescription Drugs

Factor: Not Applicable

### **Definitions**

**Mental Health:** 

Substance Use Disorder: NQTL does not apply for this classification

Medical/Surgical: NQTL does not apply for this classification

Sources

Mental Health Substance Use Disorder Medical/Surgical

• Not Applicable • Not Applicable

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

• Not Applicable • Not Applicable

NQTL Type: data collection Classification: Inpatient Category: Mental Health

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N No Documents Attached

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

• Not Applicable

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

NQTL Type: data collection Classification: Inpatient Category: Substance Use Disorder

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N No Documents Attached

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

• Not Applicable

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

NQTL Type: data collection Classification: Inpatient Factor: Not Applicable

### **Definitions**

Mental Health: NQTL does not apply for this classification

Substance Use Disorder: NQTL does not apply for this classification

Medical/Surgical: NQTL does not apply for this classification

Sources

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped • Not Applicable

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped • Not Applicable

Explain

**Mental Health:** 

NQTL Type: data collection

Classification: Outpatient - Office Based

Category: Mental Health

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N No Documents Attached

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

• Not Applicable

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

NQTL Type: data collection Classification: Outpatient - Office Based Category: Substance Use Disorder

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N No Documents Attached

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

• Not Applicable

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

NQTL Type: data collection

Classification: Outpatient - Office Based

Factor: Not Applicable

### **Definitions**

Mental Health: NQTL does not apply for this classification

Substance Use Disorder: NQTL does not apply for this classification

Medical/Surgical: NQTL does not apply for this classification

### Sources

Mental Health Substance Use Disorder Medical/Surgical

Not Applicable
 Not Applicable

### **Evidentiary Standards**

Mental Health Substance Use Disorder Medical/Surgical

Not Applicable
 Not Applicable

NQTL Type: data collection Classification: Outpatient - Other Category: Mental Health

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N No Documents Attached

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

• Not Applicable

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

NQTL Type: data collection Classification: Outpatient - Other Category: Substance Use Disorder

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N No Documents Attached

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

• Not Applicable

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

NQTL Type: data collection Classification: Outpatient - Other Factor: Not Applicable

#### **Definitions**

Mental Health: NQTL does not apply for this classification

Substance Use Disorder: NQTL does not apply for this classification

Medical/Surgical: NQTL does not apply for this classification

Sources

Mental Health Substance Use Disorder

Not Applicable

Medical/Surgical

**Evidentiary Standards** 

Explain

Mental Health Substance Use Disorder

Question Skipped Question Skipped

Medical/Surgical

**Mental Health:** 

• Not Applicable

**Substance Use Disorder:** 

NQTL Type: data collection Classification: Emergency Benefits Category: Mental Health

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N No Documents Attached

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

• Not Applicable

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

NQTL Type: data collection Classification: Emergency Benefits Category: Substance Use Disorder

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N No Documents Attached

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

• Not Applicable

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

NQTL Type: data collection Classification: Emergency Benefits

Factor: Not Applicable

#### **Definitions**

Mental Health: NQTL does not apply for this classification

Substance Use Disorder: NQTL does not apply for this classification

Medical/Surgical: NQTL does not apply for this classification

Sources

Mental Health Substance Use Disorder

Not Applicable

Medical/Surgical

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

Not Applicable
 Question Skipped

Explain

**Substance Use Disorder:** 

NQTL Type: fail first requirements/step therapy Classification: Outpatient - Office Based Category: Mental Health

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N No Documents Attached

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

• Not Applicable

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

NQTL Type: fail first requirements/step therapy Classification: Outpatient - Office Based Category: Substance Use Disorder

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N No Documents Attached

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

• Not Applicable

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

NQTL Type: fail first requirements/step therapy Classification: Outpatient - Office Based Category: Medical/Surgical

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N No Documents Attached

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

- High variability in cost per episode of care
- · Claims evaluation, reporting, and analytics

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

- Utilization patterns
- Clinical indications and/or clinical evidence

NQTL Type: fail first requirements/step therapy Classification: Outpatient - Office Based Factor: High variability in cost per episode of care

### **Definitions**

**Mental Health:** 

**Substance Use Disorder:** 

Medical/Surgical: Not Applicable. Did not select in Q P1Q2 and Q3.

#### Sources

Mental Health Substance Use Disorder

#### Medical/Surgical

- Internal claims analysis
- Medical expert reviews
- State and Federal requirements
- Internal market and competitive analysis
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NOTL to benefits

## **Evidentiary Standards**

Mental Health

Substance Use Disorder

### Medical/Surgical

 The unit cost of each service exceeds a minimum threshold (this threshold varies over time based on economic considerations and health are costs)

NQTL Type: fail first requirements/step therapy Classification: Outpatient - Office Based Factor: Claims evaluation, reporting, and analytics

#### **Definitions**

#### **Mental Health:**

#### **Substance Use Disorder:**

**Medical/Surgical:** Claims evaluation, reporting, and analytics is defined as the service or treatment is subject to material variation (i.e., a statistically significant change identified internally through claims evaluation, reporting, and analytics and externally through published clinical evidence) by: level of care, site of service/care, diagnosis, and/or provider/facility with respect to outcome, utilization, or cost.

#### Sources

Mental Health Substance Use Disorder

#### Medical/Surgical

- Internal claims analysis
- Medical expert reviews
- State and Federal requirements
- Internal market and competitive analysis
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### **Evidentiary Standards**

Mental Health Substance Use Disorder

#### Medical/Surgical

 The financial cost of the variation in utilization, cost, or outcome of the item or service exceeds the administrative cost of applying Prior Authorization

NQTL Type: fail first requirements/step therapy Classification: Outpatient - Office Based

Factor: Not Applicable

#### **Definitions**

Mental Health: NQTL does not apply for this classification

Substance Use Disorder: NQTL does not apply for this classification

Medical/Surgical:

Sources

Mental Health Substance Use Disorder Medical/Surgical

Not Applicable
 Question Skipped

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped Question Skipped

Explain

**Mental Health:** 

**Substance Use Disorder:** 

NQTL Type: fail first requirements/step therapy Classification: Outpatient - Office Based

Factor: Utilization patterns

### **Definitions**

**Mental Health:** 

**Substance Use Disorder:** 

Medical/Surgical: Utilization patterns suggest evidence-based national clinical guidelines are not being followed consistently.

#### Sources

Mental Health Substance Use Disorder Med

#### Medical/Surgical

- Internal claims analysis
- · Medical expert reviews
- State and Federal requirements
- Internal market and competitive analysis
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NOTL to benefits

## **Evidentiary Standards**

Mental Health Substance Use Disorder

### Medical/Surgical

 There is a denial rate for the requested item or service that exceeds a minimum threshold (variable over time based on The Plan's experience)

NQTL Type: fail first requirements/step therapy Classification: Outpatient - Office Based Factor: Clinical indications and/or clinical evidence

### **Definitions**

**Mental Health:** 

**Substance Use Disorder:** 

Medical/Surgical: Professional standards and protocols - defined as comparative effectiveness studies and clinical trials

#### Sources

Mental Health Substance Use Disorder Medical/Surgical

- Medical expert reviews • State and Federal requirements
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

## **Evidentiary Standards**

Mental Health Substance Use Disorder

#### Medical/Surgical

- A certain number/type of recognized medical literature and professional standards (including comparative effectiveness studies and clinical trials), and published research studies.
- Standards used by any committees of experts, and required level of qualifications of committee members.

### Explain

URAC ParityManager™ Report

NQTL Type: fail first requirements/step therapy
Classification: Inpatient
Category: Mental Health

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N No Documents Attached

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

• Not Applicable

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

NQTL Type: fail first requirements/step therapy Classification: Inpatient Category: Substance Use Disorder

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N No Documents Attached

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

• Not Applicable

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

NQTL Type: fail first requirements/step therapy
Classification: Inpatient
Category: Medical/Surgical

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N No Documents Attached

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

• Not Applicable

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

NQTL Type: fail first requirements/step therapy

Classification: Inpatient Factor: Not Applicable

#### **Definitions**

Mental Health: NQTL does not apply for this classification

Substance Use Disorder: NQTL does not apply for this classification

Medical/Surgical: NQTL does not apply for this classification

Sources

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped • Not Applicable Question Skipped

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped • Not Applicable Question Skipped

Explain

Mental Health: Medical/Surgical:

NQTL Type: fail first requirements/step therapy Classification: Outpatient - Other Category: Mental Health

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N No Documents Attached

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

• Not Applicable

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

NQTL Type: fail first requirements/step therapy Classification: Outpatient - Other Category: Substance Use Disorder

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N No Documents Attached

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

• Not Applicable

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

NQTL Type: fail first requirements/step therapy Classification: Outpatient - Other Category: Medical/Surgical

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N No Documents Attached

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

- High variability in cost per episode of care
- · Claims evaluation, reporting, and analytics
- Utilization patterns
- Clinical indications and/or clinical evidence

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

NQTL Type: fail first requirements/step therapy Classification: Outpatient - Other

Factor: Not Applicable

#### **Definitions**

Mental Health: NQTL does not apply for this classification

Substance Use Disorder: NQTL does not apply for this classification

Medical/Surgical: NQTL does not apply for this classification

Sources

Mental Health Substance Use Disorder Medical/Surgical

• Not Applicable • Not Applicable

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped Question Skipped

Explain

**Mental Health:** 

**Substance Use Disorder:** 

NQTL Type: fail first requirements/step therapy Classification: Outpatient - Other

Factor: High variability in cost per episode of care

**Definitions** 

**Mental Health:** 

**Substance Use Disorder:** 

Medical/Surgical: Not Applicable. Did not select in Q P1Q2 and Q3.

Sources

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped

Explain

NQTL Type: fail first requirements/step therapy Classification: Outpatient - Other

Factor: Claims evaluation, reporting, and analytics

#### **Definitions**

#### **Mental Health:**

#### **Substance Use Disorder:**

**Medical/Surgical:** Claims evaluation, reporting, and analytics is defined as the service or treatment is subject to material variation (i.e., a statistically significant change identified internally through claims evaluation, reporting, and analytics and externally through published clinical evidence) by: level of care, site of service/care, diagnosis, and/or provider/facility with respect to outcome, utilization, or cost.

Sources

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped

Explain

NQTL Type: fail first requirements/step therapy

Classification: Outpatient - Other Factor: Utilization patterns

### **Definitions**

**Mental Health:** 

**Substance Use Disorder:** 

Medical/Surgical: Utilization patterns suggest evidence-based national clinical guidelines are not being followed consistently.

Sources

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped

Explain

NQTL Type: fail first requirements/step therapy Classification: Outpatient - Other

Factor: Clinical indications and/or clinical evidence

#### **Definitions**

**Mental Health:** 

**Substance Use Disorder:** 

**Medical/Surgical:** Professional standards and protocols - defined as comparative effectiveness studies and clinical trials

Sources

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped

Explain

NQTL Type: fail first requirements/step therapy Classification: Prescription Drugs Category: Mental Health

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N No Documents Attached

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

· fail first protocol

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

fail first protocol

NQTL Type: fail first requirements/step therapy Classification: Prescription Drugs Category: Substance Use Disorder

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N No Documents Attached

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

· fail first protocol

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

NQTL Type: fail first requirements/step therapy Classification: Prescription Drugs Category: Medical/Surgical

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N No Documents Attached

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

- High variability in cost per episode of care
- · Claims evaluation, reporting, and analytics

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

- Utilization patterns
- Clinical indications and/or clinical evidence
- · Formulary Design

NQTL Type: fail first requirements/step therapy

Classification: Prescription Drugs Factor: fail first protocol

#### **Definitions**

**Mental Health:** fail first protocol or requirement to try a generic, less expensive or lower efficacy drug for a certain trial period before receiving approval for a new drug

**Substance Use Disorder:** fail first protocol or requirement to try a generic, less expensive or lower efficacy drug for a certain trial period before receiving approval for a new drug

Medical/Surgical:

#### Sources

#### Mental Health

- Internal claims analysis
- State and Federal requirements
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

## Substance Use Disorder

- Medical expert reviews
- · State and Federal requirements
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

#### Medical/Surgical

## **Evidentiary Standards**

#### Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.
- A certain number/type of recognized medical literature and professional standards (including comparative effectiveness studies and clinical trials), and published research studies.

#### Substance Use Disorder

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.
- FDA Prescribing information and official compendium
- Process review(s) for auditing claims data to ensure compliance of participant's treatment and service plan in conjunction with their prior authorization

### Explain

NQTL Type: fail first requirements/step therapy

Classification: Prescription Drugs

Factor: High variability in cost per episode of care

### **Definitions**

**Mental Health:** 

**Substance Use Disorder:** 

Medical/Surgical: Not Applicable. Did not select in Q P1Q2 and Q3.

Sources

Mental Health Substance Use Disorder Medical/Surgical

• Internal claims analysis

 Internal market and competitive analysis

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

 The unit cost of each service exceeds a minimum threshold (this threshold varies over time based on economic considerations and health

are costs)

NQTL Type: fail first requirements/step therapy Classification: Prescription Drugs

Factor: Claims evaluation, reporting, and analytics

#### **Definitions**

#### **Mental Health:**

#### **Substance Use Disorder:**

**Medical/Surgical:** Claims evaluation, reporting, and analytics is defined as the service or treatment is subject to material variation (i.e., a statistically significant change identified internally through claims evaluation, reporting, and analytics and externally through published clinical evidence) by: level of care, site of service/care, diagnosis, and/or provider/facility with respect to outcome, utilization, or cost.

#### Sources

Mental Health Substance Use Disorder

#### Medical/Surgical

- Internal claims analysis
- Medical expert reviews
- State and Federal requirements
- Internal market and competitive analysis
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### **Evidentiary Standards**

Mental Health Substance Use Disorder

#### Medical/Surgical

 The financial cost of the variation in utilization, cost, or outcome of the item or service exceeds the administrative cost of applying Prior Authorization

NQTL Type: fail first requirements/step therapy

Classification: Prescription Drugs Factor: Not Applicable

### **Definitions**

**Mental Health:** 

Substance Use Disorder: NQTL does not apply for this classification

Medical/Surgical:

Sources

Mental Health Substance Use Disorder Medical/Surgical

• Not Applicable

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

• Not Applicable

NQTL Type: fail first requirements/step therapy

Classification: Prescription Drugs Factor: Utilization patterns

#### **Definitions**

**Mental Health:** 

**Substance Use Disorder:** 

Medical/Surgical: Utilization patterns suggest evidence-based national clinical guidelines are not being followed consistently.

#### Sources

Mental Health Substance Use Disorder

#### Medical/Surgical

- Internal claims analysis
- Medical expert reviews
- State and Federal requirements
- Internal market and competitive analysis
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NOTL to benefits

## **Evidentiary Standards**

Mental Health Substance Use Disorder

### Medical/Surgical

- There is a denial rate for the requested item or service that exceeds a minimum threshold (variable over time based on The Plan's experience)
- Internal claims data showing that medical cost for certain services increased year over year

NQTL Type: fail first requirements/step therapy Classification: Prescription Drugs

Factor: Clinical indications and/or clinical evidence

#### **Definitions**

**Mental Health:** 

**Substance Use Disorder:** 

Medical/Surgical: Professional standards and protocols - defined as comparative effectiveness studies and clinical trials

#### Sources

Mental Health Substance Use Disorder Medical/Surgical

# Medical expert reviews

- State and Federal requirements
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

## **Evidentiary Standards**

Mental Health Substance Use Disorder

#### Medical/Surgical

- A certain number/type of recognized medical literature and professional standards (including comparative effectiveness studies and clinical trials), and published research studies.
- Standards used by any committees of experts, and required level of qualifications of committee members.

### Explain

URAC ParityManager™ Report

NQTL Type: fail first requirements/step therapy

Classification: Prescription Drugs Factor: Formulary Design

#### **Definitions**

#### **Mental Health:**

#### **Substance Use Disorder:**

Medical/Surgical: Formulary design promotes clinically sound, cost-effective medication therapy options and positive therapeutic outcomes. Reliance on panels of experts called Pharmacy and Therapeutics (P&T) Committee, which are compromised of physicians, pharmacists, other health care providers, member advocates, etc who evaluate clinical and medical literature to select the most appropriate medications for individuals disease states and conditions.

#### Sources

Mental Health Substance Use Disorder

#### Medical/Surgical

- Medical expert reviews
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### **Evidentiary Standards**

Mental Health Substance Use Disorder Medical/Surgical

- A certain number/type of recognized medical literature and professional standards (including comparative effectiveness studies and clinical trials), and published research studies.
- Standards used by any committees of experts, and required level of qualifications of committee members.

## Explain

URAC ParityManager™ Report

NQTL Type: medical necessity Classification: Outpatient - Office Based

Category: Mental Health

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: Y

Please upload documentation demonstrating that the NQTL type is applied to 100% of benefits in this classification.
Supporting Documents

• Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- · High levels of variation in length of stay
- · Variability in quality
- · Severity or chronicity of an illness
- Service type
- · Least restrictive appropriate level of care

NQTL Type: medical necessity Classification: Outpatient - Office Based Category: Substance Use Disorder

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: Y

Please upload documentation demonstrating that the NQTL type is applied to 100% of benefits in this classification. Supporting Documents

• Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- · High levels of variation in length of stay
- · Variability in quality
- · Severity or chronicity of an illness
- · Service type
- Least restrictive appropriate level of care

NQTL Type: medical necessity

Classification: Outpatient - Office Based

Category: Medical/Surgical

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: Y

Please upload documentation demonstrating that the NQTL type is applied to 100% of benefits in this classification. Supporting Documents

• Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

- · Excessive utilization
- · Lack of clinical efficiency of treatment or service
- · High variability in cost per episode of care
- Lack of adherence to quality standards
- Service type
- · Relative reimbursement rates
- Internally Developed Guidelines
- Professional Standards and Protocols
- Recognized Medical Literature
- Variance in adherence to quality of care standards

NQTL Type: medical necessity Classification: Outpatient - Office Based

Factor: High levels of variation in length of stay

#### **Definitions**

Mental Health: Individuals with the same illness having different courses of improvement.

Substance Use Disorder: Individuals with the same illness having different courses of improvement.

Medical/Surgical:

### Sources

#### Mental Health

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

# Substance Use Disorder

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

#### Medical/Surgical

# **Evidentiary Standards**

### Mental Health

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Substance Use Disorder

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Medical/Surgical

# Explain

# Mental Health:

NQTL Type: medical necessity Classification: Outpatient - Office Based

Factor: Variability in quality

### **Definitions**

**Mental Health:** is individual improving, or is there a need for a change in the treatment plan, monitoring appropriateness and efficacy of treatment.

**Substance Use Disorder:** is individual improving, or is there a need for a change in the treatment plan, monitoring appropriateness and efficacy of treatment.

Medical/Surgical:

#### Sources

#### Mental Health

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Substance Use Disorder

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

# Medical/Surgical

# **Evidentiary Standards**

#### Mental Health

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

## Substance Use Disorder

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Medical/Surgical

## Explain

#### **Mental Health:**

NQTL Type: medical necessity Classification: Outpatient - Office Based

Factor: Severity or chronicity of an illness

### **Definitions**

Mental Health: Level of current symptoms and presence of symptoms over time.

**Substance Use Disorder:** Level of current symptoms and presence of symptoms over time.

Medical/Surgical:

### Sources

#### Mental Health

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

# Substance Use Disorder

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

#### Medical/Surgical

# **Evidentiary Standards**

#### Mental Health

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

#### Substance Use Disorder

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

#### Medical/Surgical

# Explain

### **Mental Health:**

NQTL Type: medical necessity Classification: Outpatient - Office Based

Factor: Service type

#### **Definitions**

Mental Health: Services with various levels of intensity, including frequency and expected duration;

Substance Use Disorder: Services with various levels of intensity, including frequency and expected duration;

Medical/Surgical: Services with various levels of intensity, including frequency and expected duration;

### Sources

#### Mental Health

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

#### Substance Use Disorder

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

#### Medical/Surgical

- · Medical expert reviews
- State and Federal requirements
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

# **Evidentiary Standards**

#### Mental Health

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

## Substance Use Disorder

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

# Medical/Surgical *Question Skipped*

## **Explain**

Mental Health: Substance Use Disorder: Medical/Surgical:

NQTL Type: medical necessity

Classification: Outpatient - Office Based

Factor: Least restrictive appropriate level of care

#### **Definitions**

Mental Health: Lowest level of care the individual can be safely and effectively treated.

Substance Use Disorder: Lowest level of care the individual can be safely and effectively treated.

Medical/Surgical:

#### Sources

#### Mental Health

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

# Substance Use Disorder

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

#### Medical/Surgical

# **Evidentiary Standards**

### Mental Health

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Substance Use Disorder

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

#### Medical/Surgical

# Explain

### **Mental Health:**

NQTL Type: medical necessity Classification: Outpatient - Office Based

Factor: Excessive utilization

# **Definitions**

**Mental Health:** 

**Substance Use Disorder:** 

Medical/Surgical: Utilization of a service or services beyond that which is deemed medically necessary

Sources

Mental Health Substance Use Disorder Medical/Surgical

• Internal claims analysis

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an

NQTL to benefits

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped

Explain

NQTL Type: medical necessity

Classification: Outpatient - Office Based

Factor: Lack of clinical efficiency of treatment or service

# **Definitions**

**Mental Health:** 

**Substance Use Disorder:** 

Medical/Surgical: PAs used to approve drugs for participants after failing trials of other drugs or services

Sources

Mental Health Substance Use Disorder Medical/Surgical

- Medical expert reviews
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped

Explain

NQTL Type: medical necessity Classification: Outpatient - Office Based

Factor: High variability in cost per episode of care

efin					

**Mental Health:** 

**Substance Use Disorder:** 

Medical/Surgical: Not Applicable. Did not select in Q P1Q2 and Q3.

Sources

Mental Health Substance Use Disorder Medical/Surgical

- Internal claims analysis
- Medical expert reviews

NQTL to benefits

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped

Explain

NQTL Type: medical necessity Classification: Outpatient - Office Based Factor: Lack of adherence to quality standards

### **Definitions**

**Mental Health:** 

**Substance Use Disorder:** 

Medical/Surgical: Provider deviations from the accepted protocols when providing treatment

### Sources

Mental Health Substance Use Disorder Medical/Surgical

- Medical expert reviews
- State and Federal requirements
- National accreditation standards
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped

Explain

NQTL Type: medical necessity Classification: Outpatient - Office Based

Factor: Relative reimbursement rates

			ns

**Mental Health:** 

**Substance Use Disorder:** 

Medical/Surgical: reimbursement rates that vary based on parameters such as place of service

Sources

Mental Health Substance Use Disorder Medical/Surgical

- Internal claims analysis
- State and Federal requirements
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an

NQTL to benefits

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped

Explain

NQTL Type: medical necessity

Classification: Outpatient - Office Based

Factor: Internally Developed Guidelines

## **Definitions**

#### **Mental Health:**

#### **Substance Use Disorder:**

**Medical/Surgical:** Internally Developed Guidelines - defined as internally developed guidelines based upon rigorous review of scientific evidence or clinical registry data from professional specialty societies.

#### Sources

Mental Health Substance Use Disorder

Medical/Surgical

- Medical expert reviews
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

# **Evidentiary Standards**

Mental Health

Substance Use Disorder

Medical/Surgical

Question Skipped

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.
- A certain number/type of recognized medical literature and professional standards (including comparative effectiveness studies and clinical trials), and published research studies.
- Standards used by any committees of experts, and required level of qualifications of committee members.

NQTL Type: medical necessity

Classification: Outpatient - Office Based Factor: Professional Standards and Protocols

#### **Definitions**

**Mental Health:** 

**Substance Use Disorder:** 

Medical/Surgical: Professional standards and protocols - defined as comparative effectiveness studies and clinical trials

### Sources

Mental Health Substance Use Disorder

#### Medical/Surgical

- Medical expert reviews
- · Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

# **Evidentiary Standards**

Mental Health Medical/Surgical Substance Use Disorder Question Skipped

- · Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.
- A certain number/type of recognized medical literature and professional standards (including comparative effectiveness studies and clinical trials), and published research studies.
- Standards used by any committees of experts, and required level of qualifications of committee members.

NQTL Type: medical necessity Classification: Outpatient - Office Based

Factor: Recognized Medical Literature

#### **Definitions**

**Mental Health:** 

**Substance Use Disorder:** 

Medical/Surgical: Recognized medical literature - defined as nationally recognized guidelines and specialty society guidelines

### Sources

Mental Health Substance Use Disorder

#### Medical/Surgical

- Medical expert reviews
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

# **Evidentiary Standards**

Mental Health Substance Use Disorder

# Medical/Surgical Question Skipped

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.
- A certain number/type of recognized medical literature and professional standards (including comparative effectiveness studies and clinical trials), and published research studies.
- Standards used by any committees of experts, and required level of qualifications of committee members.

NQTL Type: medical necessity Classification: Outpatient - Office Based

Factor: Variance in adherence to quality of care standards

# **Definitions**

#### **Mental Health:**

**Substance Use Disorder:** 

**Medical/Surgical:** Variation in adherence to quality of care standards: The Plan will review the variations in the adherence to quality of care standards to help reduce unwarranted variations in care.

### Sources

Mental Health Substance Use Disorder Me

Medical/Surgical

- Medical expert reviews
- State and Federal requirements
- · National accreditation standards
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped

Explain

NQTL Type: medical necessity Classification: Inpatient Category: Mental Health

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: Y

Please upload documentation demonstrating that the NQTL type is applied to 100% of benefits in this classification.
Supporting Documents

• Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

- · High levels of variation in length of stay
- · Variability in quality
- · Severity or chronicity of an illness
- Service type
- · Least restrictive appropriate level of care

NQTL Type: medical necessity
Classification: Inpatient
Category: Substance Use Disorder

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: Y

Please upload documentation demonstrating that the NQTL type is applied to 100% of benefits in this classification. Supporting Documents

• Optum Benefits Mapping\_MDH Revisions\_7.17.20.xlsx

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

- High levels of variation in length of stay
- Variability in quality
- · Severity or chronicity of an illness
- · Service type
- · Least restrictive appropriate level of care

NQTL Type: medical necessity Classification: Inpatient Category: Medical/Surgical

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: Y

Please upload documentation demonstrating that the NQTL type is applied to 100% of benefits in this classification.
Supporting Documents

• Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

- · Excessive utilization
- · Service type
- Internally Developed Guidelines
- Professional Standards and Protocols
- · Recognized Medical Literature

NQTL Type: medical necessity Classification: Inpatient

Factor: High levels of variation in length of stay

### **Definitions**

Mental Health: Individuals with the same illness having different courses of improvement.

Substance Use Disorder: Individuals with the same illness having different courses of improvement.

Medical/Surgical:

### Sources

#### Mental Health

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

# Substance Use Disorder

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

#### Medical/Surgical

# **Evidentiary Standards**

#### Mental Health

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Substance Use Disorder

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Medical/Surgical

# Explain

### **Mental Health:**

NQTL Type: medical necessity Classification: Inpatient Factor: Variability in quality

#### **Definitions**

**Mental Health:** is individual improving, or is there a need for a change in the treatment plan, monitoring appropriateness and efficacy of treatment.

**Substance Use Disorder:** is individual improving, or is there a need for a change in the treatment plan, monitoring appropriateness and efficacy of treatment.

Medical/Surgical:

#### Sources

#### Mental Health

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

# Substance Use Disorder

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

# Medical/Surgical

# **Evidentiary Standards**

#### Mental Health

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

## Substance Use Disorder

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Medical/Surgical

# Explain

#### **Mental Health:**

NQTL Type: medical necessity Classification: Inpatient

Factor: Severity or chronicity of an illness

#### **Definitions**

Mental Health: Level of current symptoms and presence of symptoms over time.

Substance Use Disorder: Level of current symptoms and presence of symptoms over time.

Medical/Surgical:

### Sources

#### Mental Health

- · Medical expert reviews
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

# Substance Use Disorder

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

#### Medical/Surgical

# **Evidentiary Standards**

#### Mental Health

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

#### Substance Use Disorder

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

#### Medical/Surgical

# Explain

# **Mental Health:**

NQTL Type: medical necessity Classification: Inpatient Factor: Service type

#### **Definitions**

Mental Health: Services with various levels of intensity, including frequency and expected duration;

Substance Use Disorder: Services with various levels of intensity, including frequency and expected duration;

Medical/Surgical: Services with various levels of intensity, including frequency and expected duration;

### Sources

#### Mental Health

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

#### Substance Use Disorder

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

#### Medical/Surgical

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

# **Evidentiary Standards**

### Mental Health

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

#### Substance Use Disorder

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

#### Medical/Surgical

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.
- A certain number/type of recognized medical literature and professional standards (including comparative effectiveness studies and clinical trials), and published research studies.

# Explain

Mental Health:

NQTL Type: medical necessity Classification: Inpatient

Factor: Least restrictive appropriate level of care

#### **Definitions**

Mental Health: Lowest level of care the individual can be safely and effectively treated.

Substance Use Disorder: Lowest level of care the individual can be safely and effectively treated.

Medical/Surgical:

### Sources

#### Mental Health

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

# Substance Use Disorder

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

#### Medical/Surgical

# **Evidentiary Standards**

#### Mental Health

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Substance Use Disorder

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Medical/Surgical

# Explain

### **Mental Health:**

NQTL Type: medical necessity Classification: Inpatient Factor: Excessive utilization

# **Definitions**

**Mental Health:** 

**Substance Use Disorder:** 

Medical/Surgical: Utilization of a service or services beyond that which is deemed medically necessary

Sources

Mental Health Substance Use Disorder Medical/Surgical

• Internal claims analysis

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

- Utilization is two standard deviations above average utilization per episode of care.
- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

NQTL Type: medical necessity Classification: Inpatient

Factor: Internally Developed Guidelines

## **Definitions**

#### **Mental Health:**

#### **Substance Use Disorder:**

**Medical/Surgical:** Internally Developed Guidelines - defined as internally developed guidelines based upon rigorous review of scientific evidence or clinical registry data from professional specialty societies.

#### Sources

Mental Health Substance Use Disorder

## Medical/Surgical

- Medical expert reviews
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

# **Evidentiary Standards**

Mental Health

Substance Use Disorder

### Medical/Surgical

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.
- A certain number/type of recognized medical literature and professional standards (including comparative effectiveness studies and clinical trials), and published research studies.
- Standards used by any committees of experts, and required level of qualifications of committee members.

NQTL Type: medical necessity Classification: Inpatient

Factor: Professional Standards and Protocols

## **Definitions**

**Mental Health:** 

**Substance Use Disorder:** 

Medical/Surgical: Professional standards and protocols - defined as comparative effectiveness studies and clinical trials

### Sources

Mental Health Substance Use Disorder

#### Medical/Surgical

- Medical expert reviews
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

# **Evidentiary Standards**

Mental Health

Substance Use Disorder

#### Medical/Surgical

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.
- A certain number/type of recognized medical literature and professional standards (including comparative effectiveness studies and clinical trials), and published research studies.
- Standards used by any committees of experts, and required level of qualifications of committee members.

NQTL Type: medical necessity Classification: Inpatient

Factor: Recognized Medical Literature

## **Definitions**

**Mental Health:** 

**Substance Use Disorder:** 

Medical/Surgical: Recognized medical literature - defined as nationally recognized guidelines and specialty society guidelines

### Sources

Mental Health Substance Use Disorder

#### Medical/Surgical

- Medical expert reviews
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

# **Evidentiary Standards**

Mental Health Substance Use Disorder

### Medical/Surgical

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.
- A certain number/type of recognized medical literature and professional standards (including comparative effectiveness studies and clinical trials), and published research studies.
- Standards used by any committees of experts, and required level of qualifications of committee members.

NQTL Type: medical necessity Classification: Outpatient - Other Category: Mental Health

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: Y

Please upload documentation demonstrating that the NQTL type is applied to 100% of benefits in this classification.
Supporting Documents

• Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

- High levels of variation in length of stay
- · Variability in quality
- · Severity or chronicity of an illness
- · Service type
- · Least restrictive appropriate level of care

NQTL Type: medical necessity Classification: Outpatient - Other Category: Substance Use Disorder

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: Y

Please upload documentation demonstrating that the NQTL type is applied to 100% of benefits in this classification.
Supporting Documents

• Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

- · High levels of variation in length of stay
- · Variability in quality
- · Severity or chronicity of an illness
- Service type
- Not Applicable
- Least restrictive appropriate level of care

NQTL Type: medical necessity Classification: Outpatient - Other Category: Medical/Surgical

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: Y

Please upload documentation demonstrating that the NQTL type is applied to 100% of benefits in this classification.
Supporting Documents

• Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

- · Excessive utilization
- Current and projected demand for services
- · Severity or chronicity of an illness
- Medicare/Medicaid program participation eligibility
- · Service type
- Internally Developed Guidelines
- · Professional Standards and Protocols
- Recognized Medical Literature

NQTL Type: medical necessity Classification: Outpatient - Other

Factor: High levels of variation in length of stay

### **Definitions**

Mental Health: Individuals with the same illness having different courses of improvement.

Substance Use Disorder: Individuals with the same illness having different courses of improvement.

Medical/Surgical:

### Sources

#### Mental Health

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

# Substance Use Disorder

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

#### Medical/Surgical

# **Evidentiary Standards**

### Mental Health

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Substance Use Disorder

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

#### Medical/Surgical

# Explain

### **Mental Health:**

NQTL Type: medical necessity Classification: Outpatient - Other Factor: Variability in quality

## **Definitions**

**Mental Health:** is individual improving, or is there a need for a change in the treatment plan, monitoring appropriateness and efficacy of treatment.

**Substance Use Disorder:** is individual improving, or is there a need for a change in the treatment plan, monitoring appropriateness and efficacy of treatment.

Medical/Surgical:

#### Sources

#### Mental Health

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

# Substance Use Disorder

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

#### Medical/Surgical

# **Evidentiary Standards**

#### Mental Health

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

## Substance Use Disorder

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Medical/Surgical

## **Explain**

### **Mental Health:**

NQTL Type: medical necessity Classification: Outpatient - Other Factor: Severity or chronicity of an illness

## **Definitions**

Mental Health: Level of current symptoms and presence of symptoms over time.

Substance Use Disorder: Level of current symptoms and presence of symptoms over time.

**Medical/Surgical:** Level of current symptoms and presence of symptoms over time.

### Sources

#### Mental Health

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

#### Substance Use Disorder

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

#### Medical/Surgical

- Medical expert reviews
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NOTL to benefits

# **Evidentiary Standards**

#### Mental Health

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

#### Substance Use Disorder

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines. Medical/Surgical *Question Skipped* 

# Explain

Mental Health: Medical/Surgical:

NQTL Type: medical necessity Classification: Outpatient - Other

Factor: Service type

#### **Definitions**

**Mental Health:** Services with various levels of intensity, including frequency and expected duration;

Substance Use Disorder: Services with various levels of intensity, including frequency and expected duration;

Medical/Surgical: Services with various levels of intensity, including frequency and expected duration;

### Sources

#### Mental Health

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

#### Substance Use Disorder

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

#### Medical/Surgical

- · Medical expert reviews
- · State and Federal requirements
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

# **Evidentiary Standards**

#### Mental Health

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Substance Use Disorder

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

# Medical/Surgical *Question Skipped*

## **Explain**

Mental Health: Medical/Surgical:

NQTL Type: medical necessity Classification: Outpatient - Other

Factor: Least restrictive appropriate level of care

### **Definitions**

Mental Health: lowest level of care the individual can be safely and effectively treated.

Substance Use Disorder: lowest level of care the individual can be safely and effectively treated.

Medical/Surgical:

### Sources

#### Mental Health

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

# Substance Use Disorder

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

#### Medical/Surgical

# **Evidentiary Standards**

#### Mental Health

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Substance Use Disorder

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

#### Medical/Surgical

# Explain

### **Mental Health:**

NQTL Type: medical necessity Classification: Outpatient - Other Factor: Not Applicable

# **Definitions**

**Mental Health:** 

Substance Use Disorder: NQTL does not apply for this classification

Medical/Surgical:

Sources

Mental Health Substance Use Disorder Medical/Surgical

Not Applicable

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

• Not Applicable

NQTL Type: medical necessity Classification: Outpatient - Other Factor: Excessive utilization

## **Definitions**

**Mental Health:** 

**Substance Use Disorder:** 

Medical/Surgical: Utilization of a service or services beyond that which is deemed medically necessary

Sources

Mental Health Substance Use Disorder Medical/Surgical

• Internal claims analysis

State and Federal requirementsInternal market and competitive

analysis

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped

Explain

NQTL Type: medical necessity Classification: Outpatient - Other

Factor: Current and projected demand for services

## **Definitions**

**Mental Health:** 

**Substance Use Disorder:** 

Medical/Surgical: Current service utilization and anticipated utilization based on prior trends in utilization and population growth.

Sources

Mental Health Substance Use Disorder Medical/Surgical

• Internal claims analysis

• Internal market and competitive

analysis

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped

Explain

NQTL Type: medical necessity Classification: Outpatient - Other

Factor: Medicare/Medicaid program participation eligibility

#### **Definitions**

**Mental Health:** 

**Substance Use Disorder:** 

**Medical/Surgical:** Federal and State requirements for participation in the Medicare/Medicaid program, including those pertaining to medical, technical and financial eligibility

Sources

Mental Health Substance Use Disorder Medical/Surgical

• State and Federal requirements

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped

Explain

NQTL Type: medical necessity Classification: Outpatient - Other Factor: Internally Developed Guidelines

#### **Definitions**

#### **Mental Health:**

#### **Substance Use Disorder:**

**Medical/Surgical:** Internally Developed Guidelines - defined as internally developed guidelines based upon rigorous review of scientific evidence or clinical registry data from professional specialty societies.

#### Sources

Mental Health Substance Use Disorder

Medical/Surgical

- Medical expert reviews
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

## **Evidentiary Standards**

Mental Health Substance Use Disorder

# Medical/Surgical Question Skipped

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.
- State regulatory standards for health plan network adequacy.
- A certain number/type of recognized medical literature and professional standards (including comparative effectiveness studies and clinical trials), and published research studies.

NQTL Type: medical necessity Classification: Outpatient - Other

Factor: Professional Standards and Protocols

#### **Definitions**

**Mental Health:** 

**Substance Use Disorder:** 

Medical/Surgical: Professional standards and protocols - defined as comparative effectiveness studies and clinical trials

#### Sources

Mental Health Substance Use Disorder

#### Medical/Surgical

- Medical expert reviews
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

## **Evidentiary Standards**

Mental Health Substance Use Disorder Medical/Surg

# Medical/Surgical Question Skipped

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.
- A certain number/type of recognized medical literature and professional standards (including comparative effectiveness studies and clinical trials), and published research studies.
- Standards used by any committees of experts, and required level of qualifications of committee members.

NQTL Type: medical necessity Classification: Outpatient - Other Factor: Recognized Medical Literature

## **Definitions**

**Mental Health:** 

**Substance Use Disorder:** 

Medical/Surgical: Recognized medical literature - defined as nationally recognized guidelines and specialty society guidelines

#### Sources

Mental Health Substance Use Disorder

#### Medical/Surgical

- Medical expert reviews
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

## **Evidentiary Standards**

Mental Health Substance Use Disorder

# Medical/Surgical Question Skipped

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.
- A certain number/type of recognized medical literature and professional standards (including comparative effectiveness studies and clinical trials), and published research studies.
- Standards used by any committees of experts, and required level of qualifications of committee members.

NQTL Type: medical necessity Classification: Emergency Benefits Category: Mental Health

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: Y

Please upload documentation demonstrating that the NQTL type is applied to 100% of benefits in this classification.
Supporting Documents

• We DO NOT apply any NQTLs to the Emergency and Pharmacy Benefits.docx

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

Not Applicable

NQTL Type: medical necessity Classification: Emergency Benefits Category: Substance Use Disorder

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: Y

Please upload documentation demonstrating that the NQTL type is applied to 100% of benefits in this classification. Supporting Documents

• Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

• Not Applicable

NQTL Type: medical necessity Classification: Emergency Benefits Category: Medical/Surgical

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: Y

Please upload documentation demonstrating that the NQTL type is applied to 100% of benefits in this classification. Supporting Documents

• Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Internally Developed Guidelines
- Professional Standards and Protocols
- · Recognized Medical Literature

NQTL Type: medical necessity Classification: Emergency Benefits Factor: Internally Developed Guidelines

## **Definitions**

#### **Mental Health:**

#### **Substance Use Disorder:**

**Medical/Surgical:** Internally Developed Guidelines - defined as internally developed guidelines based upon rigorous review of scientific evidence or clinical registry data from professional specialty societies.

#### Sources

Mental Health Substance Use Disorder

#### Medical/Surgical

- Medical expert reviews
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

## **Evidentiary Standards**

Mental Health Substance Use Disorder

#### Medical/Surgical

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.
- A certain number/type of recognized medical literature and professional standards (including comparative effectiveness studies and clinical trials), and published research studies.
- Standards used by any committees of experts, and required level of qualifications of committee members.

NQTL Type: medical necessity Classification: Emergency Benefits

Factor: Professional Standards and Protocols

#### **Definitions**

**Mental Health:** 

**Substance Use Disorder:** 

Medical/Surgical: Professional standards and protocols - defined as comparative effectiveness studies and clinical trials

#### Sources

Mental Health Substance Use Disorder

#### Medical/Surgical

- Medical expert reviews
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

## **Evidentiary Standards**

Mental Health Substance Use Disorder

#### Medical/Surgical

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.
- A certain number/type of recognized medical literature and professional standards (including comparative effectiveness studies and clinical trials), and published research studies.
- Standards used by any committees of experts, and required level of qualifications of committee members.

NQTL Type: medical necessity Classification: Emergency Benefits Factor: Recognized Medical Literature

#### **Definitions**

**Mental Health:** 

**Substance Use Disorder:** 

Medical/Surgical: Recognized medical literature - defined as nationally recognized guidelines and specialty society guidelines

#### Sources

Mental Health Substance Use Disorder

#### Medical/Surgical

- Medical expert reviews
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

## **Evidentiary Standards**

Mental Health Substance Use Disorder

#### Medical/Surgical

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.
- A certain number/type of recognized medical literature and professional standards (including comparative effectiveness studies and clinical trials), and published research studies.
- Standards used by any committees of experts, and required level of qualifications of committee members.

NQTL Type: medical necessity Classification: Emergency Benefits

Factor: Not Applicable

#### **Definitions**

Mental Health: NQTL does not apply for this classification

Substance Use Disorder: NQTL does not apply for this classification

Medical/Surgical:

Sources

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped Question Skipped

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped Question Skipped

Explain

**Mental Health:** 

**Substance Use Disorder:** 

NQTL Type: medical necessity Classification: Prescription Drugs Category: Mental Health

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: Y

Please upload documentation demonstrating that the NQTL type is applied to 100% of benefits in this classification. Supporting Documents

• Maryland\_PDL\_7.1.20.pdf

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

- · clinical appropriateness/medical necessity
- · fiscal responsibility/cost effectiveness
- Medication status on Preferred Drug List (PDL) as determined by the Preferred Drug Program via recommendations by the Pharmacy & Therapeutics (P&T) Committee

NQTL Type: medical necessity Classification: Prescription Drugs Category: Substance Use Disorder

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: Y

Please upload documentation demonstrating that the NQTL type is applied to 100% of benefits in this classification. Supporting Documents

• Maryland\_PDL\_7.1.20.pdf

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Considered Relied Upon

- · clinical appropriateness/medical necessity
- · fiscal responsibility/cost effectiveness
- Medication status on Preferred Drug List (PDL) as determined by the Preferred Drug Program via recommendations by the Pharmacy & Therapeutics (P&T) Committee

NQTL Type: medical necessity Classification: Prescription Drugs Category: Medical/Surgical

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: Y

Please upload documentation demonstrating that the NQTL type is applied to 100% of benefits in this classification. Supporting Documents

• Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

- Lack of clinical efficiency of treatment or service
- · Claims evaluation, reporting, and analytics
- Utilization patterns
- Administrative Burden/cost
- · Clinical indications and/or clinical evidence
- · clinical appropriateness/medical necessity
- · fiscal responsibility/cost effectiveness
- Medication status on Preferred Drug List (PDL) as determined by the Preferred Drug Program via recommendations by the Pharmacy & Therapeutics (P&T) Committee

Considered

NQTL Type: medical necessity Classification: Prescription Drugs

Factor: clinical appropriateness/medical necessity

#### **Definitions**

Mental Health: Identified measures in place to determine the most appropriate treatment option for participants based on the participant's medical status, the placement of the treatment option on the PDL, and the treatment option's clinical criteria Substance Use Disorder: Identified measures in place to determine the most appropriate treatment option for participants based on the participant's medical status, the placement of the treatment option on the PDL, and the treatment option's clinical criteria Medical/Surgical: Identified measures in place to determine the most appropriate treatment option for participants based on the participant's medical status, the placement of the treatment option on the PDL, and the treatment option's clinical criteria

#### Sources

#### Mental Health

- · Internal claims analysis
- State and Federal requirements
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NOTL to benefits

## Substance Use Disorder

- Medical expert reviews
- State and Federal requirements
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NOTL to benefits

## Medical/Surgical

Question Skipped

## **Evidentiary Standards**

#### Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.
- A certain number/type of recognized medical literature and professional standards (including comparative effectiveness studies and clinical trials), and published research studies.
- FDA Prescribing information and official compendium
- Process review(s) for auditing claims data to ensure compliance of participant's treatment and service plan in conjunction with their prior authorization

#### Substance Use Disorder

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.
- FDA Prescribing information and official compendium

Medical/Surgical

Question Skipped

## Explain

NQTL Type: medical necessity Classification: Prescription Drugs

Factor: fiscal responsibility/cost effectiveness

#### **Definitions**

**Mental Health:** Examination of a drug's actual cost and rebateable status for the State with an emphasis on cost conservation and reduction of waste for the Department while still maintaining the accessibility of care to participants

Substance Use Disorder: Examination of a drug's actual cost and rebateable status for the State with an emphasis on cost

**Substance Use Disorder:** Examination of a drug's actual cost and rebateable status for the State with an emphasis on cost conservation and reduction of waste for the Department while still maintaining the accessibility of care to participants **Medical/Surgical:** Examination of a drug's actual cost and rebateable status for the State with an emphasis on cost conservation and reduction of waste for the Department while still maintaining the accessibility of care to participants

#### Sources

#### Mental Health

- · Internal claims analysis
- State and Federal requirements
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NOTL to benefits

#### Substance Use Disorder

- Internal claims analysis
- Medical expert reviews
- · State and Federal requirements
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NOTL to benefits

# Medical/Surgical *Question Skipped*

## **Evidentiary Standards**

#### Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.
- A certain number/type of recognized medical literature and professional standards (including comparative effectiveness studies and clinical trials), and published research studies.
- FDA Prescribing information and official compendium
- Process review(s) for auditing claims data to ensure compliance of participant's treatment and service plan in conjunction with their prior authorization

#### Substance Use Disorder

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.
- FDA Prescribing information and official compendium

Medical/Surgical

Question Skipped

#### Explain

NQTL Type: medical necessity Classification: Prescription Drugs

Factor: Medication status on Preferred Drug List (PDL) as determined by the Preferred Drug Program via

recommendations by the Pharmacy & Therapeutics (P&T) Committee

#### **Definitions**

**Mental Health:** A drug's classification within the Preferred Drug List (PDL), which is a list of the Department's Preferred and Non-Preferred drugs developed by the Department's Preferred Drug Program via recommendations made by the Pharmacy &Therapeutics (P&T) Committee

**Substance Use Disorder:** A drug's classification within the Preferred Drug List (PDL), which is a list of the Department's Preferred and Non- Preferred drugs developed by the Department's Preferred Drug Program via recommendations made by the Pharmacy &Therapeutics (P&T) Committee

**Medical/Surgical:** A drug's classification within the Preferred Drug List (PDL), which is a list of the Department's Preferred and Non- Preferred drugs developed by the Department's Preferred Drug Program via recommendations made by the Pharmacy &Therapeutics (P&T) Committee

#### Sources

#### Mental Health

- · Internal claims analysis
- State and Federal requirements
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NOTL to benefits

#### Substance Use Disorder

- Medical expert reviews
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

#### Medical/Surgical

Question Skipped

## **Evidentiary Standards**

#### Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.
- A certain number/type of recognized medical literature and professional standards (including comparative effectiveness studies and clinical trials), and published research studies.
- FDA Prescribing information and official compendium

#### Substance Use Disorder

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.
- FDA Prescribing information and official compendium

Medical/Surgical

Question Skipped

#### Explain

NQTL Type: medical necessity Classification: Prescription Drugs

Factor: Lack of clinical efficiency of treatment or service

#### **Definitions**

**Mental Health:** 

**Substance Use Disorder:** 

Medical/Surgical: PAs used to approve drugs for participants after failing trials of other drugs or services

Sources

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped

Explain

NQTL Type: medical necessity Classification: Prescription Drugs

Factor: Claims evaluation, reporting, and analytics

#### **Definitions**

М	en	tal	He	alth	:

#### **Substance Use Disorder:**

**Medical/Surgical:** Claims evaluation, reporting, and analytics is defined as the service or treatment is subject to material variation (i.e., a statistically significant change identified internally through claims evaluation, reporting, and analytics and externally through published clinical evidence) by: level of care, site of service/care, diagnosis, and/or provider/facility with respect to outcome, utilization, or cost.

Sources

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped

Explain

NQTL Type: medical necessity Classification: Prescription Drugs Factor: Utilization patterns

#### **Definitions**

**Mental Health:** 

**Substance Use Disorder:** 

Medical/Surgical: Utilization patterns suggest evidence-based national clinical guidelines are not being followed consistently.

#### Sources

Mental Health Substance Use Disorder Medical/Surgical

## Question Skipped

- Internal claims analysis
- Medical expert reviews
- State and Federal requirements
- · Internal market and competitive analysis
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

## **Evidentiary Standards**

Substance Use Disorder Mental Health

# Medical/Surgical Question Skipped

- There is a denial rate for the requested item or service that exceeds a minimum threshold (variable over time based on The Plan's experience)
- · Internal claims data showing that medical cost for certain services increased year over year

NQTL Type: medical necessity Classification: Prescription Drugs Factor: Administrative Burden/cost

#### **Definitions**

М	en	tal	He	alth	:

**Substance Use Disorder:** 

**Medical/Surgical:** Administrative burden/cost is defined as the Plan will review the value of applying Prior Authorization in terms of improved outcomes or reduced costs exceeds the administrative burden/cost in applying Prior Authorization.

Sources

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped

Explain

NQTL Type: medical necessity Classification: Prescription Drugs

Factor: Clinical indications and/or clinical evidence

## **Definitions**

**Mental Health:** 

**Substance Use Disorder:** 

Medical/Surgical: Professional standards and protocols - defined as comparative effectiveness studies and clinical trials

#### Sources

Mental Health

Substance Use Disorder

Medical/Surgical

Question Skipped

- Medical expert reviews
- State and Federal requirements
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

## **Evidentiary Standards**

Mental Health

Substance Use Disorder

Medical/Surgical

Question Skipped

- A certain number/type of recognized medical literature and professional standards (including comparative effectiveness studies and clinical trials), and published research studies.
- Standards used by any committees of experts, and required level of qualifications of committee members.

NQTL Type: prior authorization/pre authorization Classification: Outpatient - Office Based Category: Mental Health

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N No Documents Attached

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

- High levels of variation in length of stay
- · Variability in quality
- · Severity or chronicity of an illness
- · Service type
- · Least restrictive appropriate level of care

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

- High levels of variation in length of stay
- Variability in quality
- Severity or chronicity of an illness
- Service type
- · Least restrictive appropriate level of care

NQTL Type: prior authorization/pre authorization Classification: Outpatient - Office Based Category: Substance Use Disorder

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N No Documents Attached

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

• Service type

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

Service type

NQTL Type: prior authorization/pre authorization Classification: Outpatient - Office Based Category: Medical/Surgical

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N No Documents Attached

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

- · Excessive utilization
- · High variability in cost per episode of care
- · Service type
- Relative reimbursement rates
- · Claims evaluation, reporting, and analytics
- Administrative Burden/cost

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

Not Applicable

NQTL Type: prior authorization/pre authorization Classification: Outpatient - Office Based

Factor: Excessive utilization

## **Definitions**

**Mental Health:** 

**Substance Use Disorder:** 

Medical/Surgical: Utilization of a service or services beyond that which is deemed medically necessary

Sources

Mental Health Substance Use Disorder Medical/Surgical

- Internal claims analysis
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped

Explain

NQTL Type: prior authorization/pre authorization Classification: Outpatient - Office Based Factor: High variability in cost per episode of care

**Definitions** 

**Mental Health:** 

**Substance Use Disorder:** 

Medical/Surgical: Not Applicable. Did not select in Q P1Q2 and Q3.

Sources

Mental Health Substance Use Disorder Medical/Surgical

• Internal claims analysis

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an

NQTL to benefits

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped

Explain

NQTL Type: prior authorization/pre authorization Classification: Outpatient - Office Based

Factor: Service type

#### **Definitions**

**Mental Health:** Services with various levels of intensity, including frequency and expected duration;

Substance Use Disorder: Services with various levels of intensity, including frequency and expected duration;

Medical/Surgical: Services with various levels of intensity, including frequency and expected duration;

#### Sources

#### Mental Health

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

#### Substance Use Disorder

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

#### Medical/Surgical

- · Medical expert reviews
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NOTL to benefits

## **Evidentiary Standards**

#### Mental Health

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

#### Substance Use Disorder

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines. Medical/Surgical

Question Skipped

## Explain

Mental Health: Substance Use Disorder: Medical/Surgical:

NQTL Type: prior authorization/pre authorization Classification: Outpatient - Office Based Factor: Relative reimbursement rates

**Definitions** 

Mental H	lealth:
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**Substance Use Disorder:** 

Medical/Surgical: reimbursement rates that vary based on parameters such as place of service

Sources

Mental Health Substance Use Disorder Medical/Surgical

- Internal claims analysis
- State and Federal requirements
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped

Explain

NQTL Type: prior authorization/pre authorization

Classification: Outpatient - Office Based

Factor: Claims evaluation, reporting, and analytics

#### **Definitions**

#### **Mental Health:**

#### **Substance Use Disorder:**

**Medical/Surgical:** Claims evaluation, reporting, and analytics is defined as the service or treatment is subject to material variation (i.e., a statistically significant change identified internally through claims evaluation, reporting, and analytics and externally through published clinical evidence) by: level of care, site of service/care, diagnosis, and/or provider/facility with respect to outcome, utilization, or cost.

#### Sources

Mental Health Substance Use Disorder

Medical/Surgical

- Internal claims analysis
- State and Federal requirements
- Internal market and competitive analysis
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

#### **Evidentiary Standards**

Mental Health

Substance Use Disorder

Medical/Surgical

Question Skipped

 The financial cost of the variation in utilization, cost, or outcome of the item or service exceeds the administrative cost of applying Prior Authorization

NQTL Type: prior authorization/pre authorization Classification: Outpatient - Office Based Factor: Administrative Burden/cost

#### **Definitions**

#### **Mental Health:**

#### **Substance Use Disorder:**

**Medical/Surgical:** Administrative burden/cost is defined as the Plan will review the value of applying Prior Authorization in terms of improved outcomes or reduced costs exceeds the administrative burden/cost in applying Prior Authorization.

#### Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Internal claims analysis
- State and Federal requirements
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

## **Evidentiary Standards**

Mental Health

Substance Use Disorder

Medical/Surgical

Question Skipped

 The unit cost of each service exceeds a minimum threshold (this threshold varies over time based on economic considerations and health are costs)

NQTL Type: prior authorization/pre authorization Classification: Outpatient - Office Based Factor: High levels of variation in length of stay

#### **Definitions**

Mental Health: Individuals with the same illness having different courses of improvement.

**Substance Use Disorder:** 

Medical/Surgical:

#### Sources

Mental Health Substance Use Disorder Medical/Surgical

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

# **Evidentiary Standards**

Mental Health Substance Use Disorder Medical/Surgical

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

Explain

**Mental Health:** 

NQTL Type: prior authorization/pre authorization Classification: Outpatient - Office Based Factor: Variability in quality

#### **Definitions**

**Mental Health:** is individual improving, or is there a need for a change in the treatment plan, monitoring appropriateness and efficacy of treatment.

**Substance Use Disorder:** 

Medical/Surgical:

#### Sources

Mental Health

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits Substance Use Disorder

Medical/Surgical

## **Evidentiary Standards**

Mental Health

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines. Substance Use Disorder

Medical/Surgical

Explain

Mental Health:

NQTL Type: prior authorization/pre authorization Classification: Outpatient - Office Based Factor: Severity or chronicity of an illness

#### **Definitions**

Mental Health: Level of current symptoms and presence of symptoms over time.

**Substance Use Disorder:** 

Medical/Surgical:

## Sources

Mental Health

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits Jources

Medical/Surgical

## **Evidentiary Standards**

Mental Health

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines. Substance Use Disorder

Substance Use Disorder

Medical/Surgical

Explain

**Mental Health:** 

NQTL Type: prior authorization/pre authorization Classification: Outpatient - Office Based Factor: Least restrictive appropriate level of care

## **Definitions**

Mental Health: lowest level of care the individual can be safely and effectively treated.

**Substance Use Disorder:** 

Medical/Surgical:

## Sources

Mental Health Substance Use Disorder Medical/Surgical

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

# **Evidentiary Standards**

Mental Health Substance Use Disorder Medical/Surgical

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

Explain

**Mental Health:** 

NQTL Type: prior authorization/pre authorization Classification: Outpatient - Office Based Factor: Not Applicable

**Definitions** 

**Mental Health:** 

**Substance Use Disorder:** 

Medical/Surgical: NQTL does not apply for this classification

Sources

Mental Health Substance Use Disorder Medical/Surgical

Not Applicable

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

Question Skipped

Explain

Medical/Surgical:

NQTL Type: prior authorization/pre authorization Classification: Inpatient Category: Mental Health

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N No Documents Attached

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

- Severity or chronicity of an illness
- Least restrictive appropriate level of care

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

- · Severity or chronicity of an illness
- Least restrictive appropriate level of care

NQTL Type: prior authorization/pre authorization Classification: Inpatient Category: Substance Use Disorder

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N No Documents Attached

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

- Severity or chronicity of an illness
- Least restrictive appropriate level of care

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

· Not Applicable

NQTL Type: prior authorization/pre authorization Classification: Inpatient Category: Medical/Surgical

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N No Documents Attached

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

- · Excessive utilization
- Medicare/Medicaid program participation eligibility
- · Service type
- Claims evaluation, reporting, and analytics
- Administrative Burden/cost

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

• Not Applicable

NQTL Type: prior authorization/pre authorization Classification: Inpatient

Factor: Severity or chronicity of an illness

## **Definitions**

Mental Health: Level of current symptoms and presence of symptoms over time.

Substance Use Disorder: Level of current symptoms and presence of symptoms over time.

Medical/Surgical:

## Sources

#### Mental Health

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

# Substance Use Disorder

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

#### Medical/Surgical

# **Evidentiary Standards**

#### Mental Health

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

#### Substance Use Disorder

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Medical/Surgical

## Explain

### **Mental Health:**

NQTL Type: prior authorization/pre authorization Classification: Inpatient

Factor: Least restrictive appropriate level of care

### **Definitions**

Mental Health: Lowest level of care the individual can be safely and effectively treated.

Substance Use Disorder: Lowest level of care the individual can be safely and effectively treated.

Medical/Surgical:

### Sources

#### Mental Health

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

# Substance Use Disorder

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Medical/Surgical

## **Evidentiary Standards**

### Mental Health

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Substance Use Disorder

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Medical/Surgical

## Explain

### **Mental Health:**

NQTL Type: prior authorization/pre authorization

Classification: Inpatient Factor: Excessive utilization

## **Definitions**

**Mental Health:** 

**Substance Use Disorder:** 

Medical/Surgical: Utilization of a service or services beyond that which is deemed medically necessary

## Sources

Mental Health Substance Use Disorder Medical/Surgical

#### iedical/Julyical

- Internal claims analysis
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

## **Evidentiary Standards**

Mental Health Substance Use Disorder Medical/Surgical

- Utilization is two standard deviations above average utilization per episode of care.
- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

## Explain

URAC ParityManager™ Report

NQTL Type: prior authorization/pre authorization Classification: Inpatient

Factor: Medicare/Medicaid program participation eligibility

## **Definitions**

#### **Mental Health:**

**Substance Use Disorder:** 

**Medical/Surgical:** Federal and State requirements for participation in the Medicare/Medicaid program, including those pertaining to medical, technical and financial eligibility

Sources

Mental Health Substance Use Disorder Medical/Surgical

• State and Federal requirements

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

NQTL Type: prior authorization/pre authorization Classification: Inpatient

Factor: Service type

## **Definitions**

**Mental Health:** 

**Substance Use Disorder:** 

Medical/Surgical: Services with various levels of intensity, including frequency and expected duration;

Sources

Mental Health Substance Use Disorder

Medical/Surgical

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

**Evidentiary Standards** 

Mental Health Substance Use Disorder

Medical/Surgical

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

NQTL Type: prior authorization/pre authorization Classification: Inpatient

Factor: Claims evaluation, reporting, and analytics

### **Definitions**

#### **Mental Health:**

#### **Substance Use Disorder:**

**Medical/Surgical:** Claims evaluation, reporting, and analytics is defined as the service or treatment is subject to material variation (i.e., a statistically significant change identified internally through claims evaluation, reporting, and analytics and externally through published clinical evidence) by: level of care, site of service/care, diagnosis, and/or provider/facility with respect to outcome, utilization, or cost.

### Sources

Mental Health

Substance Use Disorder

## Medical/Surgical

- Internal claims analysis
- State and Federal requirements
- Internal market and competitive analysis
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NOTL to benefits

# **Evidentiary Standards**

Mental Health

Substance Use Disorder

### Medical/Surgical

- The financial cost of the variation in utilization, cost, or outcome of the item or service exceeds the administrative cost of applying Prior Authorization
- The unit cost of each service exceeds a minimum threshold (this threshold varies over time based on economic considerations and health are costs)
- There is a denial rate for the requested item or service that exceeds a minimum threshold (variable over time based on The Plan's experience)

NQTL Type: prior authorization/pre authorization Classification: Inpatient

Factor: Administrative Burden/cost

## **Definitions**

#### **Mental Health:**

#### **Substance Use Disorder:**

**Medical/Surgical:** Administrative burden/cost is defined as the Plan will review the value of applying Prior Authorization in terms of improved outcomes or reduced costs exceeds the administrative burden/cost in applying Prior Authorization.

### Sources

Mental Health

Substance Use Disorder

### Medical/Surgical

- Internal claims analysis
- State and Federal requirements
- Internal market and competitive analysis
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NOTL to benefits

## **Evidentiary Standards**

Mental Health

Substance Use Disorder

## Medical/Surgical

- The financial cost of the variation in utilization, cost, or outcome of the item or service exceeds the administrative cost of applying Prior Authorization
- There is a denial rate for the requested item or service that exceeds a minimum threshold (variable over time based on The Plan's experience)

NQTL Type: prior authorization/pre authorization

Classification: Inpatient Factor: Not Applicable

## **Definitions**

**Mental Health:** 

Substance Use Disorder: NQTL does not apply for this classification

Medical/Surgical: NQTL does not apply for this classification

Sources

Mental Health Substance Use Disorder Medical/Surgical

• Not Applicable • Not Applicable

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

• Not Applicable • Not Applicable

NQTL Type: prior authorization/pre authorization Classification: Outpatient - Other Category: Mental Health

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N No Documents Attached

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

- High levels of variation in length of stay
- · Variability in quality
- · Severity or chronicity of an illness
- · Service type
- · Least restrictive appropriate level of care

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

· Not Applicable

NQTL Type: prior authorization/pre authorization Classification: Outpatient - Other Category: Substance Use Disorder

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N No Documents Attached

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

• Not Applicable

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

Not Applicable

NQTL Type: prior authorization/pre authorization Classification: Outpatient - Other Category: Medical/Surgical

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N No Documents Attached

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

- Excessive utilization
- · Elasticity of demand
- Medicare/Medicaid program participation eligibility
- Quality and performance measures (including customer feedback)
- · Claims evaluation, reporting, and analytics
- · Administrative Burden/cost

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

Not Applicable

NQTL Type: prior authorization/pre authorization Classification: Outpatient - Other Factor: Not Applicable

## **Definitions**

Mental Health: NQTL does not apply for this classification

Substance Use Disorder: NQTL does not apply for this classification

Medical/Surgical: NQTL does not apply for this classification

Sources

Mental Health Substance Use Disorder Medical/Surgical Not Applicable

 Not Applicable Not Applicable

**Evidentiary Standards** 

Substance Use Disorder Mental Health Medical/Surgical • Not Applicable • Not Applicable Question Skipped

Explain

**Substance Use Disorder:** 

NQTL Type: prior authorization/pre authorization

Classification: Outpatient - Other Factor: Excessive utilization

## **Definitions**

**Mental Health:** 

**Substance Use Disorder:** 

Medical/Surgical: Utilization of a service or services beyond that which is deemed medically necessary

Sources

Mental Health Substance Use Disorder Medical/Surgical

Internal claims analysisMedical expert reviews

• State and Federal requirements

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

- Utilization is two standard deviations above average utilization per episode of care.
- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

NQTL Type: prior authorization/pre authorization

Classification: Outpatient - Other Factor: Elasticity of demand

## **Definitions**

**Mental Health:** 

**Substance Use Disorder:** 

Medical/Surgical: The change in demand, ostensibly referring to the demand for services, based on other factors

Sources

Mental Health Substance Use Disorder Medical/Surgical

• Internal claims analysis

 Internal market and competitive analysis

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

- Utilization is two standard deviations above average utilization per episode of care.
- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

NQTL Type: prior authorization/pre authorization Classification: Outpatient - Other

Factor: Medicare/Medicaid program participation eligibility

## **Definitions**

#### **Mental Health:**

**Substance Use Disorder:** 

**Medical/Surgical:** Federal and State requirements for participation in the Medicare/Medicaid program, including those pertaining to medical, technical and financial eligibility

Sources

Mental Health Substance Use Disorder Medical/Surgical

• State and Federal requirements

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

NQTL Type: prior authorization/pre authorization Classification: Outpatient - Other

Factor: Quality and performance measures (including customer feedback)

## **Definitions**

#### **Mental Health:**

#### **Substance Use Disorder:**

**Medical/Surgical:** Measures intended to evaluate and improve the quality of services, including, but not limited to: performance measures associated with waiver assurances, State regulations, national quality standards and pay for performance efforts.

### Sources

Mental Health

Substance Use Disorder

### Medical/Surgical

- · Medical expert reviews
- State and Federal requirements
- · National accreditation standards
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

## **Evidentiary Standards**

Mental Health

Substance Use Disorder

### Medical/Surgical

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

NQTL Type: prior authorization/pre authorization Classification: Outpatient - Other

Factor: Claims evaluation, reporting, and analytics

## **Definitions**

#### **Mental Health:**

#### **Substance Use Disorder:**

**Medical/Surgical:** Claims evaluation, reporting, and analytics is defined as the service or treatment is subject to material variation (i.e., a statistically significant change identified internally through claims evaluation, reporting, and analytics and externally through published clinical evidence) by: level of care, site of service/care, diagnosis, and/or provider/facility with respect to outcome, utilization, or cost.

### Sources

Mental Health Substance Use Disorder

## Medical/Surgical

- Internal claims analysis
- Medical expert reviews
- State and Federal requirements
- Internal market and competitive analysis
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

## **Evidentiary Standards**

Mental Health Substance Use Disorder

### Medical/Surgical

 The financial cost of the variation in utilization, cost, or outcome of the item or service exceeds the administrative cost of applying Prior Authorization

NQTL Type: prior authorization/pre authorization

Classification: Outpatient - Other Factor: Administrative Burden/cost

## **Definitions**

#### **Mental Health:**

#### **Substance Use Disorder:**

**Medical/Surgical:** Administrative burden/cost is defined as the Plan will review the value of applying Prior Authorization in terms of improved outcomes or reduced costs exceeds the administrative burden/cost in applying Prior Authorization.

## Sources

Mental Health

Substance Use Disorder

### Medical/Surgical

- Internal claims analysis
- Medical expert reviews
- State and Federal requirements
- Internal market and competitive analysis
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

## **Evidentiary Standards**

Mental Health

Substance Use Disorder

## Medical/Surgical

 The unit cost of each service exceeds a minimum threshold (this threshold varies over time based on economic considerations and health are costs)

NQTL Type: prior authorization/pre authorization Classification: Outpatient - Other

Factor: High levels of variation in length of stay

## **Definitions**

Mental Health: Individuals with the same illness having different courses of improvement.

**Substance Use Disorder:** 

Medical/Surgical:

## Sources

Mental Health Substance Use Disorder Medical/Surgical

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

# **Evidentiary Standards**

Mental Health Substance Use Disorder Medical/Surgical

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

NQTL Type: prior authorization/pre authorization Classification: Outpatient - Other Factor: Variability in quality

## **Definitions**

**Mental Health:** is individual improving, or is there a need for a change in the treatment plan, monitoring appropriateness and efficacy of treatment.

**Substance Use Disorder:** 

Medical/Surgical:

## Sources

Mental Health

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits Substance Use Disorder

Medical/Surgical

# **Evidentiary Standards**

Mental Health

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines. Substance Use Disorder

Medical/Surgical

NQTL Type: prior authorization/pre authorization Classification: Outpatient - Other Factor: Severity or chronicity of an illness

## **Definitions**

Mental Health: Level of current symptoms and presence of symptoms over time.

**Substance Use Disorder:** 

Medical/Surgical:

## Sources

Mental Health

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits Sources

Medical/Surgical

# **Evidentiary Standards**

Mental Health

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines. Substance Use Disorder

Substance Use Disorder

Medical/Surgical

Explain

**Substance Use Disorder:** 

NQTL Type: prior authorization/pre authorization Classification: Outpatient - Other Factor: Service type

## **Definitions**

Mental Health: Services with various levels of intensity, including frequency and expected duration;

**Substance Use Disorder:** 

Medical/Surgical:

## Sources

Mental Health Substance Use Disorder Medical/Surgical

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

# **Evidentiary Standards**

Mental Health Substance Use Disorder Medical/Surgical

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

Explain

**Substance Use Disorder:** 

NQTL Type: prior authorization/pre authorization Classification: Outpatient - Other

Factor: Least restrictive appropriate level of care

## **Definitions**

Mental Health: lowest level of care the individual can be safely and effectively treated.

**Substance Use Disorder:** 

Medical/Surgical:

## Sources

Mental Health Substance Use Disorder Medical/Surgical

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

# **Evidentiary Standards**

Mental Health Substance Use Disorder Medical/Surgical

 Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

NQTL Type: prior authorization/pre authorization Classification: Prescription Drugs Category: Mental Health

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N No Documents Attached

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

- · Lack of clinical efficiency of treatment or service
- · Severity or chronicity of an illness
- fail first protocol
- · clinical appropriateness/medical necessity
- Medication status on Preferred Drug List (PDL) as determined by the Preferred Drug Program via recommendations by the Pharmacy & Therapeutics (P&T) Committee

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

Not Applicable

NQTL Type: prior authorization/pre authorization Classification: Prescription Drugs Category: Substance Use Disorder

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N No Documents Attached

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

- · Lack of clinical efficiency of treatment or service
- · Severity or chronicity of an illness
- fail first protocol
- · clinical appropriateness/medical necessity
- Medication status on Preferred Drug List (PDL) as determined by the Preferred Drug Program via recommendations by the Pharmacy & Therapeutics (P&T) Committee

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

Not Applicable

NQTL Type: prior authorization/pre authorization Classification: Prescription Drugs Category: Medical/Surgical

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N No Documents Attached

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

- Lack of clinical efficiency of treatment or service
- · Severity or chronicity of an illness
- fail first protocol
- · Claims evaluation, reporting, and analytics
- Administrative Burden/cost
- · clinical appropriateness/medical necessity
- Medication status on Preferred Drug List (PDL) as determined by the Preferred Drug Program via recommendations by the Pharmacy & Therapeutics (P&T) Committee

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon Considered

- · Utilization patterns
- Clinical indications and/or clinical evidence
- Formulary Design

NQTL Type: prior authorization/pre authorization Classification: Prescription Drugs

Factor: Lack of clinical efficiency of treatment or service

### **Definitions**

**Mental Health:** PAs used to approve drugs for participants after failing trials of other drugs or services **Substance Use Disorder:** PAs used to approve drugs for participants after failing trials of other drugs or services **Medical/Surgical:** PAs used to approve drugs for participants after failing trials of other drugs or services

### Sources

#### Mental Health

- Medical expert reviews
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Substance Use Disorder

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits Medical/Surgical

Question Skipped

# **Evidentiary Standards**

#### Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.
- FDA Prescribing information and official compendium

Substance Use Disorder Question Skipped Medical/Surgical

Question Skipped

## Explain

NQTL Type: prior authorization/pre authorization Classification: Prescription Drugs Factor: Severity or chronicity of an illness

## **Definitions**

Mental Health: Level of current symptoms and presence of symptoms over time.

Substance Use Disorder: Level of current symptoms and presence of symptoms over time.

**Medical/Surgical:** Level of current symptoms and presence of symptoms over time.

### Sources

#### Mental Health

- · Medical expert reviews
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Substance Use Disorder

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits Medical/Surgical

Question Skipped

# **Evidentiary Standards**

#### Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.
- FDA Prescribing information and official compendium

Substance Use Disorder Question Skipped Medical/Surgical

Question Skipped

## Explain

NQTL Type: prior authorization/pre authorization Classification: Prescription Drugs

Factor: fail first protocol

### **Definitions**

**Mental Health:** fail first protocol or requirement to try a generic, less expensive or lower efficacy drug for a certain trial period before receiving approval for a new drug

**Substance Use Disorder:** fail first protocol or requirement to try a generic, less expensive or lower efficacy drug for a certain trial period before receiving approval for a new drug

**Medical/Surgical:** fail first protocol or requirement to try a generic, less expensive or lower efficacy drug for a certain trial period before receiving approval for a new drug

### Sources

### Mental Health

- · Medical expert reviews
- State and Federal requirements
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NOTL to benefits

### Substance Use Disorder

- Medical expert reviews
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

Medical/Surgical

Question Skipped

# **Evidentiary Standards**

### Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.
- FDA Prescribing information and official compendium
- Process review(s) for auditing claims data to ensure compliance of participant's treatment and service plan in conjunction with their prior authorization

Substance Use Disorder Question Skipped Medical/Surgical

Question Skipped

## Explain

NQTL Type: prior authorization/pre authorization Classification: Prescription Drugs

Factor: clinical appropriateness/medical necessity

### **Definitions**

Mental Health: Identified measures in place to determine the most appropriate treatment option for participants based on the participant's medical status, the placement of the treatment option on the PDL, and the treatment option's clinical criteria Substance Use Disorder: Identified measures in place to determine the most appropriate treatment option for participants based on the participant's medical status, the placement of the treatment option on the PDL, and the treatment option's clinical criteria Medical/Surgical: Identified measures in place to determine the most appropriate treatment option for participants based on the participant's medical status, the placement of the treatment option on the PDL, and the treatment option's clinical criteria

### Sources

#### Mental Health

- · Medical expert reviews
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

## Substance Use Disorder

- Medical expert reviews
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

Medical/Surgical *Question Skipped* 

## **Evidentiary Standards**

### Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.
- FDA Prescribing information and official compendium

Substance Use Disorder Question Skipped Medical/Surgical

Question Skipped

## Explain

NQTL Type: prior authorization/pre authorization

Classification: Prescription Drugs

Factor: Medication status on Preferred Drug List (PDL) as determined by the Preferred Drug Program via

recommendations by the Pharmacy & Therapeutics (P&T) Committee

#### **Definitions**

**Mental Health:** A drug's classification within the Preferred Drug List (PDL), which is a list of the Department's Preferred and Non-Preferred drugs developed by the Department's Preferred Drug Program via recommendations made by the Pharmacy &Therapeutics (P&T) Committee

**Substance Use Disorder:** A drug's classification within the Preferred Drug List (PDL), which is a list of the Department's Preferred and Non- Preferred drugs developed by the Department's Preferred Drug Program via recommendations made by the Pharmacy &Therapeutics (P&T) Committee

**Medical/Surgical:** A drug's classification within the Preferred Drug List (PDL), which is a list of the Department's Preferred and Non- Preferred drugs developed by the Department's Preferred Drug Program via recommendations made by the Pharmacy &Therapeutics (P&T) Committee

#### Sources

#### Mental Health

- · State and Federal requirements
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

#### Substance Use Disorder

· Medical expert reviews

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NOTL to benefits

# Medical/Surgical

Question Skipped

## **Evidentiary Standards**

#### Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.
- FDA Prescribing information and official compendium

Substance Use Disorder Question Skipped Medical/Surgical *Question Skipped* 

## Explain

NQTL Type: prior authorization/pre authorization Classification: Prescription Drugs

Factor: Claims evaluation, reporting, and analytics

#### **Definitions**

#### **Mental Health:**

#### **Substance Use Disorder:**

Medical/Surgical: Claims evaluation, reporting, and analytics is defined as the service or treatment is subject to material variation (i.e., a statistically significant change identified internally through claims evaluation, reporting, and analytics and externally through published clinical evidence) by: level of care, site of service/care, diagnosis, and/or provider/facility with respect to outcome, utilization, or cost.

#### Sources

Mental Health Substance Use Disorder Medical/Surgical

## Question Skipped

- · Internal claims analysis
- Medical expert reviews
- State and Federal requirements
- · Internal market and competitive analysis
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

## **Evidentiary Standards**

Mental Health Substance Use Disorder

## Medical/Surgical Question Skipped

- There is a denial rate for the requested item or service that exceeds a minimum threshold (variable over time based on The Plan's experience)
- · Internal claims data showing that medical cost for certain services increased year over year

NQTL Type: prior authorization/pre authorization

Classification: Prescription Drugs Factor: Administrative Burden/cost

#### **Definitions**

#### **Mental Health:**

#### **Substance Use Disorder:**

Medical/Surgical: Administrative burden/cost is defined as the Plan will review the value of applying Prior Authorization in terms of improved outcomes or reduced costs exceeds the administrative burden/cost in applying Prior Authorization.

#### Sources

Mental Health Substance Use Disorder Medical/Surgical Question Skipped

- Internal claims analysis
- Medical expert reviews
- State and Federal requirements
- · Internal market and competitive analysis
- · Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

#### **Evidentiary Standards**

Mental Health Substance Use Disorder Medical/Surgical

# Question Skipped

- The financial cost of the variation in utilization, cost, or outcome of the item or service exceeds the administrative cost of applying Prior Authorization
- · The unit cost of each service exceeds a minimum threshold (this threshold varies over time based on economic considerations and health are costs)
- · Internal claims data showing that medical cost for certain services increased year over year

NQTL Type: prior authorization/pre authorization Classification: Prescription Drugs

Factor: Not Applicable

#### **Definitions**

Mental Health: NQTL does not apply for this classification

Substance Use Disorder: NQTL does not apply for this classification

Medical/Surgical:

Sources

Mental Health Substance Use Disorder Medical/Surgical

• Not Applicable • Not Applicable

**Evidentiary Standards** 

Mental Health Substance Use Disorder Medical/Surgical

• Not Applicable

NQTL Type: prior authorization/pre authorization

Classification: Prescription Drugs Factor: Utilization patterns

#### **Definitions**

**Mental Health:** 

**Substance Use Disorder:** 

Medical/Surgical: Utilization patterns suggest evidence-based national clinical guidelines are not being followed consistently.

#### Sources

Mental Health Substance Use Disorder Medical/Surgical

## Question Skipped

- Internal claims analysis
- Medical expert reviews
- State and Federal requirements
- Internal market and competitive analysis
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

#### **Evidentiary Standards**

Mental Health Substance Use Disorder Medical/Surgical

# Question Skipped

- The financial cost of the variation in utilization, cost, or outcome of the item or service exceeds the administrative cost of applying Prior Authorization
- Internal claims data showing that medical cost for certain services increased year over year

NQTL Type: prior authorization/pre authorization Classification: Prescription Drugs

Factor: Clinical indications and/or clinical evidence

#### **Definitions**

**Mental Health:** 

**Substance Use Disorder:** 

Medical/Surgical: Professional standards and protocols - defined as comparative effectiveness studies and clinical trials

#### Sources

Mental Health

Substance Use Disorder

Medical/Surgical

Question Skipped

- Medical expert reviews
- State and Federal requirements
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

## **Evidentiary Standards**

Mental Health

Substance Use Disorder

Medical/Surgical

Question Skipped

- A certain number/type of recognized medical literature and professional standards (including comparative effectiveness studies and clinical trials), and published research studies.
- Standards used by any committees of experts, and required level of qualifications of committee members.

NQTL Type: prior authorization/pre authorization

Classification: Prescription Drugs Factor: Formulary Design

#### **Definitions**

#### **Mental Health:**

#### **Substance Use Disorder:**

**Medical/Surgical:** Formulary design promotes clinically sound, cost-effective medication therapy options and positive therapeutic outcomes. Reliance on panels of experts called Pharmacy and Therapeutics (P&T) Committee, which are compromised of physicians, pharmacists, other health care providers, member advocates, etc who evaluate clinical and medical literature to select the most appropriate medications for individuals disease states and conditions.

#### Sources

Mental Health Substance Use Disorder Medical/S

Medical/Surgical

Question Skipped

- · Medical expert reviews
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

#### **Evidentiary Standards**

Mental Health Substance Use Disorder Medical/Su

Medical/Surgical

Question Skipped

- A certain number/type of recognized medical literature and professional standards (including comparative effectiveness studies and clinical trials), and published research studies.
- Standards used by any committees of experts, and required level of qualifications of committee members.

NQTL Type: tiered drug formulary Classification: Prescription Drugs Category: Mental Health

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: Y

Please upload documentation demonstrating that the NQTL type is applied to 100% of benefits in this classification. Supporting Documents

• Maryland\_PDL\_7.1.20.pdf

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

- · clinical appropriateness/medical necessity
- · fiscal responsibility/cost effectiveness
- Medication status on Preferred Drug List (PDL) as determined by the Preferred Drug Program via recommendations by the Pharmacy & Therapeutics (P&T) Committee

NQTL Type: tiered drug formulary Classification: Prescription Drugs Category: Substance Use Disorder

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: Y

Please upload documentation demonstrating that the NQTL type is applied to 100% of benefits in this classification. Supporting Documents

• Maryland\_PDL\_7.1.20.pdf

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Considered Relied Upon

- · clinical appropriateness/medical necessity
- · fiscal responsibility/cost effectiveness
- Medication status on Preferred Drug List (PDL) as determined by the Preferred Drug Program via recommendations by the Pharmacy & Therapeutics (P&T) Committee

NQTL Type: tiered drug formulary Classification: Prescription Drugs Category: Medical/Surgical

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: Y

Please upload documentation demonstrating that the NQTL type is applied to 100% of benefits in this classification. Supporting Documents

• Tiered Drug Formulary documentation.xlsx

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

- Brand name medications are assigned to Tier 2
- · Generic medications are assigned to Tier 1
- · clinical appropriateness/medical necessity
- fiscal responsibility/cost effectiveness
- Medication status on Preferred Drug List (PDL) as determined by the Preferred Drug Program via recommendations by the Pharmacy & Therapeutics (P&T) Committee

NQTL Type: tiered drug formulary Classification: Prescription Drugs

Factor: clinical appropriateness/medical necessity

#### **Definitions**

Mental Health: Identified measures in place to determine the most appropriate treatment option for participants based on the participant's medical status, the placement of the treatment option on the PDL, and the treatment option's clinical criteria Substance Use Disorder: Identified measures in place to determine the most appropriate treatment option for participants based on the participant's medical status, the placement of the treatment option on the PDL, and the treatment option's clinical criteria Medical/Surgical: Identified measures in place to determine the most appropriate treatment option for participants based on the participant's medical status, the placement of the treatment option on the PDL, and the treatment option's clinical criteria

#### Sources

#### Mental Health

- · Internal claims analysis
- State and Federal requirements
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NOTL to benefits

#### Substance Use Disorder

- Medical expert reviews
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

#### Medical/Surgical

- · Medical expert reviews
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

#### **Evidentiary Standards**

#### Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.
- A certain number/type of recognized medical literature and professional standards (including comparative effectiveness studies and clinical trials), and published research studies.
- FDA Prescribing information and official compendium
- Process review(s) for auditing claims data to ensure compliance of participant's treatment and service plan in conjunction with their prior authorization

Substance Use Disorder Question Skipped Medical/Surgical

Question Skipped

#### Explain

Substance Use Disorder: Medical/Surgical:

NQTL Type: tiered drug formulary Classification: Prescription Drugs

Factor: fiscal responsibility/cost effectiveness

#### **Definitions**

**Mental Health:** Examination of a drug's actual cost and rebateable status for the State with an emphasis on cost conservation and reduction of waste for the Department while still maintaining the accessibility of care to participants

**Substance Use Disorder:** Examination of a drug's actual cost and rebateable status for the State with an emphasis on cost conservation and reduction of waste for the Department while still maintaining the accessibility of care to participants **Medical/Surgical:** Examination of a drug's actual cost and rebateable status for the State with an emphasis on cost conservation and reduction of waste for the Department while still maintaining the accessibility of care to participants

#### Sources

#### Mental Health

- · Internal claims analysis
- State and Federal requirements
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NOTL to benefits

#### Substance Use Disorder

- State and Federal requirements
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

#### Medical/Surgical

 Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

## **Evidentiary Standards**

#### Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.
- A certain number/type of recognized medical literature and professional standards (including comparative effectiveness studies and clinical trials), and published research studies.
- FDA Prescribing information and official compendium
- Process review(s) for auditing claims data to ensure compliance of participant's treatment and service plan in conjunction with their prior authorization

Substance Use Disorder Question Skipped Medical/Surgical

Question Skipped

#### Explain

Substance Use Disorder: Medical/Surgical:

NQTL Type: tiered drug formulary Classification: Prescription Drugs

Factor: Medication status on Preferred Drug List (PDL) as determined by the Preferred Drug Program via

recommendations by the Pharmacy & Therapeutics (P&T) Committee

#### **Definitions**

**Mental Health:** A drug's classification within the Preferred Drug List (PDL), which is a list of the Department's Preferred and Non-Preferred drugs developed by the Department's Preferred Drug Program via recommendations made by the Pharmacy &Therapeutics (P&T) Committee

**Substance Use Disorder:** A drug's classification within the Preferred Drug List (PDL), which is a list of the Department's Preferred and Non- Preferred drugs developed by the Department's Preferred Drug Program via recommendations made by the Pharmacy &Therapeutics (P&T) Committee

**Medical/Surgical:** A drug's classification within the Preferred Drug List (PDL), which is a list of the Department's Preferred and Non- Preferred drugs developed by the Department's Preferred Drug Program via recommendations made by the Pharmacy &Therapeutics (P&T) Committee

#### Sources

#### Mental Health

- · Internal claims analysis
- State and Federal requirements
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NOTL to benefits

#### Substance Use Disorder

- Medical expert reviews
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NOTL to benefits

#### Medical/Surgical

- · Medical expert reviews
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NOTL to benefits

#### **Evidentiary Standards**

#### Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.
- A certain number/type of recognized medical literature and professional standards (including comparative effectiveness studies and clinical trials), and published research studies.
- FDA Prescribing information and official compendium

Substance Use Disorder Question Skipped Medical/Surgical

Question Skipped

#### Explain

Substance Use Disorder: Medical/Surgical:

NQTL Type: tiered drug formulary Classification: Prescription Drugs

Factor: Brand name medications are assigned to Tier 2

#### **Definitions**

**Mental Health:** 

**Substance Use Disorder:** 

Medical/Surgical: Brand name medication are drugs which is the brand name drug and may have the highest co-payment

#### Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Internal claims analysis
- Medical expert reviews
- Internal market and competitive analysis
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

## **Evidentiary Standards**

Mental Health

Substance Use Disorder

Medical/Surgical

Question Skipped

- A certain number/type of recognized medical literature and professional standards (including comparative effectiveness studies and clinical trials), and published research studies.
- Standards used by any committees of experts, and required level of qualifications of committee members.

NQTL Type: tiered drug formulary Classification: Prescription Drugs

Factor: Generic medications are assigned to Tier 1

#### **Definitions**

#### **Mental Health:**

#### **Substance Use Disorder:**

**Medical/Surgical:** Generic medications are defined as a drug which is often the generic version of a brand name drug, is the lowest cost tier and could potentially offer the lowest co-payment

#### Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Internal claims analysis
- Medical expert reviews
- Internal market and competitive analysis
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NOTL to benefits

## **Evidentiary Standards**

Mental Health

Substance Use Disorder

Medical/Surgical

Question Skipped

- A certain number/type of recognized medical literature and professional standards (including comparative effectiveness studies and clinical trials), and published research studies.
- Standards used by any committees of experts, and required level of qualifications of committee members.

Any parity flags found in Standard 10 are reported below. The number of flags per classification and limit type combination is shown.

Parity Flag			
	Mental Health	Substance Use Disorder	Medical/Surgical
Inpatient	-	-	-
Outpatient - Other	-	-	-
Outpatient - Office Based	-	-	-
Emergency Benefits	-	-	-
Prescription Drugs	-	-	-

Any classification/category/NQTL combination considered incomplete is reported in the table below.

Missing Information			
	Mental Health	Substance Use Disorder	Medical/Surgical
Inpatient	Υ	Υ	Υ
Outpatient - Other	Υ	Υ	Υ
Outpatient - Office Based	Υ	Υ	Υ
Emergency Benefits	-	-	Υ
Prescription Drugs	Y	Y	Υ

#### NQTL: Outlier Management

	Mental Health	Substance Use Disorder	Medical/Surgical
Inpatient	<ul> <li>Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers</li> <li>Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria</li> <li>Authorization Denial Rates for MH/SUD</li> <li>Outlier Management Data</li> </ul>	<ul> <li>Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers</li> <li>Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria</li> <li>Authorization Denial Rates for MH/SUD</li> <li>Outlier Management Data</li> </ul>	<ul> <li>Frequency with which reviews are conducted</li> <li>Degree of discretion exercised by utilization review staff</li> <li>Frequency that authorization requirements are waived</li> <li>Number of days or visits authorized per review</li> <li>Utilization trends</li> <li>Medical claim review accuracy</li> <li>Fraud, waste, and abuse monthly activities</li> <li>Fraud, waste, and abuse program monthly performance</li> <li>Fraud, waste, and abuse referrals and investigation compliance reporting</li> </ul>
Outpatient - Other	<ul> <li>Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers</li> <li>Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria</li> <li>Authorization Denial Rates for MH/SUD</li> <li>Outlier Management Data</li> </ul>	<ul> <li>Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers</li> <li>Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria</li> <li>Authorization Denial Rates for MH/SUD</li> <li>Outlier Management Data</li> </ul>	<ul> <li>Frequency with which reviews are conducted</li> <li>Medical claim review accuracy</li> <li>Fraud, waste, and abuse monthly activities</li> <li>Fraud, waste, and abuse program monthly performance</li> <li>Fraud, waste, and abuse referrals and investigation compliance reporting</li> </ul>
Outpatient - Office Based	<ul> <li>Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers</li> <li>Internal audit findings</li> </ul>	<ul> <li>Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers</li> <li>Internal audit findings</li> </ul>	<ul> <li>Frequency with which reviews are conducted</li> <li>Degree of discretion exercised by utilization review staff</li> <li>Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers</li> <li>Internal audit findings related to coverage</li> </ul>

- Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria
- Authorization Denial Rates for MH/SUD
- Outlier Management Data
- Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria
- Authorization Denial Rates for MH/SUD
- Outlier Management Data
- Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria
- Utilization trends
- Average denial rates for medical necessity for mental health and substance use disorder benefits, and medical/surgical benefits.
- Medical claim review accuracy
- · Fraud, waste, and abuse monthly activities
- Fraud, waste, and abuse program monthly performance
- Fraud, waste, and abuse referrals and investigation compliance reporting
- Practice Guideline review and approval by Provider Advisory Committee
- provider financial analysis (monthly)
- audits tracker (monthly)
- duplicate records (monthly)

	Mental Health	Substance Use Disorder	Medical/Surgical
Emergency Benefits	-	<ul> <li>NQTL does not apply to any services in this classification</li> </ul>	<ul> <li>Medical claim review accuracy</li> <li>Fraud, waste, and abuse monthly activities</li> <li>Fraud, waste, and abuse program monthly performance</li> <li>Fraud, waste, and abuse referrals and investigation compliance reporting</li> </ul>
Prescription Drugs	-	NQTL does not apply to any services in this classification	<ul> <li>Frequency with which reviews are conducted</li> <li>Type and level of documentation (e.g., chart notes, lab results, treatment plans, etc.) the health plan requires from providers during reviews</li> <li>Utilization trends</li> <li>Dollar spend trends</li> <li>Average denial rates for medical necessity for mental health and substance use disorder benefits, and medical/surgical benefits.</li> <li>Compliance with self-imposed, customer, or regulator-imposed network adequacy standards.</li> <li>Assessments of whether network providers are actually submitting claims</li> <li>Compendium internal monitoring of prior authorizations to determine compliance of treatment/service plans for drug efficacy based on concurrent review of treatment plans, service usage, and drug utilization</li> <li>Clinical Criteria applied based on FDA labeling and requirements and Official</li> </ul>

**NQTL: Service Limitations** 

	Mental Health	Substance Use Disorder	Medical/Surgica
Inpatient	-	NQTL does not apply to any services in this classification	<ul> <li>NQTL does not apply to any services in this classification</li> </ul>
Outpatient - Other	<ul> <li>Authorization Denial Rates for MH/SUD</li> </ul>	NQTL does not apply to any services in this classification	<ul> <li>NQTL does not apply to any services in this classification</li> </ul>
Outpatient · Office Based	<ul> <li>Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers</li> <li>Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria</li> <li>Authorization Denial Rates for MH/SUD</li> </ul>	<ul> <li>Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers</li> <li>Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria</li> <li>Authorization Denial Rates for MH/SUD</li> </ul>	NQTL does not apply to any services in this classification
Emergency Benefits	-	NQTL does not apply to any services in this classification	-
Prescription Drugs	-	-	-

## NQTL: concurrent review

	Mental Health	Substance Use Disorder	Medical/Surgical
Inpatient	<ul> <li>Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers</li> <li>Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria</li> <li>Authorization Denial Rates for MH/SUD</li> </ul>	<ul> <li>Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers</li> <li>Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria</li> <li>Authorization Denial Rates for MH/SUD</li> </ul>	<ul> <li>Frequency with which reviews are conducted</li> <li>Degree of discretion exercised by utilization review staff</li> <li>Inter-rater reliability surveys for medical/surgical, mental health and substance used isorder reviewers</li> <li>Frequency that authorization requirements are waived</li> <li>Average length of stay authorized per episode of care</li> <li>Number of days or visits authorized per review</li> </ul>
Outpatient - Other	<ul> <li>Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers</li> <li>Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria</li> <li>Authorization Denial Rates for MH/SUD</li> </ul>	<ul> <li>Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers</li> <li>Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria</li> <li>Authorization Denial Rates for MH/SUD</li> </ul>	<ul> <li>Frequency with which reviews are conducted</li> <li>Degree of discretion exercised by utilization review staff</li> <li>Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers</li> <li>Medical claim review accuracy</li> <li>evaluation of annual concurrent reviews and prio authorization reviews completed on a quarterly basis</li> </ul>
Outpatient - Office Based	<ul> <li>Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers</li> <li>Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria</li> <li>Authorization Denial Rates for MH/SUD</li> </ul>	<ul> <li>Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers</li> <li>Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria</li> <li>Authorization Denial Rates for MH/SUD</li> </ul>	<ul> <li>Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers</li> <li>Medical claim review accuracy</li> </ul>
Emergency Benefits	-	-	-
Prescription Drugs	-	<ul> <li>NQTL does not apply to any services in this classification</li> </ul>	• N/A

NQTL: data collection

	Mental Health	Substance Use Disorder	Medical/Surgical
Inpatient	• N/A	• N/A	-
Outpatient - Other	• N/A	• N/A	-
Outpatient - Office Based	• N/A	• N/A	-
Emergency Benefits	• N/A	• N/A	-
Prescription Drugs	-	<u>-</u>	-

## NQTL: fail first requirements/step therapy

	Mental Health	Substance Use Disorder	Medical/Surgical
Inpatient	<ul> <li>NQTL does not apply to any services in this classification</li> </ul>	<ul> <li>NQTL does not apply to any services in this classification</li> </ul>	<ul> <li>NQTL does not apply to any services in this classification</li> </ul>
Outpatient - Other	NQTL does not apply to any services in this classification	NQTL does not apply to any services in this classification	<ul> <li>Degree of discretion exercised by utilization review staff</li> <li>Average denial rates for medical necessity for mental health and substance use disorder benefits, and medical/surgical benefits.</li> </ul>
Outpatient - Office Based	NQTL does not apply to any services in this classification	NQTL does not apply to any services in this classification	<ul> <li>Average denial rates for medical necessity for mental health and substance use disorder benefits, and medical/surgical benefits.</li> </ul>
Emergency Benefits	-	-	-
Prescription Drugs	<ul> <li>Type and level of documentation (e.g., chart notes, lab results, treatment plans, etc.) the health plan requires from providers during reviews</li> <li>Utilization trends</li> <li>Compendium internal monitoring of prior authorizations to determine compliance of treatment/service plans for drug efficacy based on concurrent review of treatment plans, service usage, and drug utilization</li> <li>Clinical Criteria applied based on FDA labeling and requirements and Official</li> </ul>	<ul> <li>Type and level of documentation (e.g., chart notes, lab results, treatment plans, etc.) the health plan requires from providers during reviews</li> <li>Utilization trends</li> <li>Compendium internal monitoring of prior authorizations to determine compliance of treatment/service plans for drug efficacy based on concurrent review of treatment plans, service usage, and drug utilization</li> <li>Clinical Criteria applied based on FDA labeling and requirements and Official</li> </ul>	<ul> <li>Frequency with which reviews are conducted</li> <li>Availability of less intensive level of care when fail-first NQTL is imposed</li> </ul>

## NQTL: medical necessity

	Mental Health	Substance Use Disorder	Medical/Surgical
Inpatient	<ul> <li>Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers</li> <li>Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria</li> <li>Authorization Denial Rates for MH/SUD</li> </ul>	<ul> <li>Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers</li> <li>Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria</li> <li>Authorization Denial Rates for MH/SUD</li> </ul>	<ul> <li>Frequency with which reviews are conducted</li> <li>Degree of discretion exercised by utilization review staff</li> <li>Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewer</li> <li>Frequency that authorization requirements are waived</li> <li>Number of days ovisits authorized per review</li> <li>Average denial rates for medical necessity for mental health and substance use disorder benefits, and medical/surgical benefits.</li> </ul>

#### **Mental Health** Substance Use Disorder Medical/Surgical • Inter-rater reliability surveys for **Outpatient** • Inter-rater reliability surveys for · Degree of medical/surgical, mental health and medical/surgical, mental health and discretion substance use disorder reviewers substance use disorder reviewers exercised by · Internal audit findings related to · Internal audit findings related to utilization review coverage determination coverage determination staff consistency with the plan's medical consistency with the plan's medical Inter-rater necessity criteria necessity criteria reliability surveys Authorization Denial Rates for Authorization Denial Rates for MH/SUD medical/surgical, MH/SUD

#### Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria Average denial rates for medical necessity for mental health and substance use disorder benefits,

• Practice Guideline review and approval by Provider Advisory Committee

medical/surgical benefits.

and

mental health and substance use disorder reviewers

 tracking of denial of plans of service that do not meet medical necessity

#### **Outpatient** - Office Based

- Other

- Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers
- Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria
- Authorization Denial Rates for MH/SUD
- Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers
- Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria
- Authorization Denial Rates for
- Inter-rater reliability surveys medical/surgical, mental health and substance use disorder reviewers
- Average denial rates for medical necessity for mental health and substance use disorder benefits, and medical/surgical benefits.
- Practice Guideline review and approval by Provider Advisory Committee
- duplicate restorations (quarterly)

	Mental Health	Substance Use Disorder	Medical/Surgical
Emergency Benefits	NQTL does not apply to any services in this classification	NQTL does not apply to any services in this classification	<ul> <li>Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers</li> <li>Average denial rates for medical necessity for mental health and substance use disorder benefits, and medical/surgical benefits.</li> </ul>
Prescription Drugs	Type and level of documentation (e.g., chart notes, lab results, treatment plans, etc.) the health plan requires from providers during	Type and level of documentation (e.g., chart notes, lab results, treatment plans, etc.) the health plan requires from providers during	<ul> <li>Frequency with which reviews are conducted</li> <li>Average denial rates for modical</li> </ul>

- reviews
- Utilization trends
- · Compendium internal monitoring of prior authorizations to determine compliance of treatment/service plans for drug efficacy based on concurrent review of treatment plans, service usage, and drug utilization
- Clinical Criteria applied based on FDA labeling and requirements and Official
- reviews
- Utilization trends
- · Compendium internal monitoring of prior authorizations to determine compliance of treatment/service plans for drug efficacy based on concurrent review of treatment plans, service usage, and drug utilization
- Clinical Criteria applied based on FDA labeling and requirements and Official
- rates for medical necessity for mental health and substance use disorder benefits, and medical/surgical benefits.

## NQTL: prior authorization/pre authorization

P1Q1: Identify all of the Operations Measures the Organization regularly collects and analyzes for the purposes of ensuring that this NQTL is applied in compliance with MHPAEA.

	Mental Health	Substance Use Disorder	Medical/Surgical
Inpatient	<ul> <li>Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers</li> <li>Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria</li> <li>Authorization Denial Rates for MH/SUD</li> </ul>	<ul> <li>Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers</li> <li>Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria</li> <li>Authorization Denial Rates for MH/SUD</li> </ul>	<ul> <li>Frequency with which reviews are conducted</li> <li>Degree of discretion exercised by utilization review staff</li> <li>Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewer</li> <li>Frequency that authorization requirements are waived</li> <li>Utilization trends</li> <li>Average denial rates for medical necessity for mental health and substance use disorder benefits, and medical/surgical benefits.</li> </ul>
Outpatient - Other	<ul> <li>Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers</li> <li>Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria</li> <li>Authorization Denial Rates for MH/SUD</li> </ul>	<ul> <li>Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers</li> <li>Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria</li> <li>Authorization Denial Rates for MH/SUD</li> </ul>	<ul> <li>Frequency with which reviews are conducted</li> <li>Degree of discretion exercised by utilization review staff</li> <li>Number of days ovisits authorized per review</li> <li>Utilization trends</li> <li>Average denial rates for medical necessity for mental health and substance use disorder benefits, and medical/surgical benefits.</li> <li>evaluation of annual concurrent reviews and prior authorization reviews complete</li> </ul>

on a quarterly

basis

	Mental Health	Substance Use Disorder	Medical/Surgical
Outpatient - Office Based	<ul> <li>Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers</li> <li>Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria</li> <li>Authorization Denial Rates for MH/SUD</li> </ul>	<ul> <li>Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers</li> <li>Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria</li> <li>Authorization Denial Rates for MH/SUD</li> </ul>	<ul> <li>Utilization trends</li> <li>Average denial rates for medical necessity for mental health and substance use disorder benefits, and medical/surgical benefits.</li> <li>services preauthorized not received (monthly)</li> </ul>

## **Emergency Benefits**

#### Prescription Drugs

- Type and level of documentation (e.g., chart notes, lab results, treatment plans, etc.) the health plan requires from providers during reviews
- Utilization trends
- Compendium internal monitoring of prior authorizations to determine compliance of treatment/service plans for drug efficacy based on concurrent review of treatment plans, service usage, and drug utilization
- Clinical Criteria applied based on FDA labeling and requirements and Official
- Type and level of documentation (e.g., chart notes, lab results, treatment plans, etc.) the health plan requires from providers during reviews
- · Utilization trends
- Compendium internal monitoring of prior authorizations to determine compliance of treatment/service plans for drug efficacy based on concurrent review of treatment plans, service usage, and drug utilization
- Clinical Criteria applied based on FDA labeling and requirements and Official
- Average denial rates for medical necessity for mental health and substance use disorder benefits, and medical/surgical benefits.

## NQTL: tiered drug formulary

P1Q1: Identify all of the Operations Measures the Organization regularly collects and analyzes for the purposes of ensuring that this NQTL is applied in compliance with MHPAEA.

	Mental Health	Substance Use Disorder	Medical/Surgical
Inpatient	-	-	-
Outpatient - Other	-	-	-
Outpatient - Office Based	-	-	-
Emergency Benefits	-	-	-

#### Prescription Drugs

- Type and level of documentation (e.g., chart notes, lab results, treatment plans, etc.) the health plan requires from providers during reviews
- Utilization trends
- Compendium internal monitoring of prior authorizations to determine compliance of treatment/service plans for drug efficacy based on concurrent review of treatment plans, service usage, and drug utilization
- Clinical Criteria applied based on FDA labeling and requirements and Official
- Type and level of documentation (e.g., chart notes, lab results, treatment plans, etc.) the health plan requires from providers during reviews
- Utilization trends
- Compendium internal monitoring of prior authorizations to determine compliance of treatment/service plans for drug efficacy based on concurrent review of treatment plans, service usage, and drug utilization
- Clinical Criteria applied based on FDA labeling and requirements and Official

- Dollar spend trends
- Frequency with which reviews are conducted

NQTL Type: Outlier Management Classification: Emergency Benefits Category: Substance Use Disorder

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

## NQTL does not apply to any services in this classification

**Supporting Documents** 

• We DO NOT apply any NQTLs to the Emergency and Pharmacy Benefits.docx

NQTL Type: Outlier Management Classification: Inpatient Category: Mental Health

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

#### **Authorization Denial Rates for MH/SUD**

**Supporting Documents** 

• Auth Stats for June 2020.xlsx

#### **Outlier Management Data**

Supporting Documents

• Sample monthly FWA report - Standard Template - MD.xlsx

# Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria

Supporting Documents

• Optum Benefits Mapping\_MDH Revisions\_7.17.20.xlsx

# Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers

Supporting Documents

• Optum Benefits Mapping MDH Revisions 7.17.20.xlsx

NQTL Type: Outlier Management Classification: Outpatient - Other Category: Mental Health

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

## **Outlier Management Data**

**Supporting Documents** 

• 1.Sample monthly FWA report - Standard Template - MD.xlsx

NQTL Type: Outlier Management Classification: Outpatient - Other Category: Substance Use Disorder

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

## **Outlier Management Data**

**Supporting Documents** 

• 1.Sample monthly FWA report - Standard Template - MD.xlsx

NQTL Type: Outlier Management Classification: Outpatient - Office Based

Category: Mental Health

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

## **Outlier Management Data**

**Supporting Documents** 

• 1.Sample monthly FWA report - Standard Template - MD.xlsx

#### **Authorization Denial Rates for MH/SUD**

**Supporting Documents** 

• Auth Stats for June 2020.xlsx

NQTL Type: Outlier Management Classification: Outpatient - Office Based Category: Substance Use Disorder

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

## **Outlier Management Data**

**Supporting Documents** 

• 1.Sample monthly FWA report - Standard Template - MD.xlsx

NQTL Type: Outlier Management Classification: Prescription Drugs Category: Substance Use Disorder

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

## NQTL does not apply to any services in this classification

**Supporting Documents** 

• We DO NOT apply any NQTLs to the Emergency and Pharmacy Benefits.docx

NQTL Type: Service Limitations Classification: Emergency Benefits Category: Substance Use Disorder

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

## NQTL does not apply to any services in this classification

**Supporting Documents** 

• We DO NOT apply any NQTLs to the Emergency and Pharmacy Benefits.docx

NQTL Type: Service Limitations Classification: Outpatient - Other Category: Mental Health

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

#### **Authorization Denial Rates for MH/SUD**

**Supporting Documents** 

• Auth Stats for June 2020.xlsx

NQTL Type: Service Limitations Classification: Outpatient - Other Category: Substance Use Disorder

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

#### NQTL does not apply to any services in this classification

**Supporting Documents** 

• DO NOT APPLY NQTLS to OP -Other SUD v2.0.docx

NQTL Type: Service Limitations Classification: Outpatient - Office Based

Category: Mental Health

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

#### **Authorization Denial Rates for MH/SUD**

**Supporting Documents** 

NQTL Type: Service Limitations Classification: Outpatient - Office Based Category: Substance Use Disorder

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

#### **Authorization Denial Rates for MH/SUD**

**Supporting Documents** 

NQTL Type: concurrent review
Classification: Inpatient
Category: Substance Use Disorder

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

#### **Authorization Denial Rates for MH/SUD**

**Supporting Documents** 

NQTL Type: data collection Classification: Emergency Benefits Category: Mental Health

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

#### N/A

**Supporting Documents** 

NQTL Type: data collection Classification: Emergency Benefits Category: Substance Use Disorder

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

#### N/A

**Supporting Documents** 

NQTL Type: data collection Classification: Outpatient - Other Category: Mental Health

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

#### N/A

**Supporting Documents** 

NQTL Type: data collection Classification: Outpatient - Office Based Category: Substance Use Disorder

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

#### N/A

**Supporting Documents** 

NQTL Type: fail first requirements/step therapy
Classification: Inpatient
Category: Medical/Surgical

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

#### NQTL does not apply to any services in this classification

**Supporting Documents** 

NQTL Type: fail first requirements/step therapy Classification: Inpatient Category: Substance Use Disorder

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

#### NQTL does not apply to any services in this classification

**Supporting Documents** 

NQTL Type: fail first requirements/step therapy Classification: Outpatient - Other Category: Mental Health

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

#### NQTL does not apply to any services in this classification

**Supporting Documents** 

NQTL Type: fail first requirements/step therapy Classification: Outpatient - Other Category: Substance Use Disorder

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

#### NQTL does not apply to any services in this classification

**Supporting Documents** 

NQTL Type: fail first requirements/step therapy Classification: Outpatient - Office Based Category: Mental Health

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

#### NQTL does not apply to any services in this classification

**Supporting Documents** 

NQTL Type: fail first requirements/step therapy Classification: Outpatient - Office Based Category: Substance Use Disorder

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

#### NQTL does not apply to any services in this classification

**Supporting Documents** 

NQTL Type: medical necessity Classification: Emergency Benefits Category: Mental Health

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

#### NQTL does not apply to any services in this classification

**Supporting Documents** 

NQTL Type: medical necessity Classification: Emergency Benefits Category: Substance Use Disorder

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

#### NQTL does not apply to any services in this classification

**Supporting Documents** 

NQTL Type: medical necessity Classification: Outpatient - Other Category: Substance Use Disorder

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

#### **Authorization Denial Rates for MH/SUD**

**Supporting Documents**