

## DENTAL AND ORAL SURGERY CLAIM DOCUMENTATION GUIDELINES

Each benefits plan defines which services are covered, excluded and subject to dollar caps or other limits. Members and their dentists will need to refer to the member's benefits plan to determine if any exclusions or other benefit limitations apply.\* In addition, coverage may be mandated by applicable state or federal legal requirements. Unless otherwise noted, all services must be submitted using valid and current Dental Procedures and Nomenclature (CDT®) codes.\*\*

The materials provided to you are guidelines used by this plan to authorize, modify, or deny care for persons with similar illnesses or conditions. Specific care and treatment may vary depending on individual need and the benefits covered under your contract

CDT CODE**	DOCUMENTATION GUIDELINES	COVERAGE GUIDELINES*
Restorative		
D2929-D2390	Current dated pre-operative radiographs ■	Restorative services may not be covered for teeth exhibiting a poor or
D2542-D2544	Prior placement date and rationale for replacement, if applicable	questionable prognosis due to advanced periodontal disease, a crown root ratio of less than 50%, untreated periapical pathology, poor restorability and/or carious
D2642-D2644		destruction of the clinical crown at or below the osseous crest.
D2662-D2664 D2710-D2799		
D2710-D2799 D2930		
D2960-D2962		
D2950	Pre-operative and post-operative photographs showing the buildup in place OR pre-operative and post-operative radiographs showing the buildup in place	
D2971	Current dated pre-operative radiographs ■ Narrative ▲	
Endodontics		
D3331	Current dated pre-operative radiographs and post-operative radiographs ■ Conclusive radiographic evidence/image showing the obstruction (mid treatment X-ray, endodontic microscopic image) Narrative ▲	Endodontic services may not be covered for teeth exhibiting a poor or questionable prognosis due to advanced periodontal disease, a crown root ratio of less than 50%, poor restorability and/or carious destruction of the clinical crown at or below the osseous crest.  Aetna considers BioPure inclusive to the primary endodontic service.

<sup>\*\*</sup>CDT is a registered trademark of the American Dental Association. Used pursuant to license agreement.

D3428-D3429	Current dated pre-operative radiographs ■	Additionally, the use of irrigants (diluted bleach, sterile water, saline, local anesthetic, BioPure – as an alternative to diluted bleach and/or other
D3431	Narrative ▲ Material Used	medicaments to irrigate the canal(s)) are also considered part of the primary endodontic service. CDT code D9630 should not be submitted for benefits for irrigation.
D3432	Current dated pre-operative radiographs ■	D3331: Documentation is required to support the obstruction of 50% or more of the length of the tooth. Mid treatment xrays may be submitted as documentation of the obstruction. D3331 will not be benefited to the same provider that inadvertently causes the obstruction (iatrogenically). D3331 is considered inclusive to retreatment procedures D3346, D3347 and/or D3348.
Periodontal	Based on the American National Standard/American Dental Association Specification No. 1047, Standard Content of an Electronic Periodontal Attachment and more recent ADA publications	
D4210 & D4211	Current dated pre-operative periodontal charting •	Periodontal services may not be covered for teeth exhibiting a poor or
D4212	Narrative ▲	questionable prognosis due to advanced periodontal disease, a crown root ratio of less than 50%, untreated periapical pathology, poor restorability and/or carious
D4240 & D4241	Current dated pre-operative periodontal charting   Current dated pre-operative radiographs ■	destruction of the clinical crown at or below the osseous crest.
D4245	Current dated pre-operative periodontal charting ●	D4210 & D4211 require 5-8 mm periodontal pocketing to be considered for benefits. D4210 & D4211 are not benefited when submitted with D4341 & D4342
D4249	Current dated pre-operative radiographs ■	(scaling and root planing) or D4260 & D4261 (osseous surgery) if performed on the same date of service. D4210 & D4211 are considered inclusive to scaling and
D4260 & D4261	Current dated pre-operative periodontal charting   Current dated pre-operative radiographs ■	root planing, a distal wedge (D4274) and frenectomy procedure (D7960). D4211 will not be benefited for removal of hypertrophied tissue around a partially erupted or impacted tooth where the more appropriate code is D7971 – excision
D4263, (First Site in Quadrant), D4266, D4267	Current dated pre-operative periodontal charting ● Identify each site/tooth Current dated pre-operative radiographs ■	of pericoronal gingiva or operculectomy. D4211 is not benefitted when the more appropriate code is D4212 (gingivectomy or gingivoplasty to allow access for restorative procedure) or D4230/D4231 (anatomical root exposure).
D4264 (Each Additional Site in the Quadrant)	Note: A single code for multiple sites is not valid.	D4249 requires reflection of a full thickness flap and removal of bone, altering the crown to root ratio. Soft tissue 'crown lengthening' will not be benefited as D4249. A minimum of four to six weeks is required prior to final preparation/impressions to be considered for benefits.
D4265	Narrative ▲ Material Used	D4260 & D4261 require a comprehensive periodontal charting indicating pocket
D4268	Current dated pre-operative radiographs ■ Narrative with tooth/teeth numbers and rationale for surgical revision ▲	depths of 5-8 mm. D4260 & D4261 will not be eligible for benefits if a full thickness flap has not been reflected and bone had not been reshaped.  The LANAP technique does not have an ADA CDT code. Document this
	Note: Date of surgical revision should be no more than twenty- four months and generally no less than six months from the date of the initial surgery.	technique if used. The procedure is most accurately coded as D4341 - Periodontal Scaling and Root Planing, four or more teeth per quadrant or D4342 - Periodontal Scaling and Root Planing - one to three teeth, per quadrant.
D4270, D4273,	Pre-operative radiographs and	D4341 & D4342 Benefits for D4341 and D4342 require root surface calculus,

D4275, D4276, D4277, D4278, D4283 & D4285	For each tooth/site proposed to receive a soft tissue graft, A chart or narrative containing the following Mucogingival Data  Tooth #  MM Recession  MM Attached Gingiva  MM Attached Keratinized Gingiva  Pre-operative photos if available	radiographic bone loss and bleeding upon probing. Additional information such as gingival recession, frenum involvement and furcation defects are also evaluated, but in general, documented 5-8 mm pockets determine benefits.  D4355 will be denied when performed on the same date of service as D0150, D0160 and D0180. D1110, D1120, D4341, D4342 and D4346 will be denied when performed on the same date of service as D4355.
D4274	Current dated pre-operative periodontal charting ●	D4381 requires a comprehensive periodontal charting indicating a refractory
D4320 & D4321 D4322 & D4323	Current dated pre-operative radiographs ■  Current dated pre-operative radiographs ■  Current dated pre-operative periodontal charting ●  Prior periodontal treatment history  Teeth numbers being treated	pocket depth of 5 – 7mm. D4381 will not be considered for benefits prior to a minimum of 4 weeks for adequate response to root planing and scaling before reevaluation. D4381 will not be considered eligible for benefits when applied to multiple sites (full quadrant) with pocketing and/or inflammation or when other more extensive periodontal treatment modalities (for example, surgery) may be more appropriate.  There are no specific reporting codes for using a laser to perform periodontal-related procedures. Submissions reporting those procedures as D4999 for the use of the laser are not eligible for benefits.
D4341 & D4342	Current dated pre-operative periodontal charting ● Current dated pre-operative radiographs ■  If three or four quadrants are done in one day, also submit chart notes, including length of the appointment and anesthetic used for this date of service, and the rationale for performing multiple quadrants (3-4) at one appointment.	
D4346	Current dated pre-operative periodontal charting ● Current dated pre-operative radiographs Current photographs if available ■	
D4381	Current dated pre-operative periodontal charting ● Current dated pre-operative radiographs ■	
Prostheses		
D5875	Narrative <b>▲</b>	
Implant Services		
D6010-D6050, D6104	Current dated full mouth pre-operative radiographs and/or panoramic radiograph ■  Extraction dates of teeth to be replaced  Date of prior prosthetic placement (fixed and/or removable dentures, if applicable)  Numbers of all missing teeth  Tooth number of proposed implants	
D6052-D6079 D6094, D6097	Current dated full mouth pre-operative radiographs and/or panoramic radiograph ■	

D6194 D6110-D6117 D6191 & D6194	Extraction dates of teeth to be replaced Date of prior prosthetic placement (fixed and/or removable dentures, if applicable) Numbers of all missing teeth Tooth number (s) of proposed treatment sites (s)  The radiographs should be post-operative to the implant placement, but pre-operative to the crown and /or bridge placement.	
D6081	Current Post-Operative Radiograph ■	
D6090, D6091,	Narrative ▲	
D6093, D6095, D6096 D6100	Date of prior implant placement	
D6101, D6102, D6103	Current dated full mouth pre-operative radiographs and/or panoramic radiograph ■	
Prosthodontics, fixed		
D6205-D6252	Current dated full mouth pre-operative radiographs and/or	Prosthodontic services may not be covered for teeth exhibiting a poor or
D6545, D6549	panoramic radiograph ■	questionable prognosis due to advanced periodontal disease, a crown to root
D6600-D6634	Extraction dates of teeth to be replaced	ratio of less than 50%, untreated periapical pathology, poor restorability and/or carious destruction of the clinical crown at or below the osseous crest.
D6710-D6794 D6985	Date of prior prosthetic placement (fixed and/or removable	carious destruction of the chinical crown at or below the osseous crest.
	dentures, and rationale), and rationale for replacement if	
	applicable	
Onel And Mavillafacial	Numbers of all missing teeth	
Oral And Maxillofacial D7210-D7240,	Current dated full mouth pre-operative radiographs and/or	
D1210-D1240,	panoramic radiograph ■	
	All 3 <sup>rd</sup> molar extractions on patients age 15 or under to include	
	rationale for extraction	
D7241	Current dated full mouth pre-operative radiographs and/or	
	panoramic radiograph ■	
	Narrative ▲ – All D7241 to include rationale for unusual surgical	
	complications	
D7251	Current dated full mouth pre-operative radiographs and/or	
	panoramic radiograph	
	Narrative ▲ -to include rationale for unusual surgical	
	complications	
D7450-D7461	Current dated pre-operative radiographs ■	

Orthodontics D8010-D8090 Tota Esti Initi Miscellaneous D9130 PT 6	noramic radiograph ■ arrative describing the planned prosthetic reconstruction ▲ amber of missing tooth or area ambers of all missing teeth  Intal treatment fee attimated number of months of treatment tial banding date  Tevaluation/data sheets – A written plan of care  This form is to be used for the 1st submission of D9130 This form is to be used for the 26th submission of D9130	The written plan of care must include the diagnosis, date of onset or exacerbation of the disorder, long-term and short-term goals that are specific, quantitative and objective, a reasonable estimate of when the goals will be reached, the frequency and duration of treatment and the specific treatment techniques and/or exercises to be used in treatment. The plan must include signatures of the patient's attending dentist and physical therapist if applicable.
Nun Orthodontics D8010-D8090 Tota Esti Initi Miscellaneous D9130 PT 6	intal treatment fee itimated number of months of treatment itial banding date  evaluation/data sheets – A written plan of care  This form is to be used for the 1st submission of D9130 This form is to be used for the 26th submission of D9130	exacerbation of the disorder, long-term and short-term goals that are specific, quantitative and objective, a reasonable estimate of when the goals will be reached, the frequency and duration of treatment and the specific treatment techniques and/or exercises to be used in treatment. The plan must include signatures of the patient's attending
Orthodontics D8010-D8090 Tota Esti Initi  Miscellaneous D9130 PT 6	tal treatment fee timated number of months of treatment tial banding date  evaluation/data sheets – A written plan of care  This form is to be used for the 1 <sup>st</sup> submission of D9130 This form is to be used for the 26 <sup>th</sup> submission of D9130	exacerbation of the disorder, long-term and short-term goals that are specific, quantitative and objective, a reasonable estimate of when the goals will be reached, the frequency and duration of treatment and the specific treatment techniques and/or exercises to be used in treatment. The plan must include signatures of the patient's attending
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Nar	arrent dated pre-operative radiographs ■ arrative ▲ arrative A ar	<ul> <li>D9223, D9243 and D9248 (D9222, D9239 effective 1/1/2018) will be considered eligible for benefits when one or more of the following criteria are met:</li> <li>Placement of two or more endosteal implants (D6010) on the same date of service or placement of one eposteal (D6040) or transosteal (D6050) implant.</li> <li>Removal of one or more impacted teeth on the same day (applies to codes D7230, D7240, D7241 and D7251).</li> <li>The extraction of five or more teeth.</li> <li>More than one surgical extraction (D7210, D7220 and D7250) involving more than one quadrant on the same day.</li> <li>Full edentulous arch alveoplasty or alveolectomy (applies to code D7320 – two quadrants).</li> <li>One or more quadrants of periodontal surgery performed on the same day qualify for General Anesthesia (GA) (D4240-D4241, D4260-D4261). Osseous and soft tissue grafts (D4263, D4264, D4270, D4271, D4273, D4275, D4276, D4277, D4278, D4283, D4285) do not separately qualify for GA</li> <li>Surgical root recovery from the maxillary antrum (sinus).</li> <li>Tooth transplantation.</li> <li>Surgical access of one or more unerupted teeth (D7280)</li> <li>Full arch stomatoplasty/vestibuloplasty.</li> <li>Radical excision of lesions in excess of 1.25 cm (1/2in.).</li> <li>Removal of one (or more) exostosis(es) code D7471 – D7485.</li> <li>Radical resection or ostectomy with or without bone graft.</li> <li>Two or more implant removal (D6100) performed on the same day.</li> <li>Two or more D7950 performed on the same day.</li> </ul>
	rrent dated pre-operative radiographs ■	- 140 of more prison performed on the same day.

D2999 D3999 D4999 D5899 D5999 D6199 D6999 D7999 D8999 D9999	Narrative describing specific clinical conditions addressed by the procedure, rationale demonstrating need, pertinent history and treatment plan ▲ Radiographs, if applicable, to assist in describing clinical condition ■	
<b>General Comments</b>		
•	QUALITY OF RADIOGRAPHS: All radiographic images should be of diagnostic quality, depicting appropriate structures, dated, mounted, and labeled right and left. Submitted radiographs should be duplicates and less than 36 months old and labeled with the patient's name and the provider's name and address. DO NOT SEND ORIGINAL RADIOGRAPHS SINCE THEY WILL NOT BE RETURNED. ELECTRONIC IMAGES OF THE RADIOGRAPHS WILL BE RETAINED BY AETNA.	
•	PERIODONTAL CHARTING: Must be comprehensive full mouth, legible, dated, documented with probing depths (up to six per tooth), recorded in mm. per tooth, labeled right and left, mandibular and maxillary, with classified furcation defects and tooth mobility recorded as 1st, 2nd or 3rd degree. May also include gingival margins, the amount of keratinized tissue, and amount of remaining attached gingiva and level of recession.	
<b>A</b>	WRITTEN NARRATIVES: Must be clear, legible and provide rationale for the proposed treatment.  Example: describes specific clinical conditions addressed by the procedure.	
	These guidelines represent frequently submitted procedures which require attachments and are not all inclusive. There may be other dental procedures not listed which require additional documentation. Submit only a completed claim for routine dental procedures such as cleanings and minor restorations, unless otherwise requested.	

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