

Maryland Department of Health
Office of Health Care Quality
Application for Forensic Residential Center License

Instructions

Applying for a License

Prior to operating a forensic residential center (FRC) in Maryland, the center must first obtain an FRC license from the Office of Health Care Quality (OHCQ). An FRC is also known as a Secure Evaluation and Therapeutic Treatment (SETT) unit. The first step in applying for a license is to complete this application. The application must be typed. Handwritten applications will be returned to the applicant. Submit the completed application and the required attachments through the “Submit a License Application” link on the [OHCQ website](#). There is no fee to apply for a license.

Required Attachments:

1. Business plan that clearly demonstrates the ability of the applicant to provide services. [COMAR 10.22.02.02](#).
2. Summary of the applicant's demonstrated experience in the field of developmental disabilities. [COMAR 10.22.02.02](#).
3. Prior licensing reports issued within the previous 10 years from any in-State or out-of-State entity associated with the applicant, including deficiency reports and compliance records. [COMAR 10.22.02.02](#).
4. Written quality assurance plan approved by the Developmental Disabilities Administration. [COMAR 10.22.02.02](#) and [COMAR 10.22.14](#).
5. Letter of Good Standing: The applicant must obtain an official letter of good standing from the [Maryland Department of State Assessments and Taxation \(SDAT\) Business Express](#). Search for the name of your business, click on the business name, and then click on “Order Documents” in the lower right-hand corner of the page.
6. Workers’ Compensation: Attach a copy of the declaration page from your Workers’ Compensation coverage.
 - a. Corporations and limited liability companies who are not required to carry Workers’ Compensation insurance coverage must submit a Certificate of Compliance.
 - b. Sole proprietorships and partnerships that do not have any employees and who are not required to have Workers’ Compensation insurance coverage must submit a Letter of Exemption.
 - c. For more information, visit the [Maryland Workers’ Compensation Commission](#) website, call 410-864-5293, or email wccinsur@wcc.state.md.us.

On-site Licensure Survey

1. After OHCQ reviews and approves this application and the required attachments, OHCQ will contact the applicant to schedule an on-site licensure survey.
2. OHCQ surveyors will conduct various tasks during the survey, such as a tour of the center; interview of staff; and review of documentation.

OHCQ Determination of License Application

OHCQ will make one of the following determinations regarding your license application:

- **License Approval:**
 - o If there are no deficiencies on the survey, you will receive a written report called a Notice of Compliance and a license to operate an FRC.
 - o If there are deficiencies, you will receive a Statement of Deficiencies. You have 10 business days to write a Plan of Correction that describes how you will resolve the deficiencies. After your Plan of Correction is accepted by OHCQ, you will receive a license to operate an FRC.
- **License with Conditions:** In certain circumstances, OHCQ may issue a license with conditions. COMAR 10.22.02.02.
- **License Denial:** If you are unable to comply with all of the licensure requirements, then your application will be denied. If the application is denied, the applicant will receive a detailed letter explaining the reason for the denial and your appeal rights.
- **License Application Administratively Closed:** An application is not complete until the Department has received all the materials required under COMAR 10.22.02.02. OHCQ will hold an application for 180 days from the date of initial receipt, after which the application will be deemed inactive and administratively closed. An applicant whose application is administratively closed may reapply by submitting a new application.

Withdrawal of Application: An applicant may withdraw their license application at any time by notifying OHCQ in writing. An applicant may reapply by submitting a new application.

A. General Information

Type of Application: Initial Renewal

Number of Beds:

Legal Name of FRC:

Doing Business As or Trade Name:

FEIN Number:

Street Address:

City, State, Zip Code:

County:

Business Phone:

After Hours Emergency Phone:

Fax Number:

Website:

Business Email:

Name of Administrator:

Administrator's Business Email:

Administrator's Business Phone:

Name of Clinical Director:

Clinical Director's Business Email:

Clinical Director's Business Phone:
Name of Primary Contact:
Title of Primary Contact:
Business Email of Primary Contact:
Business Phone of Primary Contact:
Name of Secondary Contact:
Title of Secondary Contact:
Business Email of Secondary Contact:
Business Phone of Secondary Contact:
B. Ownership: Complete the section that is applicable
Corporation, if applicable
Type of Corporation: <input type="checkbox"/> Stock Corporation <input type="checkbox"/> Tax Exempt Nonstock Corporation <input type="checkbox"/> Close Corporation
Is this Corporation: <input type="checkbox"/> For Profit <input type="checkbox"/> Non-Profit
Date of Charter:
Date of Articles of Incorporation:
Non-Maryland Corporation: If this is a corporation formed in a State or territory outside the State of Maryland (including in Washington DC, Puerto Rico, Guam, and the US Virgin Islands) or in another country, where was the corporation formed?
Name of Corporation:
Street Address of the Principal Office:
City, State, Zip Code:
Business Email of Principal Office:
Business Phone:
Business Fax:
Name of Resident Agent:
Street Address:
City, State, Zip Code:
Business Email:
Business Phone:
Business Fax:
Enter the full name, street address, city, state, zip code, and business phone number for each member of the Board of Directors, including the Director, President, Secretary, and Treasurer.
Full Name of Director:
Street Address of Director:
Phone Number of Director:
Full Name of President:
Street Address of President:
Phone Number of President:
Full Name of Secretary:

Street Address of Secretary:
Phone Number of Secretary:
Full Name of Treasurer:
Street Address of Treasurer:
Phone Number of Treasurer:
Full Name of Other Board Member 1:
Street Address of Other Board Member 1:
Phone Number of Other Board Member 1:
Full Name of Other Board Member 2:
Street Address of Other Board Member 2:
Phone Number of Other Board Member 2:
Full Name of Other Board Member 3:
Street Address of Other Board Member 3:
Phone Number of Other Board Member 3:
Full Name of Other Board Member 4:
Street Address of Other Board Member 4:
Phone Number of Other Board Member 4:
Full Name of Other Board Member 5:
Street Address of Other Board Member 5:
Phone Number of Other Board Member5:
C. Disclosures
1. Does the parent company, owner, or officer currently own or operate a health care facility or agency licensed or surveyed by the Maryland Department of Health’s Office of Health Care Quality (OHCQ)? <input type="checkbox"/> Yes <input type="checkbox"/> No If you answered yes, please list the name and type of facility in Section D.
2. Has the parent company, owner, agent, officer, or managerial staff previously owned or operated a health care facility or agency licensed or surveyed by the Maryland Department of Health’s Office of Health Care Quality? <input type="checkbox"/> Yes <input type="checkbox"/> No If you answered yes, please list the name and type of facility in Section D.
3. Has the company or any corporate officer had a license revoked, suspended, or denied by the Maryland Department of Health within the previous ten (10) years? <input type="checkbox"/> Yes <input type="checkbox"/> No If you answered yes, please list the name and type of license in Section D.
4. Has the parent company, owner, or officer been convicted of a criminal offense involving any program under Title 18, 19, or 20 of the Social Security Act? <input type="checkbox"/> Yes <input type="checkbox"/> No If you answered yes, please include details of the conviction in Section D.
5. Has the applicant or anyone with direct or indirect ownership interest in the agency been convicted of a felony? <input type="checkbox"/> Yes <input type="checkbox"/> No If you answered yes, please include the details of the conviction in Section D.

D. Additional Information

Use this space to clarify any of your responses. Attach additional sheets, as needed.

E. Attestation

I solemnly affirm under the penalties of perjury and upon personal knowledge that the contents of the foregoing application are true. I understand that the falsification of an application for a license may subject me to criminal prosecution, civil money penalties, and/or the revocation of any license issued to me by the Maryland Department of Health. In addition, knowingly and willfully failing to fully and accurately disclose the requested information may result in the denial of a request to become licensed or, where the entity already is licensed, a revocation of that license.

I certify that the facility hereby attests that it is in compliance with the federal Civil Rights Act of 1964; the Rehabilitation Act of 1973; the American with Disabilities Act of 1990; and the Drug Free Workplace Act of 1988.

I certify that this forensic residential center is in compliance with all applicable federal, State, and local laws and regulations.

COMAR 10.22.02.02C requires that the application be submitted and signed by the administrative head of the corporation or two of its officers, local health officer, or SRC director.

Print Full Name of Applicant 1:

Title of Applicant 1:

Signature of Applicant 1:

Date of Signature of Applicant 1:

Print Full Name of Applicant 2:

Title of Applicant 2:

Signature of Applicant 2:

Date of Signature of Applicant 2: