



# ParityManager™ Report

Maryland Department of Health

Medicaid - Merged Optum FFS UM Health Partners 9142020

October 28, 2020

8

Parity Flags

# Executive Summary

The table below displays a count of parity flags for each Standard.

	<b>Parity Flag</b>
Standard 4	0
Standard 5	0
Standard 6	0
Standard 7	0
Standard 8	8
Standard 9	0
Standard 10	0

The table below displays a count of Standards missing information and need additional input.

	<b>Missing Information</b>
Standard 4	Yes
Standard 5	-
Standard 6	Yes
Standard 7	Yes
Standard 8	-
Standard 9	-
Standard 10	-

The URAC ParityManager™ facilitates the processes that a modern parity compliance program needs to have but, as a document management and communications software solution, ParityManager™ does not in and of itself automatically result in parity compliant operations.

# Standard 4

Any parity flags are reported in the table below.

	<b>Parity Flag</b>
Lifetime Dollar Limits	0
Annual Dollar Limits	0

If either section is considered incomplete, it is reported in the table below.

	<b>Missing Information</b>
Lifetime Dollar Limits	Y
Annual Dollar Limits	Y

# Standard 5

Any parity flags are reported in the table below.

Parity Flag			
	Mental Health	Substance Use Disorder	Medical/Surgical
<b>Inpatient</b>	-	-	-
<b>Outpatient - Other</b>	-	-	-
<b>Outpatient - Office Based</b>	-	-	-
<b>Emergency Benefits</b>	-	-	-
<b>Prescription Drugs</b>	-	-	-

Any classification/category combination considered incomplete is reported in the table below.

Missing Information			
	Mental Health	Substance Use Disorder	Medical/Surgical
<b>Inpatient</b>	-	-	-
<b>Outpatient - Other</b>	-	-	-
<b>Outpatient - Office Based</b>	-	-	-
<b>Emergency Benefits</b>	-	-	-
<b>Prescription Drugs</b>	-	-	-

:

# Standard 5

Which of the following benefits does this plan cover?

	<b>Mental Health</b>	<b>Substance Use Disorder</b>	<b>Medical/Surgical</b>
<b>Inpatient</b>	Acute Care Services	Inpatient Detoxification--hospital	Does not apply
	Ancillary Services	Inpatient Detoxification-IMD (Licensed Intermediate Care Facilities for Addictions in Maryland)	Nursing Facility: Nursing services
	Anesthesia--Inpatient	Residential SUD Treatment--ASAM 3.1	Nursing Facility: Dietary services
	Electroconvulsive therapy (ECT)--inpatient	Residential SUD Treatment--ASAM 3.3	Nursing Facility: Physician services
	Patient Consultation	Residential SUD Treatment--ASAM 3.5	Nursing Facility: Specialized rehabilitation
	Psychological or neuropsychological testing and evaluation--inpatient	Residential SUD Treatment--ASAM 3.7	Nursing Facility: Social work
	Individual therapy--inpatient	Residential SUD Treatment--ASAM 3.7WM (Licensed ASAM 3.7D in Maryland)	Nursing Facility: Activities
	Group therapy--inpatient	Inpatient ASAM 4.0	Nursing Facility: Pharmaceutical services
	Family therapy--inpatient	Does not apply	Nursing Facility: Laboratory, radiology and other diagnostic testing
	Special Psychiatric Hospital	Ancillary Services SUD	Nursing Facility: Dental services
	Residential Treatment Centers	discharge day	Hospice: Short-term inpatient care
	Does not apply	group therapy SUD inpatient	Acute Care Services (Births/Deliveries)
	nursing facility MH services	individual therapy - SUD inpatient	Acute Care Services (Medical/Surgical)
		family therapy - SUD inpatient	Amputations--inpatient
		nursing facility - SUD services	Ancillary Services
			Anesthesia--Inpatient
			Bariatric Surgery
			Bypass surgery--inpatient
			Cardiac Procedures (non-emergent)--inpatient
			Chemotherapy--inpatient
			Corrective Surgery--inpatient

Mental Health	Substance Use Disorder	Medical/Surgical
		Cosmetic Procedures--Inpatient
		Diagnostic and X-ray services: CT--inpatient
		Diagnostic and X-ray services: MRI--inpatient
		Diagnostic and X-ray services: PET--inpatient
		Diagnostic and X-ray services: Radiography--inpatient
		Dialysis--Inpatient
		Erectile Dysfunction Procedures
		Eye Procedures--Inpatient
		Gender affirmation surgery
		Grafts/Implants
		Occupational Therapy--inpatient
		Physical Therapy--inpatient
		Speech Therapy--inpatient
		Hospice Care--Inpatient
		Hospital Care--inpatient
		Hysterectomy
		Institutional Long Term Care/Skilled Nursing Facility (first 90 days)
		Investigational Surgeries/Clinical Trials--inpatient
		Neurostimulators
		Neurosurgical procedures--inpatient
		NICU/Sick baby/Detained baby
		Oncology Services--inpatient
		Plastic and Restorative Surgery--inpatient
		Post-Stabilization Services-inpatient
		Rehabilitation Services (Acute)
		Room and Board
		Spinal Cord Stimulator
		Surgery (nonurgent)--inpatient
		Surgery (urgent)--inpatient

	<b>Mental Health</b>	<b>Substance Use Disorder</b>	<b>Medical/Surgical</b>
			Transplants
			Transplants Pre and Post Transplant Services
			Transportation between hospitals
			Sterilization services--inpatient
<b>Outpatient - Other</b>	Laboratory Services	Drug Testing	Applied Behavior Analysis (autism services)--primarily in-home
	Mobile treatment-Assertive community treatment (ACT)	Laboratory Services	Does not apply
	Mobile treatment-non-ACT	Does not apply	HIV/AIDS laboratory services
	Targeted Case Management		Augmentive communication devices
	Does not apply		Durable Medical Equipment (DME)/Disposable Medical Supplies (DMS)
			Oxygen
			HH: registered Nurse
			HH: Home Health Aide
			HH: physical therapy
			HH: Occupational therapy
			HH: Speech therapy
			Hospice: nursing services
			Hospice: Medical social services
			Hospice: Medical appliances and supplies
			Hospice: home health and aide services
			PACE: Day health care
			PACE: In-home care
			PACE: Nursing care
			PACE: Personal care
			PACE: Meals
			PACE: Recreation
			PACE: Social work
			PACE: Transportation
			CPAS and CFC: Nurse monitoring
			CPAS and CFC: Personal assistance services

Mental Health	Substance Use Disorder	Medical/Surgical
		CFC: Personal emergency response system
		CFC: Transition services
		CFC: Consumer training
		CFC: home delivered meals
		CFC: assistive technology
		CFC: Accessibility adaptations
		CFC: environmental assessments
		ICS: case management
		ICS: Medical Day Care
		ICS: Nutritionist/Dietician
		ICS: Family training
		ICS: behavioral consultation
		ICS: Assisted living
		ICS: senior Center Plus
		1915c: case management
		1915c: certified Nursing Assistant/Home Health Aide
		1915c: nursing (private duty) (21+ years of age)
		1915c: medical Day Care
		Autism: Family leave (retainer day)
		Autism: Residential habilitation (regular and intensive)
		Autism: Intensive individual support services
		Autism: Therapeutic integration (regular and intensive)
		Autism: adult life planning
		Autism: Respite care
		Autism: family training
		Autism: Environmental accessibility adaption
		Brain Injury: Residential habilitation
		Brain Injury: Day habilitation
		Brain injury: Supported employment

Mental Health	Substance Use Disorder	Medical/Surgical
		Brain injury: Individual support services
		Brain injury: medical Day Care
		HCBS: case management
		HCBS: Medical Day Care
		HCBS: Nutritionist/Dietician
		HCBS: family training
		HCBS: Behavioral consultation
		HCBS: Assisted living
		HCBS: senior Center Plus
		Medical Day Care Waiver with associated services
		Community Pathways and Community Supports waivers: Assistive technology and services
		Community Pathways and Community Supports waivers: Behavioral support services
		Community Pathways and Community Supports waivers: Career exploration
		Community Pathways and Community Supports waivers: Community development
		Community Pathways and Community Supports waivers: Day habilitation
		Community Pathways and Community Supports waivers: Employment discovery and customization
		Community Pathways and Community Supports waivers: Employment services
		Community Pathways and Community Supports waivers: Environmental assessment
		Community Pathways and Community Supports waivers: Environmental modification
		Community Pathways and Community Supports waivers: Family and peer mentoring supports
		Community Pathways and Community Supports waivers: Family caregiver training and empowerment
		Community Pathways and Community Supports waivers: Housing support services

Mental Health	Substance Use Disorder	Medical/Surgical
		Community Pathways and Community Supports waivers: Individual and family directed goods and services
		Community Pathways and Community Supports waivers: Medical Day Care
		Community Pathways and Community Supports waivers: Nurse case management and delegation
		Community Pathways and Community Supports waivers: Nurse consultation
		Community Pathways and Community Supports waivers: Nurse health case management
		Community Pathways and Community Supports waivers: Participant education, training and advocacy
		Community Pathways and Community Supports waivers: Personal supports
		Community Pathways and Community Supports waivers: Respite care services
		Community Pathways and Community Supports waivers: Support broker services
		Community Pathways and Community Supports waivers: Supported employment
		Community Pathways and Community Supports waivers: Transportation
		Community Pathways and Community Supports waivers: Vehicle modification
		Community Pathways only: community living
		Community Pathways only: live-in caregiver supports
		Community Pathways only: Remote support services
		Community Pathways only: Shared living
		Community Pathways only: Support living
		Community Pathways only: transition services
		Family Supports: Assistive technology and services
		Family Supports: Behavioral support services

Mental Health	Substance Use Disorder	Medical/Surgical
		Family Supports: Environmental assessment
		Family Supports: Environmental modification
		Family Supports: Family and peer mentoring supports
		Family Supports: Family caregiver training and empowerment
		Family Supports: Housing support services
		Family Supports: Individual and family directed goods and services
		Family Supports: Nurse case management and delegation
		Family Supports: Nurse consultation
		Family Supports: Participant education, training and advocacy
		Family Supports: Personal supports
		Family Supports: Respite care services
		Family Supports: Support broker services
		Family Supports: Transportation
		Family Supports: Vehicle modification
		HH: Registered Nurse
		Health-related services and targeted case management services provided to children when the services are specified in the child's Individualized Education Plan or Individualized Family Service Plan
		EPSDT: Nursing services (Registered Nurse, Licensed Practical Nurse, Certified Nursing Assistant-Certified Medication Technician)
		Medical Day Care waiver with associated services(16+ years of age):
		(2) Preventive services (semiannual cleanings, fluoride, etc.) - OP OR/ASC;
		(4) Diagnostic services (oral exams, x-rays, sealants, etc.) - OP OR/ASC;
		(6) Restorative services (filings, crowns, etc) - OP OR/ASC;
		(8) Endodontic services (root canals, etc.) - OP OR/ASC;
		(10) Periodontal services (scaling, full mouth debridement, etc.) - OP OR/ASC;

Mental Health	Substance Use Disorder	Medical/Surgical
		(12)Prosthodontics (dentures, etc.) - OP OR/ASC;
		(14) Oral and Maxillofacial surgery services (extractions, etc.) - OP OR/ASC;
		(17) Anesthesia - OP OR/ASC.
		Care Management
		Targeted Case Management
		Diabetic Education
		National Diabetes Prevention Program
		DME/DMS Alternative Approach--Full category; need to identify any NQTLs that apply to any DME/DMS under the broad category. Description of NQTL factors should be more detailed.
		Genetic Testing
		Hepatitis C Virus Genotyping
		Home Health Services: medical supplies used for home health visit
		Home Health Services: occupational therapy
		Home Health Services: physical therapy
		Home Health Services: skilled nursing services
		Home Health Services: speech pathology services
		Hospice Care--Outpatient
		Laboratory Services
		Molecular Pathology Labs
		Neuro-Psychological Testing/Developmental Delay Programs
		Personal Care Services
		Private Duty Nursing
		Remote Patient Monitoring
		Applied Behavior Analysis (autism services)--primarily in-home
		HH: Registered Nurse (> 1 visit per day; services rendered during a 30-day period in excess of the Medicaid NF rate)
		HH: Registered Nurse (≤ 1 visit per day; services rendered during a 30-day period that do not exceed the Medicaid NF rate)

	<b>Mental Health</b>	<b>Substance Use Disorder</b>	<b>Medical/Surgical</b>
			HH: Home Health Aide (> 1 visit per day; > 4 hours of service per day; visit w/o skilled nursing)
			HH: Home Health Aide (≤ 1 visit per day; ≤ 4 hours of service per day; visit w/ skilled nursing; services rendered during a 30-day period that do not exceed the Medicaid NF rate)
			HH: Physical therapy (> 1 visit per day; ; services rendered during a 30-day period in excess of the Medicaid NF rate)
			HH: Physical therapy (≤ 1 visit per day; services rendered during a 30-day period that do not exceed the Medicaid NF rate)
			HH: Occupational therapy (> 1 visit per day; services rendered during a 30-day period in excess of the Medicaid NF rate))
			HH: Occupational therapy (≤ 1 visit per day; services rendered during a 30-day period that do not exceed the Medicaid NF rate)
			HH: Speech therapy (> 1 visit per day; services rendered during a 30-day period in excess of the Medicaid NF rate)
			HH: Speech therapy (≤ 1 visit per day; services rendered during a 30-day period that do not exceed the Medicaid NF rate)
			Disposable Medical Supplies (> \$500.00)
			Disposable Medical Supplies (≤ \$500.00)
			Durable Medical Equipment (> \$1,000.00)
			Durable Medical Equipment (≤ \$1,000.00)
			Durable Medical Equipment rental (≤ 3 months)
			Durable Medical Equipment rental (> 3 months)
			Disposable Medical Equipment (w/o HCPCS)
			Durable Medical Equipment (w/o HCPCS)
			Oxygen (w/o HCPCS)
<b>Outpatient - Office Based</b>	Biofeedback	Alcohol and/or drug assessment	Acne
	Electroconvulsive therapy (ECT)--outpatient	Ambulatory detoxification	Acupuncture & Biofeedback Service
	Family Psycho-Educational Therapy	Group therapy--outpatient	Anesthesia

<b>Mental Health</b>	<b>Substance Use Disorder</b>	<b>Medical/Surgical</b>
Family Therapy--outpatient	Individual therapy--outpatient	Audiology Services
Group therapy--outpatient	Intensive Outpatient (IOP)-ASAM 2.1	Cardiac Rehab
Health Behavior Assessment	MAT Initial Induction: Alcohol/Drug Services; Medical/Somatic (Medical Intervention in Ambulatory Setting)	Chemotherapy
Health Behavior Reassessment	MAT Initial Intake (Evaluation and Management, Including Rx)	Chiropractic Services
Individual Psycho-Educational Therapy	MAT Ongoing (Evaluation and Management, including Rx)	Circumcision
Individual therapy--outpatient	Partial Hospitalization (PHP)--ASAM 2.5	Clinic Visits
Intensive outpatient (IOP)	Opioid Treatment Program (OTP) services - including med management	Cosmetic Surgery
Mental health assessment	Buprenorphine guest dosing	Dental Services
Mental health reassessment	Methadone guest dosing	Dermatology Services
Multiple family group therapy	Methadone Maintenance	Diabetic Education
Partial hospitalization (PHP)	Ongoing services (Buprenorphine/Naloxone): Alcohol/Other Drug Abuse Services, Not Otherwise Specified	Dialysis
Psychiatric Rehabilitation Services	Does not apply	Family Planning Services
Psychological or neuropsychological testing and evaluation	SBIRT	Fluoride Rinse/Varnish
Therapeutic Behavioral Services	primary SUD Services (assessment, clinical evaluation, referral to ASO)	Genetic Testing
Transcranial Magnetic Stimulation (TMS)	health home services for SUD (opioid addiction) - opioid treatment program	Family Planning Services
Traumatic Brain Injury (TBI) Day Habilitation		Fluoride Rinse/Varnish
Evaluation and Management-Outpatient		Genetic Testing
Anesthesia - Outpatient		Vaccines / Immunizations
Does not apply		X-Ray / CT

Mental Health	Substance Use Disorder	Medical/Surgical
health home services for MH reasons (serious and persistent mental illness (SPMI) - services by mobile treatment services (MTS) or psychological rehabilitation programs		X-Ray / MRI
primary mental health services (assessment, clinical evaluation, referral to ASO)		X-Ray / Radiology
		X-Ray / PET
		Newborn Office Services
		OB Ultrasounds
		Observation
		Oral Surgery - Adults
		Oral Surgery - Children
		Outpatient Hospital (non-emergency)
		Outpatient Surgery; Ambulatory Surgery Centers
		Pain Management Services (ambulatory)
		Physical Exams - adult
		Physician Visits - Children
		Physician Visits - Adults
		Pulmonary Rehab
		Rural Health Clinic
		Sterilization
		Targeted Case Management Services
		Therapy - evaluations
		Therapy - occupational
		Therapy - pathology
		Therapy - physical
		Therapy - rehabilitative (20 and under)
		Therapy - speech
		Does not apply
		HH: Physical therapy
		HH: Occupational therapy
		HH: Speech therapy
		Hospice: Physician services

Mental Health	Substance Use Disorder	Medical/Surgical
		Hospice: Counseling services (including dietary, spiritual and bereavement)
		Hospice: Physical therapy
		Hospice: Occupational therapy
		Hospice: Speech therapy
		PACE: Medical specialty services (podiatry, psychiatry, dentistry, optometry and audiology)
		PACE: Rehabilitation
		ICS: Nutritionist/Dietician
		ICS: Behavioral consultation
		1915c: Physician participation in plan of care meeting
		Home and Community Based Options waiver:
		HCBS: nutritionist/Dietician
		HCBS: behavioral consultation
		HH: physical therapy
		1915c: physician participation in plan of care meeting
		(1) Preventive services (semiannual cleanings, fluoride, etc.) - Clinic;
		(3) Diagnostic services (oral exams, x-rays, sealants, etc.) - Clinic;
		(5) Restorative services (filings, crowns, etc) - Clinic;
		(7) Endodontic services (root canals, etc.) - Clinic;
		(9) Periodontal services (scaling, full mouth debridement, etc.) - Clinic;
		(11) Prosthodontics (dentures, etc.) - Clinic;
		(13) Oral and Maxillofacial surgery services (extractions, etc.) - Clinic;
		(15) Orthodontic services - Clinic;
		(16) Anesthesia - Clinic; and
		(1) Preventive services (cleanings, fluoride, etc.) - Clinic;
		(2) Diagnostic services (oral exams, x-rays, etc.) - Clinic;

Mental Health	Substance Use Disorder	Medical/Surgical
		(3) Restorative services (filings, crowns, etc.) - Clinic;
		(4) Endodontic services (root canals, etc.) - Clinic;
		(5) Periodontal services (scaling, full mouth debridement, etc.) - Clinic;
		(6) Prosthodontics (adjustment of dentures, etc.) - Clinic;
		(7) Oral and Maxillofacial surgery services (extractions, etc.) - Clinic; and
		(8) Anesthesia - Clinic.
		Acne Services
		Acupuncture
		Amputations--outpatient
		Audiology Services (hearing loss assessment and treatment)
		Blood/Blood Products
		Bypass surgery--outpatient
		Capsule Endoscopy
		Cardiac Procedures (non-emergent)--outpatient
		Cardiac Rehabilitation
		Chemotherapy--outpatient
		Chiropractic Services
		Circumcision
		Clinic Services--FQHC
		Clinic Services--Coumadin Clinic
		Clinic Services--SBHC
		Clinic Services--Other
		Clinic Services--Heart Failure Clinic
		Clinic Services--Wound Clinic
		Corrective Surgery--outpatient
		Cosmetic Procedures/Surgeries--outpatient
		Dermatology Procedures
		Diagnostic and X-ray services: CT--outpatient

Mental Health	Substance Use Disorder	Medical/Surgical
		Diagnostic and X-ray services: MRI--outpatient
		Diagnostic and X-ray services: PET--outpatient
		Diagnostic and X-ray services: Radiography--outpatient
		Dialysis--outpatient
		Foster Care Evaluation/Check-up for children entering State custody
		Genetic Counseling
		Grafts/Implants--outpatient
		Hyperbaric Oxygen Therapy
		Infusion/Maintenance Drug Infusion
		Investigational Surgeries/Clinical Trials--outpatient
		National Diabetes Prevention Program Services
		Nerve Stimulator--outpatient
		Neuro-Psychological Testing/Developmental Delay Programs
		Neurosurgical procedures--outpatient
		Newborn Office Services
		Nutritional Counseling
		OB Ultrasound
		Occupational Therapy
		Oncology Treatment
		Oral Surgery Adult
		Oral Surgery Child
		Outpatient hospital care (emergent)
		Outpatient hospital care (non-emergent)
		Outpatient Rehabilitative services
		Outpatient Surgery (Ambulatory Surgery Center)
		Pain Management Procedures
		Pain Management Visits
		Physical Therapy

	<b>Mental Health</b>	<b>Substance Use Disorder</b>	<b>Medical/Surgical</b>
			Plastic and Restorative Surgery--outpatient
			Podiatry Services
			Post-Stabilization Services
			Pregnancy-related Services (OB Care)
			Primary Care
			Proton Therapy Treatment
			Pulmonary Rehab
			Sleep Studies /Sleep Apnea Studies
			Somatic services related to gender dysphoria
			Specialty Care
			Speech Therapy--outpatient
			Sterlization Services--outpatient
			Transplants Pre and Post Transplant Services--outpatient
			Urgent Care
			Vision Services
			Wound Vacuum-assisted closure (VAC)
			Post-Stabilization Services-outpatient
<b>Emergency Benefits</b>	Emergency Room- All inclusive ancillary services	Emergency Room- All inclusive ancillary services	Emergency Services billed with CDT codes
	Emergency Room- Medications	Emergency Room- Medications	Emergency Transportation (Ambulance)
	Emergency Room--Beyond EMTALA Screening	Emergency Room--Beyond EMTALA Screening	Observation Stay up to 24 h
	Emergency Room--EMTALA Screening	Emergency Room--EMTALA Screening	Air Ambulance
	Emergency Room--Post Stabilization Services	Emergency Room--Post Stabilization Services	Emergency Transport--Basic Life Support
	Emergency Room--Stabilization Services	Emergency Room--Stabilization Services	Emergency Transport--Advanced Life Support (ALS)
	Emergency Room-Clinical Laboratory	Emergency Room-Clinical Laboratory	Emergency Room--EMTALA Screening
	Emergency Room-General Services	Emergency Room-General Services	Emergency Room--Beyond EMTALA Screening
	Observation Stay--24 h	Observation Stay--24 h	Emergency Room- All inclusive ancillary services

Mental Health	Substance Use Disorder	Medical/Surgical
Does not apply	Does not apply	Emergency Room-General Services
emergency transportation ambulance	emergency transportation (ambulance)	Emergency Room-Clinical Laboratory
		Emergency Room- Medications
		Emergency Room-Radiology
		Emergency Room- Dental Services
		Emergency Room-Post-Stabilization Services
		Emergency Room--Stabilization Services
<b>Prescription Drugs</b>	FFS MH Drug	FFS SUD Drug
		MCO administered prescription drug
		FFS M/S Drug

## Standard 6

Any parity flags found in Standard 6 are reported below. The number of flags per classification and limit type combination is shown.

<b>Parity Flag</b>				
	<b>Deductible</b>	<b>Copay</b>	<b>Coinsurance</b>	<b>Out-of-pocket maximum</b>
<b>Inpatient</b>	-	-	-	-
<b>Outpatient - Other</b>	-	-	-	-
<b>Outpatient - Office Based</b>	-	-	-	-
<b>Emergency Benefits</b>	-	-	-	-
<b>Prescription Drugs</b>	-	-	-	-

Any classification/limit type combination considered incomplete is reported in the table below.

<b>Missing Information</b>				
	<b>Deductible</b>	<b>Copay</b>	<b>Coinsurance</b>	<b>Out-of-pocket maximum</b>
<b>Inpatient</b>	Y	Y	Y	Y
<b>Outpatient - Other</b>	Y	Y	Y	Y
<b>Outpatient - Office Based</b>	Y	Y	Y	Y
<b>Emergency Benefits</b>	Y	Y	Y	Y
<b>Prescription Drugs</b>	Y	Y	Y	Y

## Standard 7

Any parity flags found in Standard 7 are reported below. The number of flags per classification and limit type combination is shown.

	Parity Flag		
	Day limits	Hour limits	Visit limits
<b>Inpatient</b>	-	-	-
<b>Outpatient - Other</b>	-	-	-
<b>Outpatient - Office Based</b>	-	-	-
<b>Emergency Benefits</b>	-	-	-
<b>Prescription Drugs</b>	-	-	-

Any classification/limit type combination considered incomplete is reported in the table below.

	Missing Information		
	Day limits	Hour limits	Visit limits
<b>Inpatient</b>	Y	Y	Y
<b>Outpatient - Other</b>	Y	Y	Y
<b>Outpatient - Office Based</b>	Y	Y	Y
<b>Emergency Benefits</b>	Y	Y	Y
<b>Prescription Drugs</b>	Y	Y	Y

## Standard 8

Any parity flags found in Standard 8 are reported below. The number of flags per classification and limit type combination is shown.

<b>Parity Flag</b>			
	<b>Mental Health</b>	<b>Substance Use Disorder</b>	<b>Medical/Surgical</b>
<b>Inpatient</b>	1	1	-
<b>Outpatient - Other</b>	1	1	-
<b>Outpatient - Office Based</b>	1	1	-
<b>Emergency Benefits</b>	1	1	-
<b>Prescription Drugs</b>	-	-	-

Any classification/category/NQTL combination considered incomplete is reported in the table below.

<b>Missing Information</b>			
	<b>Mental Health</b>	<b>Substance Use Disorder</b>	<b>Medical/Surgical</b>
<b>Inpatient</b>	-	-	-
<b>Outpatient - Other</b>	-	-	-
<b>Outpatient - Office Based</b>	-	-	-
<b>Emergency Benefits</b>	-	-	-
<b>Prescription Drugs</b>	-	-	-

# Standard 8

Which of the following NQTL types are currently in use?

Red NQTLs should be considered a parity flag.

	Mental Health	Substance Use Disorder	Medical/Surgical
<b>Emergency Benefits</b>	data collection	data collection	medical necessity
	medical necessity	medical necessity	
<b>Inpatient</b>	Outlier Management	Outlier Management	Outlier Management
	concurrent review	Service Limitations	Service Limitations
	data collection	concurrent review	concurrent review
	fail first requirements/step therapy	data collection	fail first requirements/step therapy
	medical necessity	fail first requirements/step therapy	medical necessity
	prior authorization/pre authorization	medical necessity	prior authorization/pre authorization
		prior authorization/pre authorization	
<b>Outpatient - Other</b>	Outlier Management	Outlier Management	Outlier Management
	Service Limitations	Service Limitations	Service Limitations
	concurrent review	concurrent review	concurrent review
	data collection	data collection	fail first requirements/step therapy
	fail first requirements/step therapy	fail first requirements/step therapy	medical necessity
	medical necessity	medical necessity	prior authorization/pre authorization
	prior authorization/pre authorization	prior authorization/pre authorization	
<b>Outpatient - Office Based</b>	Outlier Management	Outlier Management	Outlier Management
	Service Limitations	Service Limitations	Service Limitations
	concurrent review	concurrent review	concurrent review
	data collection	data collection	fail first requirements/step therapy
	fail first requirements/step therapy	fail first requirements/step therapy	medical necessity
	medical necessity	medical necessity	prior authorization/pre authorization
	prior authorization/pre authorization	prior authorization/pre authorization	
<b>Prescription Drugs</b>	fail first requirements/step therapy	fail first requirements/step therapy	fail first requirements/step therapy
	medical necessity	medical necessity	medical necessity
	prior authorization/pre authorization	prior authorization/pre authorization	prior authorization/pre authorization
	tiered drug formulary	tiered drug formulary	tiered drug formulary

## Standard 8

Classification: Emergency Benefits

Category: Medical/Surgical

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

### **medical necessity**

- Maryland Medicaid\_Parity Analysis Definitions\_9.10.20.pdf

# Standard 8

Classification: Emergency Benefits

Category: Mental Health

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

## medical necessity

- Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

## data collection

- Maryland Medicaid\_Parity Analysis Definitions\_9.10.20.pdf

# Standard 8

Classification: Emergency Benefits

Category: Substance Use Disorder

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

## medical necessity

- Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

## data collection

- Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

# Standard 8

Classification: Inpatient

Category: Medical/Surgical

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

**Outlier Management**

- Maryland  
Medicaid\_Parity Analysis  
Definitions\_9.10.20.pdf

**Service Limitations**

- 1.Maryland  
Medicaid\_Parity Analysis  
Definitions\_9.10.20.pdf

**concurrent review**

- 1.Maryland  
Medicaid\_Parity Analysis  
Definitions\_9.10.20.pdf

**fail first requirements/step therapy**

- Maryland  
Medicaid\_Parity Analysis  
Definitions\_7.16.20.pdf

**medical necessity**

- Maryland Medicaid\_Parity Analysis Definitions\_9.10.20.pdf

**prior authorization/pre authorization**

- Maryland Medicaid\_Parity Analysis Definitions\_9.10.20.pdf

# Standard 8

Classification: Inpatient

Category: Mental Health

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

**Outlier Management**

- Maryland  
Medicaid\_Parity Analysis  
Definitions\_7.16.20.pdf

**concurrent review**

- Maryland  
Medicaid\_Parity Analysis  
Definitions\_7.16.20.pdf

**fail first  
requirements/step therapy**

- Maryland  
Medicaid\_Parity Analysis  
Definitions\_7.16.20.pdf

**medical necessity**

- Maryland  
Medicaid\_Parity Analysis  
Definitions\_7.16.20.pdf

**prior authorization/pre authorization**

- Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

**data collection**

- 1.Maryland Medicaid\_Parity Analysis  
Definitions\_9.10.20.pdf

# Standard 8

Classification: Inpatient  
Category: Substance Use Disorder

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

### **Outlier Management**

- Maryland  
Medicaid\_Parity Analysis  
Definitions\_9.10.20.pdf
- 1.Maryland  
Medicaid\_Parity Analysis  
Definitions\_9.10.20.pdf

### **Service Limitations**

- Maryland  
Medicaid\_Parity Analysis  
Definitions\_9.10.20.pdf

### **concurrent review**

- Maryland  
Medicaid\_Parity Analysis  
Definitions\_7.16.20.pdf

### **fail first requirements/step therapy**

- Maryland  
Medicaid\_Parity Analysis  
Definitions\_7.16.20.pdf

### **medical necessity**

- Maryland Medicaid\_Parity Analysis  
Definitions\_9.10.20.pdf

### **prior authorization/pre authorization**

- Maryland Medicaid\_Parity Analysis  
Definitions\_9.10.20.pdf

### **data collection**

- Maryland Medicaid\_Parity Analysis  
Definitions\_9.10.20.pdf

# Standard 8

Classification: Outpatient - Other

Category: Medical/Surgical

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

**Outlier Management**

- Maryland  
Medicaid\_Parity Analysis  
Definitions\_7.16.20.pdf

**Service Limitations**

- Maryland  
Medicaid\_Parity Analysis  
Definitions\_7.16.20.pdf

**concurrent review**

- Maryland  
Medicaid\_Parity Analysis  
Definitions\_7.16.20.pdf

**fail first  
requirements/step therapy**

- Maryland  
Medicaid\_Parity Analysis  
Definitions\_7.16.20.pdf

**medical necessity**

- Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

**prior authorization/pre authorization**

- Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

# Standard 8

Classification: Outpatient - Other

Category: Mental Health

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

## **Outlier Management**

- Maryland  
Medicaid\_Parity Analysis  
Definitions\_7.16.20.pdf

## **Service Limitations**

- Maryland  
Medicaid\_Parity Analysis  
Definitions\_7.16.20.pdf

## **concurrent review**

- Maryland  
Medicaid\_Parity Analysis  
Definitions\_7.16.20.pdf

## **fail first requirements/step therapy**

- Maryland  
Medicaid\_Parity Analysis  
Definitions\_7.16.20.pdf

## **medical necessity**

- Maryland Medicaid\_Parity Analysis  
Definitions\_9.10.20.pdf

## **prior authorization/pre authorization**

- Maryland Medicaid\_Parity Analysis  
Definitions\_7.16.20.pdf

## **data collection**

- Maryland Medicaid\_Parity Analysis  
Definitions\_7.16.20.pdf

# Standard 8

Classification: Outpatient - Other  
Category: Substance Use Disorder

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

### **Outlier Management**

- Maryland  
Medicaid\_Parity Analysis  
Definitions\_7.16.20.pdf

### **Service Limitations**

- Maryland  
Medicaid\_Parity Analysis  
Definitions\_7.16.20.pdf

### **concurrent review**

- Maryland  
Medicaid\_Parity Analysis  
Definitions\_7.16.20.pdf

### **fail first requirements/step therapy**

- Maryland  
Medicaid\_Parity Analysis  
Definitions\_7.16.20.pdf

### **medical necessity**

- Maryland Medicaid\_Parity Analysis  
Definitions\_7.16.20.pdf

### **prior authorization/pre authorization**

- Maryland Medicaid\_Parity Analysis  
Definitions\_7.16.20.pdf

### **data collection**

- Maryland Medicaid\_Parity Analysis  
Definitions\_7.16.20.pdf

# Standard 8

Classification: Outpatient - Office Based

Category: Medical/Surgical

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

## **Outlier Management**

- Maryland  
Medicaid\_Parity Analysis  
Definitions\_9.10.20.pdf

## **Service Limitations**

- Maryland  
Medicaid\_Parity Analysis  
Definitions\_7.16.20.pdf

## **concurrent review**

- Maryland  
Medicaid\_Parity Analysis  
Definitions\_7.16.20.pdf

## **fail first requirements/step therapy**

- Maryland  
Medicaid\_Parity Analysis  
Definitions\_7.16.20.pdf

## **medical necessity**

- Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

## **prior authorization/pre authorization**

- Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

# Standard 8

Classification: Outpatient - Office Based

Category: Mental Health

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

**Outlier Management**

- Maryland  
Medicaid\_Parity Analysis  
Definitions\_7.16.20.pdf

**Service Limitations**

- Maryland  
Medicaid\_Parity Analysis  
Definitions\_7.16.20.pdf

**concurrent review**

- Maryland  
Medicaid\_Parity Analysis  
Definitions\_7.16.20.pdf

**fail first requirements/step therapy**

- Maryland  
Medicaid\_Parity Analysis  
Definitions\_7.16.20.pdf

**medical necessity**

- Maryland Medicaid\_Parity Analysis  
Definitions\_7.16.20.pdf

**prior authorization/pre authorization**

- Maryland Medicaid\_Parity Analysis  
Definitions\_7.16.20.pdf

**data collection**

- Maryland Medicaid\_Parity Analysis  
Definitions\_7.16.20.pdf

# Standard 8

Classification: Outpatient - Office Based

Category: Substance Use Disorder

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

## **Outlier Management**

- Maryland  
Medicaid\_Parity Analysis  
Definitions\_7.16.20.pdf

## **Service Limitations**

- Maryland  
Medicaid\_Parity Analysis  
Definitions\_7.16.20.pdf

## **concurrent review**

- Maryland  
Medicaid\_Parity Analysis  
Definitions\_7.16.20.pdf

## **fail first requirements/step therapy**

- Maryland  
Medicaid\_Parity Analysis  
Definitions\_7.16.20.pdf

## **medical necessity**

- Maryland Medicaid\_Parity Analysis  
Definitions\_7.16.20.pdf

## **prior authorization/pre authorization**

- Maryland Medicaid\_Parity Analysis  
Definitions\_7.16.20.pdf

## **data collection**

- Maryland Medicaid\_Parity Analysis  
Definitions\_9.10.20.pdf

# Standard 8

Classification: Prescription Drugs

Category: Medical/Surgical

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

**fail first requirements/step therapy**

- Maryland  
Medicaid\_Parity Analysis  
Definitions\_7.16.20.pdf

**medical necessity**

- Maryland  
Medicaid\_Parity Analysis  
Definitions\_7.16.20.pdf

**prior authorization/pre authorization**

- Maryland  
Medicaid\_Parity Analysis  
Definitions\_7.16.20.pdf

**tiered drug formulary**

- Maryland  
Medicaid\_Parity Analysis  
Definitions\_7.16.20.pdf

# Standard 8

Classification: Prescription Drugs

Category: Mental Health

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

**fail first requirements/step therapy**

- Maryland  
Medicaid\_Parity Analysis  
Definitions\_7.16.20.pdf

**medical necessity**

- Maryland  
Medicaid\_Parity Analysis  
Definitions\_7.16.20.pdf

**prior authorization/pre authorization**

- Maryland  
Medicaid\_Parity Analysis  
Definitions\_7.16.20.pdf

**tiered drug formulary**

- Maryland  
Medicaid\_Parity Analysis  
Definitions\_9.10.20.pdf

# Standard 8

Classification: Prescription Drugs  
Category: Substance Use Disorder

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

**fail first requirements/step therapy**

- Maryland  
Medicaid\_Parity Analysis  
Definitions\_7.16.20.pdf

**medical necessity**

- Maryland  
Medicaid\_Parity Analysis  
Definitions\_7.16.20.pdf

**prior authorization/pre authorization**

- Maryland  
Medicaid\_Parity Analysis  
Definitions\_7.16.20.pdf

**tiered drug formulary**

- Maryland  
Medicaid\_Parity Analysis  
Definitions\_7.16.20.pdf

# Standard 8

NQTL Type: medical necessity

Classification: Emergency Benefits

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

## Mental Health

- Emergency Room- All inclusive ancillary services
- Emergency Room- Medications
- Emergency Room--Beyond EMTALA Screening
- Emergency Room--EMTALA Screening
- Emergency Room--Post Stabilization Services
- Emergency Room--Stabilization Services
- Emergency Room-Clinical Laboratory
- Emergency Room-General Services
- Observation Stay--24 h
- emergency transportation ambulance

## Substance Use Disorder

- Emergency Room- All inclusive ancillary services
- Emergency Room- Medications
- Emergency Room--Beyond EMTALA Screening
- Emergency Room--EMTALA Screening
- Emergency Room--Post Stabilization Services
- Emergency Room--Stabilization Services
- Emergency Room-Clinical Laboratory
- Emergency Room-General Services
- Observation Stay--24 h
- emergency transportation (ambulance)

## Medical/Surgical

- Air Ambulance
- Emergency Room- All inclusive ancillary services
- Emergency Room- Dental Services
- Emergency Room- Medications
- Emergency Room--Beyond EMTALA Screening
- Emergency Room--EMTALA Screening
- Emergency Room--Stabilization Services
- Emergency Room-Clinical Laboratory
- Emergency Room-General Services
- Emergency Room-Post-Stabilization Services
- Emergency Room-Radiology
- Emergency Services billed with CDT codes
- Emergency Transport--Advanced Life Support (ALS)
- Emergency Transport--Basic Life Support
- Emergency Transportation (Ambulance)
- Observation Stay up to 24 h

# Standard 8

NQTL Type: medical necessity

Classification: Inpatient

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

## Mental Health

- Acute Care Services
- Ancillary Services
- Anesthesia--Inpatient
- Electroconvulsive therapy (ECT)--inpatient
- Family therapy--inpatient
- Group therapy--inpatient
- Individual therapy--inpatient
- Patient Consultation
- Psychological or neuropsychological testing and evaluation--inpatient
- Residential Treatment Centers
- Special Psychiatric Hospital
- nursing facility MH services

## Substance Use Disorder

- Ancillary Services SUD
- Inpatient ASAM 4.0
- Inpatient Detoxification--hospital
- Inpatient Detoxification-IMD (Licensed Intermediate Care Facilities for Addictions in Maryland)
- Residential SUD Treatment--ASAM 3.1
- Residential SUD Treatment--ASAM 3.3
- Residential SUD Treatment--ASAM 3.5
- Residential SUD Treatment--ASAM 3.7
- Residential SUD Treatment--ASAM 3.7WM (Licensed ASAM 3.7D in Maryland)
- discharge day
- family therapy - SUD inpatient
- group therapy SUD inpatient
- individual therapy - SUD inpatient
- nursing facility - SUD services

## Medical/Surgical

- Acute Care Services (Births/Deliveries)
- Acute Care Services (Medical/Surgical)
- Amputations--inpatient
- Ancillary Services
- Anesthesia--Inpatient
- Bariatric Surgery
- Bypass surgery--inpatient
- Cardiac Procedures (non-emergent)--inpatient
- Chemotherapy--inpatient
- Corrective Surgery--inpatient
- Cosmetic Procedures--Inpatient
- Diagnostic and X-ray services: CT--inpatient
- Diagnostic and X-ray services: MRI--inpatient
- Diagnostic and X-ray services: PET--inpatient
- Diagnostic and X-ray services: Radiography--inpatient
- Dialysis--Inpatient
- Erectile Dysfunction Procedures
- Eye Procedures--Inpatient
- Gender affirmation surgery
- Grafts/Implants
- Hospice Care--Inpatient
- Hospice: Short-term inpatient care
- Hospital Care--inpatient
- Hysterectomy
- Institutional Long Term Care/Skilled Nursing Facility (first 90 days)
- Investigational Surgeries/Clinical Trials--inpatient
- NICU/Sick baby/Detained baby
- Neurostimulators
- Neurosurgical procedures--inpatient
- Nursing Facility: Activities
- Nursing Facility: Dental services
- Nursing Facility: Dietary services
- Nursing Facility: Laboratory, radiology and other diagnostic testing
- Nursing Facility: Nursing services
- Nursing Facility: Pharmaceutical services
- Nursing Facility: Physician services
- Nursing Facility: Social work

- Nursing Facility: Specialized rehabilitation
- Occupational Therapy--inpatient
- Oncology Services--inpatient
- Physical Therapy--inpatient
- Plastic and Restorative Surgery--inpatient
- Post-Stabilization Services-inpatient
- Rehabilitation Services (Acute)
- Room and Board
- Speech Therapy--inpatient
- Spinal Cord Stimulator
- Sterlization services--inpatient
- Surgery (nonurgent)--inpatient
- Surgery (urgent)--inpatient
- Transplants
- Transplants Pre and Post Transplant Services
- Transportation between hospitals

# Standard 8

NQTL Type: medical necessity

Classification: Outpatient - Other

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

## Mental Health

- Laboratory Services
- Mobile treatment-Assertive community treatment (ACT)
- Mobile treatment-non-ACT
- Targeted Case Management

## Substance Use Disorder

- Drug Testing
- Laboratory Services

## Medical/Surgical

- Community Pathways and Community Supports waivers: Participant education, training and advocacy
- Community Pathways and Community Supports waivers: Personal supports
- Community Pathways and Community Supports waivers: Respite care services
- Community Pathways and Community Supports waivers: Support broker services
- Community Pathways and Community Supports waivers: Supported employment
- Community Pathways and Community Supports waivers: Transportation
- Community Pathways and Community Supports waivers: Vehicle modification
- Community Pathways and Community Supports waivers: Behavioral support services
- Community Pathways and Community Supports waivers: Career exploration
- Community Pathways and Community Supports waivers: Community development
- Community Pathways and Community Supports waivers: Day habilitation
- Community Pathways and Community Supports waivers: Employment discovery and customization
- Community Pathways and Community Supports waivers: Employment services
- Community Pathways and Community Supports waivers: Environmental assessment
- Community Pathways and Community Supports waivers: Environmental modification
- Community Pathways and Community Supports waivers:

- Family and peer mentoring supports
- Community Pathways and Community Supports waivers: Family caregiver training and empowerment
- Community Pathways and Community Supports waivers: Housing support services
- Community Pathways and Community Supports waivers: Individual and family directed goods and services
- Community Pathways and Community Supports waivers: Medical Day Care
- Community Pathways and Community Supports waivers: Nurse case management and delegation
- Community Pathways and Community Supports waivers: Nurse consultation
- Community Pathways and Community Supports waivers: Nurse health case management
- Family Supports: Environmental assessment
- Family Supports: Environmental modification
- Family Supports: Family and peer mentoring supports
- Family Supports: Family caregiver training and empowerment
- Family Supports: Housing support services
- Family Supports: Individual and family directed goods and services
- Family Supports: Nurse case management and delegation
- Family Supports: Participant education, training and advocacy
- Family Supports: Personal supports
- Family Supports: Support broker services
- Family Supports: Transportation
- Family Supports: Vehicle modification
- Autism: Family leave (retainer day)
- Autism: Intensive individual support services
- Autism: Residential habilitation (regular and intensive)
- Autism: Respite care
- Autism: Therapeutic integration (regular and intensive)
- Autism: adult life planning
- Autism: family training
- Brain Injury: Day habilitation
- Brain Injury: Residential habilitation
- Brain injury: Supported employment
- Brain injury: medical Day Care

- Community Pathways and Community Supports waivers: Assistive technology and services
- Family Supports: Assistive technology and services
- Family Supports: Behavioral support services
- Family Supports: Nurse consultation
- Family Supports: Respite care services
- (10) Periodontal services (scaling, full mouth debridement, etc.) - OP OR/ASC;
- (12) Prosthodontics (dentures, etc.) - OP OR/ASC;
- (14) Oral and Maxillofacial surgery services (extractions, etc.) - OP OR/ASC;
- (17) Anesthesia - OP OR/ASC.
- (2) Preventive services (semiannual cleanings, fluoride, etc.) - OP OR/ASC;
- (4) Diagnostic services (oral exams, x-rays, sealants, etc.) - OP OR/ASC;
- (6) Restorative services (filings, crowns, etc) - OP OR/ASC;
- (8) Endodontic services (root canals, etc.) - OP OR/ASC;
- 1915c: case management
- 1915c: certified Nursing Assistant/Home Health Aide
- 1915c: medical Day Care
- 1915c: nursing (private duty) (21+ years of age)
- Applied Behavior Analysis (autism services)--primarily in-home
- Applied Behavior Analysis (autism services)--primarily in-home
- Augmentive communication devices
- Autism: Environmental accessibility adaption
- Brain injury: Individual support services
- CFC: Accessibility adaptations
- CFC: Consumer training
- CFC: Personal emergency response system
- CFC: Transition services
- CFC: assistive technology
- CFC: environmental assessments
- CFC: home delivered meals
- CPAS and CFC: Nurse monitoring
- CPAS and CFC: Personal assistance services
- Care Management
- Community Pathways only: Remote support services
- Community Pathways only: Shared living

- Community Pathways only: Support living
- Community Pathways only: community living
- Community Pathways only: live-in caregiver supports
- Community Pathways only: transition services
- DME/DMS Alternative Approach--Full category; need to identify any NQTLs that apply to any DME/DMS under the broad category. Description of NQTL factors should be more detailed.
- Diabetic Education
- Disposable Medical Equipment (w/o HCPCS)
- Disposable Medical Supplies (> \$500.00)
- Disposable Medical Supplies (≤ \$500.00)
- Durable Medical Equipment (> \$1,000.00)
- Durable Medical Equipment (DME)/Disposable Medical Supplies (DMS)
- Durable Medical Equipment (w/o HCPCS)
- Durable Medical Equipment (≤ \$1,000.00)
- Durable Medical Equipment rental (> 3 months)
- Durable Medical Equipment rental (≤ 3 months)
- EPSDT: Nursing services (Registered Nurse, Licensed Practical Nurse, Certified Nursing Assistant-Certified Medication Technician)
- Genetic Testing
- HCBS: Assisted living
- HCBS: Behavioral consultation
- HCBS: Medical Day Care
- HCBS: Nutritionist/Dietician
- HCBS: case management
- HCBS: family training
- HCBS: senior Center Plus
- HH: Home Health Aide
- HH: Home Health Aide (> 1 visit per day; > 4 hours of service per day; visit w/o skilled nursing)
- HH: Home Health Aide (≤ 1 visit per day; ≤ 4 hours of service per day; visit w/ skilled nursing; services rendered during a 30-day period that do not exceed the Medicaid NF rate)
- HH: Occupational therapy
- HH: Occupational therapy (> 1 visit per day; services rendered during a

- 30-day period in excess of the Medicaid NF rate))
- HH: Occupational therapy ( $\leq 1$  visit per day; services rendered during a 30-day period that do not exceed the Medicaid NF rate)
- HH: Physical therapy ( $> 1$  visit per day; ; services rendered during a 30-day period in excess of the Medicaid NF rate)
- HH: Physical therapy ( $\leq 1$  visit per day; services rendered during a 30-day period that do not exceed the Medicaid NF rate)
- HH: Registered Nurse
- HH: Registered Nurse ( $> 1$  visit per day; services rendered during a 30-day period in excess of the Medicaid NF rate)
- HH: Registered Nurse ( $\leq 1$  visit per day; services rendered during a 30-day period that do not exceed the Medicaid NF rate)
- HH: Speech therapy
- HH: Speech therapy ( $> 1$  visit per day; services rendered during a 30-day period in excess of the Medicaid NF rate)
- HH: Speech therapy ( $\leq 1$  visit per day; services rendered during a 30-day period that do not exceed the Medicaid NF rate)
- HH: physical therapy
- HH: registered Nurse
- HIV/AIDS laboratory services
- Health-related services and targeted case management services provided to children when the services are specified in the child's Individualized Education Plan or Individualized Family Service Plan
- Hepatitis C Virus Genotyping
- Home Health Services: medical supplies used for home health visit
- Home Health Services: occupational therapy
- Home Health Services: physical therapy
- Home Health Services: skilled nursing services
- Home Health Services: speech pathology services
- Hospice Care--Outpatient
- Hospice: Medical appliances and supplies
- Hospice: Medical social services
- Hospice: home health and aide services
- Hospice: nursing services
- ICS: Assisted living

- ICS: Family training
- ICS: Medical Day Care
- ICS: Nutritionist/Dietician
- ICS: behavioral consultation
- ICS: case management
- ICS: senior Center Plus
- Laboratory Services
- Medical Day Care Waiver with associated services
- Medical Day Care waiver with associated services(16+ years of age):
- Molecular Pathology Labs
- National Diabetes Prevention Program
- Neuro-Psychological Testing/Developmental Delay Programs
- Oxygen
- Oxygen (w/o HCPCS)
- PACE: Day health care
- PACE: In-home care
- PACE: Meals
- PACE: Nursing care
- PACE: Personal care
- PACE: Recreation
- PACE: Social work
- PACE: Transportation
- Personal Care Services
- Private Duty Nursing
- Remote Patient Monitoring
- Targeted Case Management

# Standard 8

NQTL Type: medical necessity

Classification: Outpatient - Office Based

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

## Mental Health

- Anesthesia - Outpatient
- Biofeedback
- Electroconvulsive therapy (ECT)--outpatient
- Evaluation and Management--Outpatient
- Family Psycho-Educational Therapy
- Family Therapy--outpatient
- Group therapy--outpatient
- Health Behavior Assessment
- Health Behavior Reassessment
- Individual Psycho-Educational Therapy
- Individual therapy--outpatient
- Intensive outpatient (IOP)
- Mental health assessment
- Mental health reassessment
- Multiple family group therapy
- Partial hospitalization (PHP)
- Psychiatric Rehabilitation Services
- Psychological or neuropsychological testing and evaluation
- Therapeutic Behavioral Services
- Transcranial Magnetic Stimulation (TMS)
- Traumatic Brain Injury (TBI) Day Habilitation
- health home services for MH reasons (serious and persistent mental illness (SPMI) - services by mobile treatment services (MTS) or psychological rehabilitation programs
- primary mental health services (assessment, clinical evaluation, referral to ASO)

## Substance Use Disorder

- Alcohol and/or drug assessment
- Ambulatory detoxification
- Buprenorphine guest dosing
- Group therapy--outpatient
- Individual therapy--outpatient
- Intensive Outpatient (IOP)--ASAM 2.1
- MAT Initial Induction: Alcohol/Drug Services; Medical/Somatic (Medical Intervention in Ambulatory Setting)
- MAT Initial Intake (Evaluation and Management, Including Rx)
- MAT Ongoing (Evaluation and Management, including Rx)
- Methadone Maintenance
- Methadone guest dosing
- Ongoing services (Buprenorphine/Naloxone): Alcohol/Other Drug Abuse Services, Not Otherwise Specified
- Opioid Treatment Program (OTP) services - including med management
- Partial Hospitalization (PHP)--ASAM 2.5
- SBIRT
- health home services for SUD (opioid addiction) - opioid treatment program
- primary SUD Services (assessment, clinical evaluation, referral to ASO)

## Medical/Surgical

- (15) Orthodontic services - Clinic;
- (1) Preventive services (cleanings, fluoride, etc.) - Clinic;
- (1) Preventive services (semiannual cleanings, fluoride, etc.) - Clinic;
- (11) Prosthodontics (dentures, etc.) - Clinic;
- (13) Oral and Maxillofacial surgery services (extractions, etc.) - Clinic;
- (16) Anesthesia - Clinic; and
- (2) Diagnostic services (oral exams, x-rays, etc.) - Clinic;
- (3) Diagnostic services (oral exams, x-rays, sealants, etc.) - Clinic;
- (3) Restorative services (filings, crowns, etc) - Clinic;
- (4) Endodontic services (root canals, etc.) - Clinic;
- (5) Periodontal services (scaling, full mouth debridement, etc.) - Clinic;
- (5) Restorative services (filings, crowns, etc) - Clinic;
- (6) Prosthodontics (adjustment of dentures, etc.) - Clinic;
- (7) Endodontic services (root canals, etc.) - Clinic;
- (7) Oral and Maxillofacial surgery services (extractions, etc.) - Clinic; and
- (8) Anesthesia - Clinic.
- (9) Periodontal services (scaling, full mouth debridement, etc.) - Clinic;
- 1915c: Physician participation in plan of care meeting
- 1915c: physician participation in plan of care meeting
- Acne
- Acne Services
- Acupuncture & Biofeedback Service
- Acupuncture
- Amputations--outpatient
- Anesthesia
- Audiology Services
- Audiology Services (hearing loss assessment and treatment)
- Blood/Blood Products
- Bypass surgery--outpatient
- Capsule Endoscopy

- Cardiac Procedures (non-emergent)-outpatient
- Cardiac Rehab
- Cardiac Rehabilitation
- Chemotherapy
- Chemotherapy--outpatient
- Chiropractic Services
- Chiropractic Services
- Circumcision
- Circumcision
- Clinic Services--Coumadin Clinic
- Clinic Services--FQHC
- Clinic Services--Heart Failure Clinic
- Clinic Services--Other
- Clinic Services--SBHC
- Clinic Services--Wound Clinic
- Clinic Visits
- Corrective Surgery--outpatient
- Cosmetic Procedures/Surgeries--outpatient
- Cosmetic Surgery
- Dental Services
- Dermatology Procedures
- Dermatology Services
- Diabetic Education
- Diagnostic and X-ray services: CT--outpatient
- Diagnostic and X-ray services: MRI--outpatient
- Diagnostic and X-ray services: PET--outpatient
- Diagnostic and X-ray services: Radiography--outpatient
- Dialysis
- Dialysis--outpatient
- Family Planning Services
- Family Planning Services
- Fluoride Rinse/Varnish
- Fluoride Rinse/Varnish
- Foster Care Evaluation/Check-up for children entering State custody
- Genetic Counseling
- Genetic Testing
- Genetic Testing
- Grafts/Implants--outpatient
- HCBS: behavioral consultation
- HCBS: nutritionist/Dietician
- HH: Occupational therapy
- HH: Physical therapy
- HH: Speech therapy
- HH: physical therapy
- Home and Community Based Options waiver:
- Hospice: Counseling services (including dietary, spiritual and bereavement)
- Hospice: Occupational therapy
- Hospice: Physical therapy
- Hospice: Physician services
- Hospice: Speech therapy

- Hyperbaric Oxygen Therapy
- ICS: Behavioral consultation
- ICS: Nutritionist/Dietician
- Infusion/Maintenance Drug Infusion
- Investigational Surgeries/Clinical Trials--outpatient
- National Diabetes Prevention Program Services
- Nerve Stimulator--outpatient
- Neuro-Psychological Testing/Developmental Delay Programs
- Neurosurgical procedures--outpatient
- Newborn Office Services
- Newborn Office Services
- Nutritional Counseling
- OB Ultrasound
- OB Ultrasounds
- Observation
- Occupational Therapy
- Oncology Treatment
- Oral Surgery - Adults
- Oral Surgery - Children
- Oral Surgery Adult
- Oral Surgery Child
- Outpatient Hospital (non-emergency)
- Outpatient Rehabilitative services
- Outpatient Surgery (Ambulatory Surgery Center)
- Outpatient Surgery; Ambulatory Surgery Centers
- Outpatient hospital care (emergent)
- Outpatient hospital care (non-emergent)
- PACE: Medical specialty services (podiatry, psychiatry, dentistry, optometry and audiology)
- PACE: Rehabilitation
- Pain Management Procedures
- Pain Management Services (ambulatory)
- Pain Management Visits
- Physical Exams - adult
- Physical Therapy
- Physician Visits - Adults
- Physician Visits - Children
- Plastic and Restorative Surgery--outpatient
- Podiatry Services
- Post-Stabilization Services
- Post-Stabilization Services--outpatient
- Pregnancy-related Services (OB Care)
- Primary Care
- Proton Therapy Treatment
- Pulmonary Rehab
- Pulmonary Rehab

- Rural Health Clinic
- Sleep Studies /Sleep Apnea Studies
- Somatic services related to gender dysphoria
- Specialty Care
- Speech Therapy--outpatient
- Sterilization
- Sterilization Services--outpatient
- Targeted Case Management Services
- Therapy - evaluations
- Therapy - occupational
- Therapy - pathology
- Therapy - physical
- Therapy - rehabilitative (20 and under)
- Therapy - speech
- Transplants Pre and Post Transplant Services--outpatient
- Urgent Care
- Vaccines / Immunizations
- Vision Services
- Wound Vacuum-assisted closure (VAC)
- X-Ray / CT
- X-Ray / MRI
- X-Ray / PET
- X-Ray / Radiology

# Standard 8

NQTL Type: medical necessity

Classification: Prescription Drugs

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

## Mental Health

- FFS MH Drug

## Substance Use Disorder

- FFS SUD Drug

## Medical/Surgical

- FFS M/S Drug
- MCO administered prescription drug

# Standard 8

NQTL Type: data collection

Classification: Emergency Benefits

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

Mental Health

- Does not apply

Substance Use Disorder

- Does not apply

Medical/Surgical

# Standard 8

NQTL Type: data collection

Classification: Inpatient

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

Mental Health

- Does not apply

Substance Use Disorder

- Does not apply

Medical/Surgical

# Standard 8

NQTL Type: data collection

Classification: Outpatient - Other

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

Mental Health

- Does not apply

Substance Use Disorder

- Does not apply

Medical/Surgical

# Standard 8

NQTL Type: data collection

Classification: Outpatient - Office Based

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

## Mental Health

- Biofeedback
- Evaluation and Management- Outpatient
- Family Therapy--outpatient
- Group therapy--outpatient
- Individual therapy--outpatient
- Multiple family group therapy

## Substance Use Disorder

- Ambulatory detoxification
- Group therapy--outpatient
- Individual therapy--outpatient
- MAT Initial Induction: Alcohol/Drug Services; Medical/Somatic (Medical Intervention in Ambulatory Setting)
- MAT Initial Intake (Evaluation and Management, Including Rx)
- MAT Ongoing (Evaluation and Management, including Rx)
- Methadone Maintenance
- Ongoing services (Buprenorphine/Naloxone): Alcohol/Other Drug Abuse Services, Not Otherwise Specified
- Opioid Treatment Program (OTP) services - including med management

## Medical/Surgical

# Standard 8

NQTL Type: Outlier Management

Classification: Inpatient

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

## Mental Health

- Acute Care Services
- Residential Treatment Centers
- Special Psychiatric Hospital
- nursing facility MH services

## Substance Use Disorder

- Inpatient ASAM 4.0
- Inpatient Detoxification--hospital
- Inpatient Detoxification-IMD (Licensed Intermediate Care Facilities for Addictions in Maryland)
- Residential SUD Treatment--ASAM 3.1
- Residential SUD Treatment--ASAM 3.3
- Residential SUD Treatment--ASAM 3.5
- Residential SUD Treatment--ASAM 3.7
- Residential SUD Treatment--ASAM 3.7WM (Licensed ASAM 3.7D in Maryland)
- nursing facility - SUD services

## Medical/Surgical

- Acute Care Services (Births/Deliveries)
- Acute Care Services (Medical/Surgical)
- Amputations--inpatient
- Ancillary Services
- Anesthesia--Inpatient
- Bariatric Surgery
- Bypass surgery--inpatient
- Cardiac Procedures (non-emergent)--inpatient
- Chemotherapy--inpatient
- Corrective Surgery--inpatient
- Cosmetic Procedures--Inpatient
- Diagnostic and X-ray services: CT--inpatient
- Diagnostic and X-ray services: MRI--inpatient
- Diagnostic and X-ray services: PET--inpatient
- Diagnostic and X-ray services: Radiography--inpatient
- Dialysis--Inpatient
- Erectile Dysfunction Procedures
- Eye Procedures--Inpatient
- Gender affirmation surgery
- Grafts/Implants
- Hospice Care--Inpatient
- Hospice: Short-term inpatient care
- Hospital Care--inpatient
- Hysterectomy
- Institutional Long Term Care/Skilled Nursing Facility (first 90 days)
- Investigational Surgeries/Clinical Trials--inpatient
- NICU/Sick baby/Detained baby
- Neurostimulators
- Neurosurgical procedures--inpatient
- Nursing Facility: Activities
- Nursing Facility: Dental services
- Nursing Facility: Dietary services
- Nursing Facility: Laboratory, radiology and other diagnostic testing
- Nursing Facility: Nursing services
- Nursing Facility: Pharmaceutical services
- Nursing Facility: Physician services
- Nursing Facility: Social work

- Nursing Facility: Specialized rehabilitation
- Occupational Therapy--inpatient
- Oncology Services--inpatient
- Physical Therapy--inpatient
- Plastic and Restorative Surgery--inpatient
- Post-Stabilization Services-inpatient
- Rehabilitation Services (Acute)
- Room and Board
- Speech Therapy--inpatient
- Spinal Cord Stimulator
- Sterlization services--inpatient
- Surgery (nonurgent)--inpatient
- Surgery (urgent)--inpatient
- Transplants
- Transplants Pre and Post Transplant Services
- Transportation between hospitals

# Standard 8

NQTL Type: Outlier Management

Classification: Outpatient - Other

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

## Mental Health

- Laboratory Services
- Mobile treatment-Assertive community treatment (ACT)
- Mobile treatment-non-ACT
- Targeted Case Management

## Substance Use Disorder

- Drug Testing
- Laboratory Services

## Medical/Surgical

- Community Pathways and Community Supports waivers: Participant education, training and advocacy
- Community Pathways and Community Supports waivers: Personal supports
- Community Pathways and Community Supports waivers: Respite care services
- Community Pathways and Community Supports waivers: Support broker services
- Community Pathways and Community Supports waivers: Supported employment
- Community Pathways and Community Supports waivers: Transportation
- Community Pathways and Community Supports waivers: Vehicle modification
- Community Pathways and Community Supports waivers: Behavioral support services
- Community Pathways and Community Supports waivers: Career exploration
- Community Pathways and Community Supports waivers: Community development
- Community Pathways and Community Supports waivers: Day habilitation
- Community Pathways and Community Supports waivers: Employment discovery and customization
- Community Pathways and Community Supports waivers: Employment services
- Community Pathways and Community Supports waivers: Environmental assessment
- Community Pathways and Community Supports waivers: Environmental modification
- Community Pathways and Community Supports waivers:

- Family and peer mentoring supports
- Community Pathways and Community Supports waivers: Family caregiver training and empowerment
- Community Pathways and Community Supports waivers: Housing support services
- Community Pathways and Community Supports waivers: Individual and family directed goods and services
- Community Pathways and Community Supports waivers: Medical Day Care
- Community Pathways and Community Supports waivers: Nurse case management and delegation
- Community Pathways and Community Supports waivers: Nurse consultation
- Community Pathways and Community Supports waivers: Nurse health case management
- Family Supports: Environmental assessment
- Family Supports: Environmental modification
- Family Supports: Family and peer mentoring supports
- Family Supports: Family caregiver training and empowerment
- Family Supports: Housing support services
- Family Supports: Individual and family directed goods and services
- Family Supports: Nurse case management and delegation
- Family Supports: Participant education, training and advocacy
- Family Supports: Personal supports
- Family Supports: Support broker services
- Family Supports: Transportation
- Family Supports: Vehicle modification
- Autism: Family leave (retainer day)
- Autism: Intensive individual support services
- Autism: Residential habilitation (regular and intensive)
- Autism: Respite care
- Autism: Therapeutic integration (regular and intensive)
- Autism: adult life planning
- Autism: family training
- Brain Injury: Day habilitation
- Brain Injury: Residential habilitation
- Brain injury: Supported employment
- Brain injury: medical Day Care

- Community Pathways and Community Supports waivers: Assistive technology and services
- Family Supports: Assistive technology and services
- Family Supports: Behavioral support services
- Family Supports: Nurse consultation
- Family Supports: Respite care services
- (10) Periodontal services (scaling, full mouth debridement, etc.) - OP OR/ASC;
- (12) Prosthodontics (dentures, etc.) - OP OR/ASC;
- (14) Oral and Maxillofacial surgery services (extractions, etc.) - OP OR/ASC;
- (17) Anesthesia - OP OR/ASC.
- (2) Preventive services (semiannual cleanings, fluoride, etc.) - OP OR/ASC;
- (4) Diagnostic services (oral exams, x-rays, sealants, etc.) - OP OR/ASC;
- (6) Restorative services (filings, crowns, etc) - OP OR/ASC;
- (8) Endodontic services (root canals, etc.) - OP OR/ASC;
- 1915c: certified Nursing Assistant/Home Health Aide
- 1915c: nursing (private duty) (21+ years of age)
- Applied Behavior Analysis (autism services)--primarily in-home
- Autism: Environmental accessibility adaption
- Brain injury: Individual support services
- CFC: environmental assessments
- CFC: home delivered meals
- CPAS and CFC: Personal assistance services
- Care Management
- Community Pathways only: Remote support services
- Community Pathways only: Shared living
- Community Pathways only: Support living
- Community Pathways only: community living
- Community Pathways only: live-in caregiver supports
- Community Pathways only: transition services
- DME/DMS Alternative Approach--Full category; need to identify any NQTLs that apply to any DME/DMS under the broad category.

Description of NQTL factors should be more detailed.

- Diabetic Education
- Disposable Medical Equipment (w/o HCPCS)
- Disposable Medical Supplies (> \$500.00)
- Disposable Medical Supplies (≤ \$500.00)
- Durable Medical Equipment (> \$1,000.00)
- Durable Medical Equipment (DME)/Disposable Medical Supplies (DMS)
- Durable Medical Equipment (w/o HCPCS)
- Durable Medical Equipment (≤ \$1,000.00)
- Durable Medical Equipment rental (> 3 months)
- Durable Medical Equipment rental (≤ 3 months)
- EPSDT: Nursing services (Registered Nurse, Licensed Practical Nurse, Certified Nursing Assistant-Certified Medication Technician)
- Genetic Testing
- HCBS: case management
- HH: Home Health Aide (> 1 visit per day; > 4 hours of service per day; visit w/o skilled nursing)
- HH: Home Health Aide (≤ 1 visit per day; ≤ 4 hours of service per day; visit w/ skilled nursing; services rendered during a 30-day period that do not exceed the Medicaid NF rate)
- HH: Occupational therapy (> 1 visit per day; services rendered during a 30-day period in excess of the Medicaid NF rate))
- HH: Occupational therapy (≤ 1 visit per day; services rendered during a 30-day period that do not exceed the Medicaid NF rate)
- HH: Physical therapy (> 1 visit per day; ; services rendered during a 30-day period in excess of the Medicaid NF rate)
- HH: Physical therapy (≤ 1 visit per day; services rendered during a 30-day period that do not exceed the Medicaid NF rate)
- HH: Registered Nurse
- HH: Registered Nurse (> 1 visit per day; services rendered during a 30-day period in excess of the Medicaid NF rate)
- HH: Registered Nurse (≤ 1 visit per day; services rendered during a 30-

- day period that do not exceed the Medicaid NF rate)
- HH: Speech therapy (> 1 visit per day; services rendered during a 30-day period in excess of the Medicaid NF rate)
- HH: Speech therapy (≤ 1 visit per day; services rendered during a 30-day period that do not exceed the Medicaid NF rate)
- Hepatitis C Virus Genotyping
- Home Health Services: medical supplies used for home health visit
- Home Health Services: occupational therapy
- Home Health Services: physical therapy
- Home Health Services: skilled nursing services
- Home Health Services: speech pathology services
- Hospice Care--Outpatient
- ICS: case management
- Laboratory Services
- Medical Day Care waiver with associated services(16+ years of age):
- Molecular Pathology Labs
- National Diabetes Prevention Program
- Neuro-Psychological Testing/Developmental Delay Programs
- Oxygen
- Oxygen (w/o HCPCS)
- PACE: Day health care
- PACE: In-home care
- PACE: Meals
- PACE: Nursing care
- PACE: Personal care
- PACE: Recreation
- PACE: Social work
- PACE: Transportation
- Personal Care Services
- Private Duty Nursing
- Remote Patient Monitoring
- Targeted Case Management

# Standard 8

NQTL Type: Outlier Management  
Classification: Outpatient - Office Based

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

## Mental Health

- Biofeedback
- Electroconvulsive therapy (ECT)--outpatient
- Evaluation and Management--Outpatient
- Family Psycho-Educational Therapy
- Family Therapy--outpatient
- Group therapy--outpatient
- Health Behavior Assessment
- Health Behavior Reassessment
- Individual Psycho-Educational Therapy
- Individual therapy--outpatient
- Intensive outpatient (IOP)
- Mental health assessment
- Mental health reassessment
- Multiple family group therapy
- Partial hospitalization (PHP)
- Psychiatric Rehabilitation Services
- Psychological or neuropsychological testing and evaluation
- Therapeutic Behavioral Services
- Transcranial Magnetic Stimulation (TMS)
- Traumatic Brain Injury (TBI) Day Habilitation

## Substance Use Disorder

- Alcohol and/or drug assessment
- Ambulatory detoxification
- Group therapy--outpatient
- Individual therapy--outpatient
- Intensive Outpatient (IOP)--ASAM 2.1
- MAT Initial Induction: Alcohol/Drug Services; Medical/Somatic (Medical Intervention in Ambulatory Setting)
- MAT Initial Intake (Evaluation and Management, Including Rx)
- MAT Ongoing (Evaluation and Management, including Rx)
- Opioid Treatment Program (OTP) services - including med management
- Partial Hospitalization (PHP)--ASAM 2.5

## Medical/Surgical

- (15) Orthodontic services - Clinic;
- (1) Preventive services (cleanings, fluoride, etc.) - Clinic;
- (1) Preventive services (semiannual cleanings, fluoride, etc.) - Clinic;
- (11) Prosthodontics (dentures, etc.) - Clinic;
- (13) Oral and Maxillofacial surgery services (extractions, etc.) - Clinic;
- (16) Anesthesia - Clinic; and
- (2) Diagnostic services (oral exams, x-rays, etc.) - Clinic;
- (3) Diagnostic services (oral exams, x-rays, sealants, etc.) - Clinic;
- (3) Restorative services (filings, crowns, etc) - Clinic;
- (4) Endodontic services (root canals, etc.) - Clinic;
- (5) Periodontal services (scaling, full mouth debridement, etc.) - Clinic;
- (5) Restorative services (filings, crowns, etc) - Clinic;
- (6) Prosthodontics (adjustment of dentures, etc.) - Clinic;
- (7) Endodontic services (root canals, etc.) - Clinic;
- (7) Oral and Maxillofacial surgery services (extractions, etc.) - Clinic; and
- (8) Anesthesia - Clinic.
- (9) Periodontal services (scaling, full mouth debridement, etc.) - Clinic;
- Acne
- Acne Services
- Acupuncture & Biofeedback Service
- Acupuncture
- Amputations--outpatient
- Anesthesia
- Audiology Services
- Audiology Services (hearing loss assessment and treatment)
- Blood/Blood Products
- Bypass surgery--outpatient
- Capsule Endoscopy
- Cardiac Procedures (non-emergent)--outpatient
- Cardiac Rehab
- Cardiac Rehabilitation
- Chemotherapy

- Chemotherapy--outpatient
- Chiropractic Services
- Chiropractic Services
- Circumcision
- Circumcision
- Clinic Services--Coumadin Clinic
- Clinic Services--FQHC
- Clinic Services--Heart Failure Clinic
- Clinic Services--Other
- Clinic Services--SBHC
- Clinic Services--Wound Clinic
- Clinic Visits
- Corrective Surgery--outpatient
- Cosmetic Procedures/Surgeries--outpatient
- Cosmetic Surgery
- Dental Services
- Dermatology Procedures
- Dermatology Services
- Diabetic Education
- Diagnostic and X-ray services: CT--outpatient
- Diagnostic and X-ray services: MRI--outpatient
- Diagnostic and X-ray services: PET--outpatient
- Diagnostic and X-ray services: Radiography--outpatient
- Dialysis
- Dialysis--outpatient
- Family Planning Services
- Family Planning Services
- Fluoride Rinse/Varnish
- Fluoride Rinse/Varnish
- Foster Care Evaluation/Check-up for children entering State custody
- Genetic Counseling
- Genetic Testing
- Genetic Testing
- Grafts/Implants--outpatient
- HH: Occupational therapy
- HH: Physical therapy
- HH: Speech therapy
- HH: physical therapy
- Hyperbaric Oxygen Therapy
- Infusion/Maintenance Drug Infusion
- Investigational Surgeries/Clinical Trials--outpatient
- National Diabetes Prevention Program Services
- Nerve Stimulator--outpatient
- Neuro-Psychological Testing/Developmental Delay Programs
- Neurosurgical procedures--outpatient
- Newborn Office Services
- Newborn Office Services
- Nutritional Counseling
- OB Ultrasound

- OB Ultrasounds
- Observation
- Occupational Therapy
- Oncology Treatment
- Oral Surgery - Adults
- Oral Surgery - Children
- Oral Surgery Adult
- Oral Surgery Child
- Outpatient Hospital (non-emergency)
- Outpatient Rehabilitative services
- Outpatient Surgery (Ambulatory Surgery Center)
- Outpatient Surgery; Ambulatory Surgery Centers
- Outpatient hospital care (emergent)
- Outpatient hospital care (non-emergent)
- Pain Management Procedures
- Pain Management Services (ambulatory)
- Pain Management Visits
- Physical Exams - adult
- Physical Therapy
- Physician Visits - Adults
- Physician Visits - Children
- Plastic and Restorative Surgery--outpatient
- Podiatry Services
- Post-Stabilization Services
- Post-Stabilization Services-outpatient
- Pregnancy-related Services (OB Care)
- Primary Care
- Proton Therapy Treatment
- Pulmonary Rehab
- Pulmonary Rehab
- Rural Health Clinic
- Sleep Studies /Sleep Apnea Studies
- Somatic services related to gender dysphoria
- Specialty Care
- Speech Therapy--outpatient
- Sterilization
- Sterilization Services--outpatient
- Targeted Case Management Services
- Therapy - evaluations
- Therapy - occupational
- Therapy - pathology
- Therapy - physical
- Therapy - rehabilitative (20 and under)
- Therapy - speech
- Transplants Pre and Post Transplant Services--outpatient
- Urgent Care
- Vaccines / Immunizations
- Vision Services

- Wound Vacuum-assisted closure (VAC)
- X-Ray / CT
- X-Ray / MRI
- X-Ray / PET
- X-Ray / Radiology

# Standard 8

NQTL Type: Service Limitations

Classification: Inpatient

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

Mental Health

Substance Use Disorder

Medical/Surgical

- Does not apply

- Does not apply

# Standard 8

NQTL Type: Service Limitations

Classification: Outpatient - Other

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

## Mental Health

- Mobile treatment-Assertive community treatment (ACT)
- Mobile treatment-non-ACT
- Targeted Case Management

## Substance Use Disorder

- Does not apply

## Medical/Surgical

- Does not apply

# Standard 8

NQTL Type: Service Limitations

Classification: Outpatient - Office Based

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

## Mental Health

- Biofeedback
- Evaluation and Management-Outpatient
- Family Psycho-Educational Therapy
- Family Therapy--outpatient
- Group therapy--outpatient
- Health Behavior Assessment
- Health Behavior Reassessment
- Individual therapy--outpatient
- Intensive outpatient (IOP)
- Mental health assessment
- Mental health reassessment
- Multiple family group therapy
- Partial hospitalization (PHP)
- Psychiatric Rehabilitation Services
- Psychological or neuropsychological testing and evaluation
- Therapeutic Behavioral Services

## Substance Use Disorder

- Alcohol and/or drug assessment
- Ambulatory detoxification
- Group therapy--outpatient
- Individual therapy--outpatient
- Intensive Outpatient (IOP)--ASAM 2.1
- MAT Initial Induction: Alcohol/Drug Services; Medical/Somatic (Medical Intervention in Ambulatory Setting)
- MAT Initial Intake (Evaluation and Management, Including Rx)
- MAT Ongoing (Evaluation and Management, including Rx)
- Methadone Maintenance
- Ongoing services (Buprenorphine/Naloxone): Alcohol/Other Drug Abuse Services, Not Otherwise Specified
- Opioid Treatment Program (OTP) services - including med management
- Partial Hospitalization (PHP)--ASAM 2.5

## Medical/Surgical

- Does not apply

# Standard 8

NQTL Type: concurrent review

Classification: Inpatient

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

## Mental Health

- Acute Care Services
- Residential Treatment Centers
- Special Psychiatric Hospital
- nursing facility MH services

## Substance Use Disorder

- Inpatient ASAM 4.0
- Inpatient Detoxification--hospital
- Inpatient Detoxification-IMD (Licensed Intermediate Care Facilities for Addictions in Maryland)
- Residential SUD Treatment--ASAM 3.1
- Residential SUD Treatment--ASAM 3.3
- Residential SUD Treatment--ASAM 3.5
- Residential SUD Treatment--ASAM 3.7
- Residential SUD Treatment--ASAM 3.7WM (Licensed ASAM 3.7D in Maryland)
- nursing facility - SUD services

## Medical/Surgical

- Acute Care Services (Births/Deliveries)
- Acute Care Services (Medical/Surgical)
- Amputations--inpatient
- Ancillary Services
- Anesthesia--Inpatient
- Bariatric Surgery
- Bypass surgery--inpatient
- Cardiac Procedures (non-emergent)--inpatient
- Chemotherapy--inpatient
- Corrective Surgery--inpatient
- Cosmetic Procedures--Inpatient
- Diagnostic and X-ray services: CT--inpatient
- Diagnostic and X-ray services: MRI--inpatient
- Diagnostic and X-ray services: PET--inpatient
- Diagnostic and X-ray services: Radiography--inpatient
- Dialysis--Inpatient
- Erectile Dysfunction Procedures
- Eye Procedures--Inpatient
- Gender affirmation surgery
- Grafts/Implants
- Hospice Care--Inpatient
- Hospice: Short-term inpatient care
- Hospital Care--inpatient
- Hysterectomy
- Institutional Long Term Care/Skilled Nursing Facility (first 90 days)
- Investigational Surgeries/Clinical Trials--inpatient
- NICU/Sick baby/Detained baby
- Neurostimulators
- Neurosurgical procedures--inpatient
- Nursing Facility: Activities
- Nursing Facility: Dental services
- Nursing Facility: Dietary services
- Nursing Facility: Laboratory, radiology and other diagnostic testing
- Nursing Facility: Nursing services
- Nursing Facility: Pharmaceutical services
- Nursing Facility: Physician services
- Nursing Facility: Social work

- Nursing Facility: Specialized rehabilitation
- Occupational Therapy--inpatient
- Oncology Services--inpatient
- Physical Therapy--inpatient
- Plastic and Restorative Surgery--inpatient
- Post-Stabilization Services-inpatient
- Rehabilitation Services (Acute)
- Room and Board
- Speech Therapy--inpatient
- Spinal Cord Stimulator
- Sterlization services--inpatient
- Surgery (nonurgent)--inpatient
- Surgery (urgent)--inpatient
- Transplants
- Transplants Pre and Post Transplant Services

# Standard 8

NQTL Type: concurrent review

Classification: Outpatient - Other

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

## Mental Health

- Mobile treatment-Assertive community treatment (ACT)
- Mobile treatment-non-ACT
- Targeted Case Management

## Substance Use Disorder

- Does not apply

## Medical/Surgical

- Community Pathways and Community Supports waivers: Participant education, training and advocacy
- Community Pathways and Community Supports waivers: Personal supports
- Community Pathways and Community Supports waivers: Respite care services
- Community Pathways and Community Supports waivers: Support broker services
- Community Pathways and Community Supports waivers: Supported employment
- Community Pathways and Community Supports waivers: Transportation
- Community Pathways and Community Supports waivers: Vehicle modification
- Community Pathways and Community Supports waivers: Behavioral support services
- Community Pathways and Community Supports waivers: Career exploration
- Community Pathways and Community Supports waivers: Community development
- Community Pathways and Community Supports waivers: Day habilitation
- Community Pathways and Community Supports waivers: Employment discovery and customization
- Community Pathways and Community Supports waivers: Employment services
- Community Pathways and Community Supports waivers: Environmental assessment
- Community Pathways and Community Supports waivers: Environmental modification
- Community Pathways and Community Supports waivers:

- Family and peer mentoring supports
- Community Pathways and Community Supports waivers: Family caregiver training and empowerment
- Community Pathways and Community Supports waivers: Housing support services
- Community Pathways and Community Supports waivers: Individual and family directed goods and services
- Community Pathways and Community Supports waivers: Medical Day Care
- Community Pathways and Community Supports waivers: Nurse case management and delegation
- Community Pathways and Community Supports waivers: Nurse consultation
- Community Pathways and Community Supports waivers: Nurse health case management
- Family Supports: Environmental assessment
- Family Supports: Environmental modification
- Family Supports: Family and peer mentoring supports
- Family Supports: Family caregiver training and empowerment
- Family Supports: Housing support services
- Family Supports: Individual and family directed goods and services
- Family Supports: Nurse case management and delegation
- Family Supports: Participant education, training and advocacy
- Family Supports: Personal supports
- Family Supports: Support broker services
- Family Supports: Transportation
- Family Supports: Vehicle modification
- Autism: Family leave (retainer day)
- Autism: Intensive individual support services
- Autism: Residential habilitation (regular and intensive)
- Autism: Respite care
- Autism: Therapeutic integration (regular and intensive)
- Autism: adult life planning
- Autism: family training
- Brain Injury: Day habilitation
- Brain Injury: Residential habilitation
- Brain injury: Supported employment
- Brain injury: medical Day Care

- Community Pathways and Community Supports waivers: Assistive technology and services
- Family Supports: Assistive technology and services
- Family Supports: Behavioral support services
- Family Supports: Nurse consultation
- Family Supports: Respite care services
- Applied Behavior Analysis (autism services)--primarily in-home
- Autism: Environmental accessibility adaption
- Brain injury: Individual support services
- CFC: Accessibility adaptations
- CFC: Consumer training
- CFC: Personal emergency response system
- CFC: Transition services
- CFC: assistive technology
- CFC: environmental assessments
- CFC: home delivered meals
- CPAS and CFC: Nurse monitoring
- CPAS and CFC: Personal assistance services
- Community Pathways only: Remote support services
- Community Pathways only: Shared living
- Community Pathways only: Support living
- Community Pathways only: community living
- Community Pathways only: live-in caregiver supports
- Community Pathways only: transition services
- DME/DMS Alternative Approach--Full category; need to identify any NQTLs that apply to any DME/DMS under the broad category. Description of NQTL factors should be more detailed.
- Disposable Medical Equipment (w/o HCPCS)
- Disposable Medical Supplies (> \$500.00)
- Durable Medical Equipment (> \$1,000.00)
- Durable Medical Equipment (DME)/Disposable Medical Supplies (DMS)
- Durable Medical Equipment (w/o HCPCS)
- Durable Medical Equipment rental ( $\leq$  3 months)
- EPSDT: Nursing services (Registered Nurse, Licensed Practical Nurse,

Certified Nursing Assistant-Certified Medication Technician)

- HCBS: Assisted living
- HCBS: Behavioral consultation
- HCBS: Medical Day Care
- HCBS: Nutritionist/Dietician
- HCBS: case management
- HCBS: family training
- HCBS: senior Center Plus
- HH: Home Health Aide
- HH: Home Health Aide (> 1 visit per day; > 4 hours of service per day; visit w/o skilled nursing)
- HH: Home Health Aide (≤ 1 visit per day; ≤ 4 hours of service per day; visit w/ skilled nursing; services rendered during a 30-day period that do not exceed the Medicaid NF rate)
- HH: Occupational therapy
- HH: Occupational therapy (> 1 visit per day; services rendered during a 30-day period in excess of the Medicaid NF rate))
- HH: Occupational therapy (≤ 1 visit per day; services rendered during a 30-day period that do not exceed the Medicaid NF rate)
- HH: Physical therapy (> 1 visit per day; ; services rendered during a 30-day period in excess of the Medicaid NF rate)
- HH: Physical therapy (≤ 1 visit per day; services rendered during a 30-day period that do not exceed the Medicaid NF rate)
- HH: Registered Nurse
- HH: Registered Nurse (> 1 visit per day; services rendered during a 30-day period in excess of the Medicaid NF rate)
- HH: Registered Nurse (≤ 1 visit per day; services rendered during a 30-day period that do not exceed the Medicaid NF rate)
- HH: Speech therapy
- HH: Speech therapy (> 1 visit per day; services rendered during a 30-day period in excess of the Medicaid NF rate)
- HH: Speech therapy (≤ 1 visit per day; services rendered during a 30-day period that do not exceed the Medicaid NF rate)
- HH: physical therapy
- Home Health Services: occupational therapy
- Home Health Services: physical therapy

- Home Health Services: skilled nursing services
- Home Health Services: speech pathology services
- Hospice Care--Outpatient
- Hospice: Medical appliances and supplies
- Hospice: Medical social services
- Hospice: home health and aide services
- Hospice: nursing services
- ICS: Assisted living
- ICS: Family training
- ICS: Medical Day Care
- ICS: Nutritionist/Dietician
- ICS: behavioral consultation
- ICS: case management
- ICS: senior Center Plus
- Medical Day Care waiver with associated services(16+ years of age):
- Neuro-Psychological Testing/Developmental Delay Programs
- Oxygen (w/o HCPCS)
- PACE: Day health care
- PACE: In-home care
- PACE: Meals
- PACE: Nursing care
- PACE: Personal care
- PACE: Recreation
- PACE: Social work
- PACE: Transportation
- Personal Care Services
- Private Duty Nursing
- Remote Patient Monitoring

# Standard 8

NQTL Type: concurrent review

Classification: Outpatient - Office Based

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

## Mental Health

- Biofeedback
- Electroconvulsive therapy (ECT)--outpatient
- Evaluation and Management--Outpatient
- Family Therapy--outpatient
- Group therapy--outpatient
- Individual therapy--outpatient
- Intensive outpatient (IOP)
- Multiple family group therapy
- Partial hospitalization (PHP)
- Psychiatric Rehabilitation Services
- Psychological or neuropsychological testing and evaluation
- Therapeutic Behavioral Services
- Transcranial Magnetic Stimulation (TMS)
- Traumatic Brain Injury (TBI) Day Habilitation

## Substance Use Disorder

- Ambulatory detoxification
- Group therapy--outpatient
- Individual therapy--outpatient
- Intensive Outpatient (IOP)--ASAM 2.1
- MAT Ongoing (Evaluation and Management, including Rx)
- Methadone Maintenance
- Ongoing services (Buprenorphine/Naloxone): Alcohol/Other Drug Abuse Services, Not Otherwise Specified
- Opioid Treatment Program (OTP) services - including med management
- Partial Hospitalization (PHP)--ASAM 2.5

## Medical/Surgical

- Acupuncture & Biofeedback Service
- Acupuncture
- Occupational Therapy
- Outpatient Rehabilitative services
- Pain Management Procedures
- Pain Management Services (ambulatory)
- Pain Management Visits
- Physical Therapy
- Speech Therapy--outpatient
- Therapy - occupational
- Therapy - pathology
- Therapy - physical
- Therapy - rehabilitative (20 and under)
- Therapy - speech

# Standard 8

NQTL Type: fail first requirements/step therapy

Classification: Inpatient

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

Mental Health

- Does not apply

Substance Use Disorder

- Does not apply

Medical/Surgical

- Does not apply

# Standard 8

NQTL Type: fail first requirements/step therapy

Classification: Outpatient - Other

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

## Mental Health

- Does not apply

## Substance Use Disorder

- Does not apply

## Medical/Surgical

- CFC: Accessibility adaptations
- CFC: assistive technology
- CFC: environmental assessments

# Standard 8

NQTL Type: fail first requirements/step therapy

Classification: Outpatient - Office Based

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

Mental Health

- Does not apply

Substance Use Disorder

- Does not apply

Medical/Surgical

- Does not apply

# Standard 8

NQTL Type: fail first requirements/step therapy

Classification: Prescription Drugs

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

## Mental Health

- FFS MH Drug

## Substance Use Disorder

- FFS SUD Drug

## Medical/Surgical

- FFS M/S Drug
- MCO administered prescription drug

# Standard 8

NQTL Type: prior authorization/pre authorization

Classification: Inpatient

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

## Mental Health

- Acute Care Services
- Residential Treatment Centers
- Special Psychiatric Hospital
- nursing facility MH services

## Substance Use Disorder

- Inpatient ASAM 4.0
- Inpatient Detoxification--hospital
- Inpatient Detoxification-IMD (Licensed Intermediate Care Facilities for Addictions in Maryland)
- Residential SUD Treatment--ASAM 3.1
- Residential SUD Treatment--ASAM 3.3
- Residential SUD Treatment--ASAM 3.5
- Residential SUD Treatment--ASAM 3.7
- Residential SUD Treatment--ASAM 3.7WM (Licensed ASAM 3.7D in Maryland)
- nursing facility - SUD services

## Medical/Surgical

- Acute Care Services (Births/Deliveries)
- Acute Care Services (Medical/Surgical)
- Amputations--inpatient
- Ancillary Services
- Anesthesia--Inpatient
- Bariatric Surgery
- Bypass surgery--inpatient
- Cardiac Procedures (non-emergent)--inpatient
- Chemotherapy--inpatient
- Corrective Surgery--inpatient
- Cosmetic Procedures--Inpatient
- Diagnostic and X-ray services: CT--inpatient
- Diagnostic and X-ray services: MRI--inpatient
- Diagnostic and X-ray services: PET--inpatient
- Diagnostic and X-ray services: Radiography--inpatient
- Dialysis--Inpatient
- Erectile Dysfunction Procedures
- Eye Procedures--Inpatient
- Gender affirmation surgery
- Grafts/Implants
- Hospice Care--Inpatient
- Hospice: Short-term inpatient care
- Hospital Care--inpatient
- Hysterectomy
- Institutional Long Term Care/Skilled Nursing Facility (first 90 days)
- Investigational Surgeries/Clinical Trials--inpatient
- NICU/Sick baby/Detained baby
- Neurostimulators
- Neurosurgical procedures--inpatient
- Nursing Facility: Activities
- Nursing Facility: Dental services
- Nursing Facility: Dietary services
- Nursing Facility: Laboratory, radiology and other diagnostic testing
- Nursing Facility: Nursing services
- Nursing Facility: Pharmaceutical services
- Nursing Facility: Physician services
- Nursing Facility: Social work

- Nursing Facility: Specialized rehabilitation
- Occupational Therapy--inpatient
- Oncology Services--inpatient
- Physical Therapy--inpatient
- Plastic and Restorative Surgery--inpatient
- Post-Stabilization Services-inpatient
- Rehabilitation Services (Acute)
- Room and Board
- Speech Therapy--inpatient
- Spinal Cord Stimulator
- Sterlization services--inpatient
- Surgery (nonurgent)--inpatient
- Surgery (urgent)--inpatient
- Transplants
- Transplants Pre and Post Transplant Services

# Standard 8

NQTL Type: prior authorization/pre authorization

Classification: Outpatient - Other

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

## Mental Health

- Mobile treatment-Assertive community treatment (ACT)
- Mobile treatment-non-ACT
- Targeted Case Management

## Substance Use Disorder

- Does not apply

## Medical/Surgical

- Autism: Family leave (retainer day)
- Autism: Intensive individual support services
- Autism: Residential habilitation (regular and intensive)
- Autism: Respite care
- Autism: Therapeutic integration (regular and intensive)
- Autism: adult life planning
- Autism: family training
- Brain Injury: Day habilitation
- Brain Injury: Residential habilitation
- Brain injury: Supported employment
- Brain injury: medical Day Care
- (10) Periodontal services (scaling, full mouth debridement, etc.) - OP OR/ASC;
- (12) Prosthodontics (dentures, etc.) - OP OR/ASC;
- (14) Oral and Maxillofacial surgery services (extractions, etc.) - OP OR/ASC;
- (17) Anesthesia - OP OR/ASC.
- (2) Preventive services (semiannual cleanings, fluoride, etc.) - OP OR/ASC;
- (4) Diagnostic services (oral exams, x-rays, sealants, etc.) - OP OR/ASC;
- (6) Restorative services (filings, crowns, etc) - OP OR/ASC;
- (8) Endodontic services (root canals, etc.) - OP OR/ASC;
- Applied Behavior Analysis (autism services)--primarily in-home
- Autism: Environmental accessibility adaption
- Brain injury: Individual support services
- CFC: Accessibility adaptations
- CFC: Consumer training
- CFC: Personal emergency response system
- CFC: Transition services
- CFC: assistive technology
- CFC: environmental assessments
- CFC: home delivered meals
- CPAS and CFC: Nurse monitoring
- CPAS and CFC: Personal assistance services

- DME/DMS Alternative Approach--Full category; need to identify any NQTLs that apply to any DME/DMS under the broad category. Description of NQTL factors should be more detailed.
- Disposable Medical Equipment (w/o HCPCS)
- Disposable Medical Supplies (> \$500.00)
- Disposable Medical Supplies (≤ \$500.00)
- Durable Medical Equipment (> \$1,000.00)
- Durable Medical Equipment (DME)/Disposable Medical Supplies (DMS)
- Durable Medical Equipment (w/o HCPCS)
- Durable Medical Equipment (≤ \$1,000.00)
- Durable Medical Equipment rental (> 3 months)
- Durable Medical Equipment rental (≤ 3 months)
- EPSDT: Nursing services (Registered Nurse, Licensed Practical Nurse, Certified Nursing Assistant-Certified Medication Technician)
- HCBS: Assisted living
- HCBS: Behavioral consultation
- HCBS: Medical Day Care
- HCBS: Nutritionist/Dietician
- HCBS: family training
- HCBS: senior Center Plus
- HH: Home Health Aide
- HH: Home Health Aide (> 1 visit per day; > 4 hours of service per day; visit w/o skilled nursing)
- HH: Occupational therapy
- HH: Occupational therapy (> 1 visit per day; services rendered during a 30-day period in excess of the Medicaid NF rate))
- HH: Physical therapy (> 1 visit per day; ; services rendered during a 30-day period in excess of the Medicaid NF rate)
- HH: Registered Nurse (> 1 visit per day; services rendered during a 30-day period in excess of the Medicaid NF rate)
- HH: Speech therapy
- HH: Speech therapy (> 1 visit per day; services rendered during a 30-day period in excess of the Medicaid NF rate)
- HH: Speech therapy (≤ 1 visit per day; services rendered during a 30-

day period that do not exceed the Medicaid NF rate)

- HH: physical therapy
- HH: registered Nurse
- Hepatitis C Virus Genotyping
- Home Health Services: physical therapy
- Home Health Services: skilled nursing services
- Home Health Services: speech pathology services
- Hospice Care--Outpatient
- ICS: Assisted living
- ICS: Family training
- ICS: Medical Day Care
- ICS: Nutritionist/Dietician
- ICS: behavioral consultation
- ICS: senior Center Plus
- Neuro-Psychological Testing/Developmental Delay Programs
- Oxygen (w/o HCPCS)
- PACE: Day health care
- PACE: In-home care
- PACE: Meals
- PACE: Nursing care
- PACE: Personal care
- PACE: Recreation
- PACE: Social work
- PACE: Transportation
- Personal Care Services
- Private Duty Nursing
- Remote Patient Monitoring

# Standard 8

NQTL Type: prior authorization/pre authorization

Classification: Outpatient - Office Based

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

## Mental Health

- Biofeedback
- Electroconvulsive therapy (ECT)--outpatient
- Evaluation and Management--Outpatient
- Family Therapy--outpatient
- Group therapy--outpatient
- Individual therapy--outpatient
- Intensive outpatient (IOP)
- Multiple family group therapy
- Partial hospitalization (PHP)
- Psychiatric Rehabilitation Services
- Psychological or neuropsychological testing and evaluation
- Therapeutic Behavioral Services
- Transcranial Magnetic Stimulation (TMS)
- Traumatic Brain Injury (TBI) Day Habilitation

## Substance Use Disorder

- Ambulatory detoxification
- Group therapy--outpatient
- Individual therapy--outpatient
- Intensive Outpatient (IOP)--ASAM 2.1
- MAT Initial Induction: Alcohol/Drug Services; Medical/Somatic (Medical Intervention in Ambulatory Setting)
- MAT Initial Intake (Evaluation and Management, Including Rx)
- MAT Ongoing (Evaluation and Management, including Rx)
- Methadone Maintenance
- Ongoing services (Buprenorphine/Naloxone): Alcohol/Other Drug Abuse Services, Not Otherwise Specified
- Opioid Treatment Program (OTP) services - including med management
- Partial Hospitalization (PHP)--ASAM 2.5

## Medical/Surgical

- (15) Orthodontic services - Clinic;
- (11) Prosthodontics (dentures, etc.) - Clinic;
- (13) Oral and Maxillofacial surgery services (extractions, etc.) - Clinic;
- (2) Diagnostic services (oral exams, x-rays, etc.) - Clinic;
- (3) Diagnostic services (oral exams, x-rays, sealants, etc.) - Clinic;
- (3) Restorative services (fillings, crowns, etc) - Clinic;
- (4) Endodontic services (root canals, etc.) - Clinic;
- (5) Periodontal services (scaling, full mouth debridement, etc.) - Clinic;
- (5) Restorative services (fillings, crowns, etc) - Clinic;
- (6) Prosthodontics (adjustment of dentures, etc.) - Clinic;
- (7) Endodontic services (root canals, etc.) - Clinic;
- (7) Oral and Maxillofacial surgery services (extractions, etc.) - Clinic; and
- (9) Periodontal services (scaling, full mouth debridement, etc.) - Clinic;
- 1915c: Physician participation in plan of care meeting
- Amputations--outpatient
- Bypass surgery--outpatient
- Cardiac Procedures (non-emergent)--outpatient
- Corrective Surgery--outpatient
- Cosmetic Procedures/Surgeries--outpatient
- Cosmetic Surgery
- HCBS: behavioral consultation
- HCBS: nutritionist/Dietician
- HH: Occupational therapy
- HH: Physical therapy
- HH: Speech therapy
- Hyperbaric Oxygen Therapy
- ICS: Behavioral consultation
- ICS: Nutritionist/Dietician
- Infusion/Maintenance Drug Infusion
- Investigational Surgeries/Clinical Trials--outpatient
- Nerve Stimulator--outpatient

- Neuro-Psychological Testing/Developmental Delay Programs
- Occupational Therapy
- Outpatient Surgery (Ambulatory Surgery Center)
- Outpatient Surgery; Ambulatory Surgery Centers
- Outpatient hospital care (emergent)
- Outpatient hospital care (non-emergent)
- PACE: Medical specialty services (podiatry, psychiatry, dentistry, optometry and audiology)
- PACE: Rehabilitation
- Pain Management Procedures
- Pain Management Services (ambulatory)
- Pain Management Visits
- Physical Therapy
- Plastic and Restorative Surgery--outpatient
- Sleep Studies /Sleep Apnea Studies
- Speech Therapy--outpatient
- Therapy - occupational
- Therapy - pathology
- Therapy - physical
- Therapy - speech
- Transplants Pre and Post Transplant Services--outpatient

# Standard 8

NQTL Type: prior authorization/pre authorization

Classification: Prescription Drugs

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

## Mental Health

- FFS MH Drug

## Substance Use Disorder

- FFS SUD Drug

## Medical/Surgical

- FFS M/S Drug
- MCO administered prescription drug

# Standard 8

NQTL Type: tiered drug formulary

Classification: Prescription Drugs

Select an NQTL type and then check off all benefits that are subject to that NQTL type within each classification.

## Mental Health

- FFS MH Drug

## Substance Use Disorder

- FFS SUD Drug

## Medical/Surgical

- FFS M/S Drug
- MCO administered prescription drug

## Standard 9

Any parity flags found in Standard 9 are reported below. The number of flags per classification and category combination is shown.

	Parity Flag		
	Mental Health	Substance Use Disorder	Medical/Surgical
<b>Inpatient</b>	-	-	-
<b>Outpatient - Other</b>	-	-	-
<b>Outpatient - Office Based</b>	-	-	-
<b>Emergency Benefits</b>	-	-	-
<b>Prescription Drugs</b>	-	-	-

Any classification/category/NQTL combination considered incomplete is reported in the table below.

	Missing Information		
	Mental Health	Substance Use Disorder	Medical/Surgical
<b>Inpatient</b>	-	-	-
<b>Outpatient - Other</b>	-	-	-
<b>Outpatient - Office Based</b>	-	-	-
<b>Emergency Benefits</b>	-	-	-
<b>Prescription Drugs</b>	-	-	-

# Standard 9

NQTL Type: Outlier Management  
Classification: Outpatient - Office Based  
Category: Mental Health

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: Y

Please upload documentation demonstrating that the NQTL type is applied to 100% of benefits in this classification.

Supporting Documents

- Optum Benefits Mapping\_MDH Revisions\_7.17.20.xlsx

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

- Excessive utilization
- High levels of variation in length of stay
- Variability in quality
- Severity or chronicity of an illness
- Service type
- Least restrictive appropriate level of care

Considered

## Standard 9

NQTL Type: Outlier Management  
Classification: Outpatient - Office Based  
Category: Substance Use Disorder

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N

*No Documents Attached*

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Excessive utilization
- High levels of variation in length of stay
- Variability in quality
- Severity or chronicity of an illness
- Service type
- Least restrictive appropriate level of care

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Not Applicable

# Standard 9

NQTL Type: Outlier Management  
Classification: Outpatient - Office Based  
Category: Medical/Surgical

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: Y

Please upload documentation demonstrating that the NQTL type is applied to 100% of benefits in this classification.

Supporting Documents

- UMMSHP.OP.COMP.62 Fraud, Waste, and Abuse.pdf
- DLP Member Survey Services.pdf

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

- Excessive utilization
- High variability in cost per episode of care
- Claim types with high percentage of fraud
- Service type
- Relative reimbursement rates
- Safety risks

Considered

# Standard 9

NQTL Type: Outlier Management  
Classification: Outpatient - Office Based  
Factor: Excessive utilization

## Definitions

- Mental Health:** utilization of a service or services beyond that which is deemed medically necessary  
**Substance Use Disorder:** utilization of a service or services beyond that which is deemed medically necessary  
**Medical/Surgical:** utilization of a service or services beyond that which is deemed medically necessary

## Sources

### Mental Health

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Substance Use Disorder

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Medical/Surgical

- Medical expert reviews
- State and Federal requirements

## Evidentiary Standards

### Mental Health

- Utilization is two standard deviations above average utilization per episode of care.
- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Substance Use Disorder

- Utilization is two standard deviations above average utilization per episode of care.
- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Medical/Surgical

- Compliance with recognized industry standards to determine base fee schedule for providers done so in an equitable way

## Explain

### Mental Health:

# Standard 9

NQTL Type: Outlier Management

Classification: Outpatient - Office Based

Factor: High levels of variation in length of stay

## Definitions

**Mental Health:** Individuals with the same illness having different courses of improvement.

**Substance Use Disorder:** Individuals with the same illness having different courses of improvement.

**Medical/Surgical:**

## Sources

### Mental Health

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Substance Use Disorder

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Medical/Surgical

## Evidentiary Standards

### Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Substance Use Disorder

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Medical/Surgical

## Explain

# Standard 9

NQTL Type: Outlier Management  
Classification: Outpatient - Office Based  
Factor: Variability in quality

## Definitions

**Mental Health:** is individual improving, or is there a need for a change in the treatment plan, monitoring appropriateness and efficacy of treatment.

**Substance Use Disorder:** is individual improving, or is there a need for a change in the treatment plan, monitoring appropriateness and efficacy of treatment.

**Medical/Surgical:**

## Sources

### Mental Health

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Substance Use Disorder

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Medical/Surgical

## Evidentiary Standards

### Mental Health

- Utilization is two standard deviations above average utilization per episode of care.
- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Substance Use Disorder

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Medical/Surgical

## Explain

**Mental Health:**

# Standard 9

NQTL Type: Outlier Management  
Classification: Outpatient - Office Based  
Factor: Severity or chronicity of an illness

## Definitions

- Mental Health:** Level of current symptoms and presence of symptoms over time.  
**Substance Use Disorder:** Level of current symptoms and presence of symptoms over time.  
**Medical/Surgical:**

## Sources

### Mental Health

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Substance Use Disorder

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Medical/Surgical

## Evidentiary Standards

### Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Substance Use Disorder

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Medical/Surgical

## Explain

# Standard 9

NQTL Type: Outlier Management  
Classification: Outpatient - Office Based  
Factor: Service type

## Definitions

- Mental Health:** Services with various levels of intensity, including frequency and expected duration;  
**Substance Use Disorder:** Services with various levels of intensity, including frequency and expected duration;  
**Medical/Surgical:** Services with various levels of intensity, including frequency and expected duration;

## Sources

### Mental Health

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Substance Use Disorder

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Medical/Surgical

- State and Federal requirements

## Evidentiary Standards

### Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Substance Use Disorder

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Medical/Surgical

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

## Explain

# Standard 9

NQTL Type: Outlier Management

Classification: Outpatient - Office Based

Factor: Least restrictive appropriate level of care

## Definitions

**Mental Health:** lowest level of care the individual can be safely and effectively treated.

**Substance Use Disorder:** lowest level of care the individual can be safely and effectively treated.

**Medical/Surgical:**

## Sources

### Mental Health

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Substance Use Disorder

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Medical/Surgical

## Evidentiary Standards

### Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Substance Use Disorder

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Medical/Surgical

## Explain

# Standard 9

NQTL Type: Outlier Management

Classification: Outpatient - Office Based

Factor: High variability in cost per episode of care

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** Not Applicable. Did not select in Q P1Q2 and Q3.

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Internal claims analysis
- Medical expert reviews
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

## Explain

# Standard 9

NQTL Type: Outlier Management

Classification: Outpatient - Office Based

Factor: Claim types with high percentage of fraud

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** Services where fraud has been seen in the past, services identified in CMS workshops, refunds made by providers for over payments or incorrect payments, and where services where fraud would be easy

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Medical expert reviews
- State and Federal requirements

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

- Compliance with recognized industry standards to determine base fee schedule for providers done so in an equitable way

## Explain

# Standard 9

NQTL Type: Outlier Management  
Classification: Outpatient - Office Based  
Factor: Relative reimbursement rates

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** reimbursement rates that vary based on parameters such as place of service

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- State and Federal requirements

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

## Explain

# Standard 9

NQTL Type: Outlier Management  
Classification: Outpatient - Office Based  
Factor: Safety risks

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** risk of PA drug compared to other drugs considered

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Medical expert reviews

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

## Explain

# Standard 9

NQTL Type: Outlier Management  
Classification: Outpatient - Office Based  
Factor: Not Applicable

## Definitions

**Mental Health:**

**Substance Use Disorder:** NQTL does not apply for this classification

**Medical/Surgical:**

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Not Applicable

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

- Not Applicable

## Explain

# Standard 9

NQTL Type: Outlier Management

Classification: Inpatient

Category: Mental Health

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N

*No Documents Attached*

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Excessive utilization
- High levels of variation in length of stay
- Variability in quality
- Severity or chronicity of an illness
- Service type
- Least restrictive appropriate level of care

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Not Applicable

## Standard 9

NQTL Type: Outlier Management

Classification: Inpatient

Category: Substance Use Disorder

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: Y

Please upload documentation demonstrating that the NQTL type is applied to 100% of benefits in this classification.

Supporting Documents

- Optum Benefits Mapping\_MDH Revisions\_7.17.20.xlsx

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

- Excessive utilization
- High levels of variation in length of stay
- Variability in quality
- Severity or chronicity of an illness
- Service type
- Least restrictive appropriate level of care

Considered

# Standard 9

NQTL Type: Outlier Management

Classification: Inpatient

Category: Medical/Surgical

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: Y

Please upload documentation demonstrating that the NQTL type is applied to 100% of benefits in this classification.

Supporting Documents

- UMMSHP.OP.COMP.62 Fraud, Waste, and Abuse.pdf
- DLP Member Survey Services.pdf

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

- Excessive utilization
- Claim types with high percentage of fraud
- Safety risks

Considered

# Standard 9

NQTL Type: Outlier Management

Classification: Inpatient

Factor: Excessive utilization

## Definitions

**Mental Health:** utilization of a service or services beyond that which is deemed medically necessary

**Substance Use Disorder:** utilization of a service or services beyond that which is deemed medically necessary

**Medical/Surgical:** utilization of a service or services beyond that which is deemed medically necessary

## Sources

### Mental Health

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Substance Use Disorder

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Medical/Surgical

- Medical expert reviews
- State and Federal requirements

## Evidentiary Standards

### Mental Health

- Utilization is two standard deviations above average utilization per episode of care.
- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Substance Use Disorder

- Utilization is two standard deviations above average utilization per episode of care.
- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Medical/Surgical

- Compliance with recognized industry standards to determine base fee schedule for providers done so in an equitable way

## Explain

# Standard 9

NQTL Type: Outlier Management

Classification: Inpatient

Factor: High levels of variation in length of stay

## Definitions

**Mental Health:** Individuals with the same illness having different courses of improvement.

**Substance Use Disorder:** Individuals with the same illness having different courses of improvement.

**Medical/Surgical:**

## Sources

### Mental Health

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Substance Use Disorder

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Medical/Surgical

## Evidentiary Standards

### Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Substance Use Disorder

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Medical/Surgical

## Explain

# Standard 9

NQTL Type: Outlier Management

Classification: Inpatient

Factor: Variability in quality

## Definitions

**Mental Health:** is individual improving, or is there a need for a change in the treatment plan, monitoring appropriateness and efficacy of treatment.

**Substance Use Disorder:** is individual improving, or is there a need for a change in the treatment plan, monitoring appropriateness and efficacy of treatment.

**Medical/Surgical:**

## Sources

### Mental Health

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Substance Use Disorder

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Medical/Surgical

## Evidentiary Standards

### Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Substance Use Disorder

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Medical/Surgical

## Explain

# Standard 9

NQTL Type: Outlier Management

Classification: Inpatient

Factor: Severity or chronicity of an illness

## Definitions

**Mental Health:** Level of current symptoms and presence of symptoms over time.

**Substance Use Disorder:** Level of current symptoms and presence of symptoms over time.

**Medical/Surgical:**

## Sources

### Mental Health

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Substance Use Disorder

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Medical/Surgical

## Evidentiary Standards

### Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Substance Use Disorder

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Medical/Surgical

## Explain

# Standard 9

NQTL Type: Outlier Management

Classification: Inpatient

Factor: Service type

## Definitions

**Mental Health:** Services with various levels of intensity, including frequency and expected duration;

**Substance Use Disorder:** Services with various levels of intensity, including frequency and expected duration;

**Medical/Surgical:**

## Sources

### Mental Health

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Substance Use Disorder

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Medical/Surgical

## Evidentiary Standards

### Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Substance Use Disorder

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Medical/Surgical

## Explain

# Standard 9

NQTL Type: Outlier Management

Classification: Inpatient

Factor: Least restrictive appropriate level of care

## Definitions

**Mental Health:** lowest level of care the individual can be safely and effectively treated.

**Substance Use Disorder:** lowest level of care the individual can be safely and effectively treated.

**Medical/Surgical:**

## Sources

### Mental Health

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Substance Use Disorder

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Medical/Surgical

## Evidentiary Standards

### Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Substance Use Disorder

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Medical/Surgical

## Explain

# Standard 9

NQTL Type: Outlier Management

Classification: Inpatient

Factor: Claim types with high percentage of fraud

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** Services where fraud has been seen in the past, services identified in CMS workshops, refunds made by providers for over payments or incorrect payments, and where services where fraud would be easy

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Medical expert reviews
- State and Federal requirements

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

- Compliance with recognized industry standards to determine base fee schedule for providers done so in an equitable way

## Explain

# Standard 9

NQTL Type: Outlier Management

Classification: Inpatient

Factor: Safety risks

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** risk of PA drug compared to other drugs considered

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Medical expert reviews
- State and Federal requirements

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

## Explain

# Standard 9

NQTL Type: Outlier Management

Classification: Inpatient

Factor: Not Applicable

## Definitions

**Mental Health:** NQTL does not apply for this classification

**Substance Use Disorder:**

**Medical/Surgical:**

## Sources

Mental Health

- Not Applicable

Substance Use Disorder

Medical/Surgical

## Evidentiary Standards

Mental Health

- Not Applicable

Substance Use Disorder

Medical/Surgical

## Explain

**Substance Use Disorder:**

## Standard 9

NQTL Type: Outlier Management

Classification: Outpatient - Other

Category: Mental Health

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N

*No Documents Attached*

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Excessive utilization
- High levels of variation in length of stay
- Variability in quality
- Severity or chronicity of an illness
- Service type
- Least restrictive appropriate level of care

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Not Applicable

## Standard 9

NQTL Type: Outlier Management  
Classification: Outpatient - Other  
Category: Substance Use Disorder

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: Y

Please upload documentation demonstrating that the NQTL type is applied to 100% of benefits in this classification.

Supporting Documents

- Optum Benefits Mapping\_MDH Revisions\_7.17.20.xlsx

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

- Excessive utilization
- High levels of variation in length of stay
- Variability in quality
- Severity or chronicity of an illness
- Service type
- Least restrictive appropriate level of care

Considered

## Standard 9

NQTL Type: Outlier Management

Classification: Outpatient - Other

Category: Medical/Surgical

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N

*No Documents Attached*

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Excessive utilization
- Claim types with high percentage of fraud
- Variability in quality
- Service type
- Safety risks

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Not Applicable

# Standard 9

NQTL Type: Outlier Management  
Classification: Outpatient - Other  
Factor: Excessive utilization

## Definitions

- Mental Health:** utilization of a service or services beyond that which is deemed medically necessary
- Substance Use Disorder:** utilization of a service or services beyond that which is deemed medically necessary
- Medical/Surgical:** utilization of a service or services beyond that which is deemed medically necessary

## Sources

### Mental Health

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Substance Use Disorder

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Medical/Surgical

- Internal claims analysis
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

## Evidentiary Standards

### Mental Health

- Utilization is two standard deviations above average utilization per episode of care.
- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Substance Use Disorder

- Utilization is two standard deviations above average utilization per episode of care.
- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Medical/Surgical

- Compliance with industry recognized standards used to define Fraud, Waste, and Abuse Program Integrity

## Explain

# Standard 9

NQTL Type: Outlier Management

Classification: Outpatient - Other

Factor: Claim types with high percentage of fraud

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** Services where fraud has been seen in the past, services identified in CMS workshops, refunds made by providers for over payments or incorrect payments, and where services where fraud would be easy

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Internal claims analysis
- Medical expert reviews
- State and Federal requirements
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

- Compliance with industry recognized standards used to define Fraud, Waste, and Abuse Program Integrity

## Explain

# Standard 9

NQTL Type: Outlier Management  
Classification: Outpatient - Other  
Factor: Variability in quality

## Definitions

**Mental Health:** is individual improving, or is there a need for a change in the treatment plan, monitoring appropriateness and efficacy of treatment.

**Substance Use Disorder:** is individual improving, or is there a need for a change in the treatment plan, monitoring appropriateness and efficacy of treatment.

**Medical/Surgical:** is individual improving, or is there a need for a change in the treatment plan, monitoring appropriateness and efficacy of treatment.

## Sources

### Mental Health

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Substance Use Disorder

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Medical/Surgical

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

## Evidentiary Standards

### Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Substance Use Disorder

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Medical/Surgical

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

## Explain

**Substance Use Disorder:**

# Standard 9

NQTL Type: Outlier Management

Classification: Outpatient - Other

Factor: Service type

## Definitions

**Mental Health:** Services with various levels of intensity, including frequency and expected duration;

**Substance Use Disorder:** Services with various levels of intensity, including frequency and expected duration;

**Medical/Surgical:** Services with various levels of intensity, including frequency and expected duration;

## Sources

### Mental Health

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Substance Use Disorder

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Medical/Surgical

- State and Federal requirements
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

## Evidentiary Standards

### Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Substance Use Disorder

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Medical/Surgical

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

## Explain

# Standard 9

NQTL Type: Outlier Management  
Classification: Outpatient - Other  
Factor: Safety risks

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** risk of PA drug compared to other drugs considered

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.
- Compliance with professionally recognized evidence based clinical guidelines used to define clinically appropriate standards of care such as MCG clinical criteria.

## Explain

# Standard 9

NQTL Type: Outlier Management

Classification: Outpatient - Other

Factor: High levels of variation in length of stay

## Definitions

**Mental Health:** Individuals with the same illness having different courses of improvement.

**Substance Use Disorder:** Individuals with the same illness having different courses of improvement.

**Medical/Surgical:**

## Sources

### Mental Health

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Substance Use Disorder

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Medical/Surgical

## Evidentiary Standards

### Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Substance Use Disorder

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Medical/Surgical

## Explain

# Standard 9

NQTL Type: Outlier Management

Classification: Outpatient - Other

Factor: Severity or chronicity of an illness

## Definitions

**Mental Health:** Level of current symptoms and presence of symptoms over time.

**Substance Use Disorder:** Level of current symptoms and presence of symptoms over time.

**Medical/Surgical:**

## Sources

### Mental Health

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Substance Use Disorder

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Medical/Surgical

## Evidentiary Standards

### Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Substance Use Disorder

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Medical/Surgical

## Explain

# Standard 9

NQTL Type: Outlier Management

Classification: Outpatient - Other

Factor: Least restrictive appropriate level of care

## Definitions

**Mental Health:** lowest level of care the individual can be safely and effectively treated.

**Substance Use Disorder:** lowest level of care the individual can be safely and effectively treated.

**Medical/Surgical:**

## Sources

### Mental Health

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Substance Use Disorder

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Medical/Surgical

## Evidentiary Standards

### Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Substance Use Disorder

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Medical/Surgical

## Explain

# Standard 9

NQTL Type: Outlier Management

Classification: Outpatient - Other

Factor: Not Applicable

## Definitions

**Mental Health:** NQTL does not apply for this classification

**Substance Use Disorder:**

**Medical/Surgical:** NQTL does not apply for this classification

## Sources

Mental Health

- Not Applicable

Substance Use Disorder

Medical/Surgical

- Not Applicable

## Evidentiary Standards

Mental Health

- Not Applicable

Substance Use Disorder

Medical/Surgical

- Not Applicable

## Explain

# Standard 9

NQTL Type: Service Limitations

Classification: Outpatient - Office Based

Category: Mental Health

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N

*No Documents Attached*

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Service type

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Service type

# Standard 9

NQTL Type: Service Limitations

Classification: Outpatient - Office Based

Category: Substance Use Disorder

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N

*No Documents Attached*

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Service type

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Service type

# Standard 9

NQTL Type: Service Limitations

Classification: Outpatient - Office Based

Category: Medical/Surgical

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N

*No Documents Attached*

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Not Applicable

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Not Applicable

# Standard 9

NQTL Type: Service Limitations  
Classification: Outpatient - Office Based  
Factor: Service type

## Definitions

**Mental Health:** Services with various levels of intensity, including frequency and expected duration;  
**Substance Use Disorder:** Services with various levels of intensity, including frequency and expected duration;  
**Medical/Surgical:**

## Sources

### Mental Health

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Substance Use Disorder

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Medical/Surgical

## Evidentiary Standards

### Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Substance Use Disorder

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Medical/Surgical

## Explain

**Mental Health:**  
**Substance Use Disorder:**

# Standard 9

NQTL Type: Service Limitations  
Classification: Outpatient - Office Based  
Factor: Not Applicable

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** NQTL does not apply for this classification

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical  
*Question Skipped*

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical  
*Question Skipped*

## Explain

**Medical/Surgical:**

## Standard 9

NQTL Type: Service Limitations

Classification: Outpatient - Other

Category: Mental Health

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N

*No Documents Attached*

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Service type

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Not Applicable

## Standard 9

NQTL Type: Service Limitations  
Classification: Outpatient - Other  
Category: Substance Use Disorder

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N

*No Documents Attached*

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Not Applicable

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Not Applicable

## Standard 9

NQTL Type: Service Limitations

Classification: Outpatient - Other

Category: Medical/Surgical

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N

*No Documents Attached*

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Not Applicable

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Not Applicable

# Standard 9

NQTL Type: Service Limitations

Classification: Outpatient - Other

Factor: Service type

## Definitions

**Mental Health:** Services with various levels of intensity, including frequency and expected duration;

**Substance Use Disorder:**

**Medical/Surgical:**

## Sources

Mental Health

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

Substance Use Disorder

Medical/Surgical

## Evidentiary Standards

Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

Substance Use Disorder

Medical/Surgical

## Explain

**Medical/Surgical:**

# Standard 9

NQTL Type: Service Limitations

Classification: Outpatient - Other

Factor: Not Applicable

## Definitions

**Mental Health:** NQTL does not apply for this classification

**Substance Use Disorder:** NQTL does not apply for this classification

**Medical/Surgical:** NQTL does not apply for this classification

## Sources

Mental Health  
• Not Applicable

Substance Use Disorder  
• Not Applicable

Medical/Surgical  
*Question Skipped*

## Evidentiary Standards

Mental Health  
• Not Applicable

Substance Use Disorder  
• Not Applicable

Medical/Surgical  
*Question Skipped*

## Explain

**Substance Use Disorder:**  
**Medical/Surgical:**

## Standard 9

NQTL Type: Service Limitations

Classification: Inpatient

Category: Substance Use Disorder

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N

*No Documents Attached*

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Not Applicable

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Not Applicable

## Standard 9

NQTL Type: Service Limitations

Classification: Inpatient

Category: Medical/Surgical

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N

*No Documents Attached*

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Not Applicable

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Not Applicable

# Standard 9

NQTL Type: Service Limitations

Classification: Inpatient

Factor: Not Applicable

## Definitions

**Mental Health:**

**Substance Use Disorder:** NQTL does not apply for this classification

**Medical/Surgical:** NQTL does not apply for this classification

## Sources

Mental Health

Substance Use Disorder

- Not Applicable

Medical/Surgical

*Question Skipped*

## Evidentiary Standards

Mental Health

Substance Use Disorder

- Not Applicable

Medical/Surgical

*Question Skipped*

## Explain

**Substance Use Disorder:**

**Medical/Surgical:**

## Standard 9

NQTL Type: concurrent review  
Classification: Outpatient - Other  
Category: Mental Health

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N

*No Documents Attached*

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- High levels of variation in length of stay
- Variability in quality
- Severity or chronicity of an illness
- Service type
- Least restrictive appropriate level of care
- Clinical indications and/or evidence

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Not Applicable

## Standard 9

NQTL Type: concurrent review  
Classification: Outpatient - Other  
Category: Substance Use Disorder

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N

*No Documents Attached*

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- High levels of variation in length of stay
- Severity or chronicity of an illness
- Service type
- Least restrictive appropriate level of care
- Clinical indications and/or evidence

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Not Applicable

## Standard 9

NQTL Type: concurrent review  
Classification: Outpatient - Other  
Category: Medical/Surgical

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N

*No Documents Attached*

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Excessive utilization
- Health plan accreditation standards for quality assurance
- Medicare/Medicaid program participation eligibility
- Service type
- Separate payments for managing a patient's care outside of face-to-face contact (e.g., care management)
- Quality and performance measures (including customer feedback)
- Safety risks
- Appropriate care setting
- Clinical Guidelines MCG

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Not Applicable

# Standard 9

NQTL Type: concurrent review  
Classification: Outpatient - Other  
Factor: Excessive utilization

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** utilization of a service or services beyond that which is deemed medically necessary

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Internal claims analysis
- State and Federal requirements
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

## Explain

**Medical/Surgical:**

# Standard 9

NQTL Type: concurrent review

Classification: Outpatient - Other

Factor: Health plan accreditation standards for quality assurance

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** state and or federal standards that must be met by the health plan in order to obtain and maintain accreditation

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- State and Federal requirements
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits
- NCQA Health Plans Standards

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

*Question Skipped*

## Explain

**Medical/Surgical:**

# Standard 9

NQTL Type: concurrent review

Classification: Outpatient - Other

Factor: Medicare/Medicaid program participation eligibility

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** Verification of provider's participation in Maryland's new Electronic Provider Revalidation and Enrollment Portal (ePREP)

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- State and Federal requirements

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

*Question Skipped*

## Explain

**Medical/Surgical:**

# Standard 9

NQTL Type: concurrent review  
Classification: Outpatient - Other  
Factor: Service type

## Definitions

- Mental Health:** Services with various levels of intensity, including frequency and expected duration;
- Substance Use Disorder:** Services with various levels of intensity, including frequency and expected duration;
- Medical/Surgical:** Services with various levels of intensity, including frequency and expected duration;

## Sources

### Mental Health

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Substance Use Disorder

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Medical/Surgical

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

## Evidentiary Standards

### Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Substance Use Disorder

*Question Skipped*

### Medical/Surgical

*Question Skipped*

## Explain

- Substance Use Disorder:**
- Medical/Surgical:**

# Standard 9

NQTL Type: concurrent review

Classification: Outpatient - Other

Factor: Separate payments for managing a patient's care outside of face-to-face contact (e.g., care management)

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** reimbursement to providers to ensure case management activities are completed in accordance with state and federal requirements

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

*Question Skipped*

## Explain

**Medical/Surgical:**

# Standard 9

NQTL Type: concurrent review

Classification: Outpatient - Other

Factor: Quality and performance measures (including customer feedback)

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** Measures intended to evaluate and improve the quality of services, including, but not limited to: performance measures associated with waiver assurances, State regulations, national quality standards and pay for performance efforts.

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits
- NCQA Health Plans Standards

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

*Question Skipped*

## Explain

**Medical/Surgical:**

# Standard 9

NQTL Type: concurrent review  
Classification: Outpatient - Other  
Factor: Safety risks

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** risk of PA drug compared to other drugs considered

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

*Question Skipped*

## Explain

**Medical/Surgical:**

# Standard 9

NQTL Type: concurrent review  
Classification: Outpatient - Other  
Factor: Appropriate care setting

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** Consideration to most appropriate care setting for service to be provided (i.e. inpatient vs. outpatient, outpatient ambulatory vs outpatient hospital, outpatient ambulatory vs home/community setting)

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Medical expert reviews
- Clinical Guidelines MCG

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

*Question Skipped*

- Medical Economics analysis and utilization trends

## Explain

# Standard 9

NQTL Type: concurrent review  
Classification: Outpatient - Other  
Factor: Clinical Guidelines MCG

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** Compliance with professionally recognized evidence based clinical guidelines used to define clinically appropriate standards of care such as MCG.

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Clinical Guidelines MCG

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

## Explain

# Standard 9

NQTL Type: concurrent review

Classification: Outpatient - Other

Factor: High levels of variation in length of stay

## Definitions

**Mental Health:** Individuals with the same illness having different courses of improvement.

**Substance Use Disorder:** Individuals with the same illness having different courses of improvement.

**Medical/Surgical:**

## Sources

Mental Health

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

Substance Use Disorder

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

Medical/Surgical

## Evidentiary Standards

Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

Substance Use Disorder

*Question Skipped*

Medical/Surgical

## Explain

**Substance Use Disorder:**

# Standard 9

NQTL Type: concurrent review  
Classification: Outpatient - Other  
Factor: Variability in quality

## Definitions

**Mental Health:** is individual improving, or is there a need for a change in the treatment plan, monitoring appropriateness and efficacy of treatment.

**Substance Use Disorder:**

**Medical/Surgical:**

## Sources

Mental Health

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

Substance Use Disorder

Medical/Surgical

## Evidentiary Standards

Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

Substance Use Disorder

Medical/Surgical

## Explain

# Standard 9

NQTL Type: concurrent review  
Classification: Outpatient - Other  
Factor: Severity or chronicity of an illness

## Definitions

- Mental Health:** Level of current symptoms and presence of symptoms over time.  
**Substance Use Disorder:** Level of current symptoms and presence of symptoms over time.  
**Medical/Surgical:**

## Sources

- |   |  |                         |
|---|--|-------------------------|
| <p>Mental Health</p> <ul style="list-style-type: none"><li>Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits</li></ul> | <p>Substance Use Disorder</p> <ul style="list-style-type: none"><li>Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits</li></ul> | <p>Medical/Surgical</p> |
|---|--|-------------------------|

## Evidentiary Standards

- |  |  |                         |
|--|--|-------------------------|
| <p>Mental Health</p> <ul style="list-style-type: none"><li>Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.</li></ul> | <p>Substance Use Disorder</p> <p><i>Question Skipped</i></p> | <p>Medical/Surgical</p> |
|--|--|-------------------------|

## Explain

**Substance Use Disorder:**

# Standard 9

NQTL Type: concurrent review

Classification: Outpatient - Other

Factor: Least restrictive appropriate level of care

## Definitions

**Mental Health:** Lowest level of care the individual can be safely and effectively treated.

**Substance Use Disorder:**

**Medical/Surgical:**

## Sources

Mental Health

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

Substance Use Disorder

Medical/Surgical

## Evidentiary Standards

Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

Substance Use Disorder

Medical/Surgical

## Explain

**Substance Use Disorder:**

# Standard 9

NQTL Type: concurrent review  
Classification: Outpatient - Other  
Factor: Clinical indications and/or evidence

## Definitions

**Mental Health:** Professional standards and protocols - defined as comparative effectiveness studies and clinical trials

**Substance Use Disorder:** Professional standards and protocols - defined as comparative effectiveness studies and clinical trials

**Medical/Surgical:**

## Sources

### Mental Health

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Substance Use Disorder

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Medical/Surgical

## Evidentiary Standards

### Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Substance Use Disorder

*Question Skipped*

### Medical/Surgical

## Explain

**Substance Use Disorder:**

# Standard 9

NQTL Type: concurrent review

Classification: Outpatient - Other

Factor: Least restrictive appropriate level of care

## Definitions

**Mental Health:**

**Substance Use Disorder:** lowest level of care the individual can be safely and effectively treated.

**Medical/Surgical:**

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

*Question Skipped*

## Explain

**Substance Use Disorder:**

# Standard 9

NQTL Type: concurrent review  
Classification: Outpatient - Other  
Factor: Not Applicable

## Definitions

**Mental Health:** NQTL does not apply for this classification  
**Substance Use Disorder:** NQTL does not apply for this classification  
**Medical/Surgical:** NQTL does not apply for this classification

## Sources

Mental Health  
• Not Applicable

Substance Use Disorder  
• Not Applicable

Medical/Surgical  
• Not Applicable

## Evidentiary Standards

Mental Health  
• Not Applicable

Substance Use Disorder

Medical/Surgical  
*Question Skipped*

## Explain

**Substance Use Disorder:**  
**Medical/Surgical:**

## Standard 9

NQTL Type: concurrent review

Classification: Inpatient

Category: Mental Health

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N

*No Documents Attached*

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- High levels of variation in length of stay
- Variability in quality
- Severity or chronicity of an illness
- Service type
- Least restrictive appropriate level of care

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- High levels of variation in length of stay
- Variability in quality
- Severity or chronicity of an illness
- Service type
- Not Applicable

## Standard 9

NQTL Type: concurrent review

Classification: Inpatient

Category: Substance Use Disorder

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: Y

Please upload documentation demonstrating that the NQTL type is applied to 100% of benefits in this classification.

Supporting Documents

- Optum Benefits Mapping\_MDH Revisions\_7.17.20.xlsx

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

- High levels of variation in length of stay
- Variability in quality
- Severity or chronicity of an illness
- Service type
- Least restrictive appropriate level of care

Considered

# Standard 9

NQTL Type: concurrent review

Classification: Inpatient

Category: Medical/Surgical

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: Y

Please upload documentation demonstrating that the NQTL type is applied to 100% of benefits in this classification.

Supporting Documents

- 2020 UMMSHP Clinical Ops Program Description\_final.signed.pdf
- UMHP-2020-Provider-Manual-Final.pdf

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

- Excessive utilization
- Service type
- Clinical Guidelines MCG

Considered

# Standard 9

NQTL Type: concurrent review

Classification: Inpatient

Factor: High levels of variation in length of stay

## Definitions

**Mental Health:** Individuals with the same illness having different courses of improvement.

**Substance Use Disorder:** Individuals with the same illness having different courses of improvement.

**Medical/Surgical:**

## Sources

### Mental Health

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Substance Use Disorder

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Medical/Surgical

## Evidentiary Standards

### Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Substance Use Disorder

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Medical/Surgical

## Explain

**Mental Health:**

**Substance Use Disorder:**

# Standard 9

NQTL Type: concurrent review

Classification: Inpatient

Factor: Variability in quality

## Definitions

**Mental Health:** is individual improving, or is there a need for a change in the treatment plan, monitoring appropriateness and efficacy of treatment.

**Substance Use Disorder:** is individual improving, or is there a need for a change in the treatment plan, monitoring appropriateness and efficacy of treatment.

**Medical/Surgical:**

## Sources

### Mental Health

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Substance Use Disorder

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Medical/Surgical

## Evidentiary Standards

### Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Substance Use Disorder

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Medical/Surgical

## Explain

**Mental Health:**

**Substance Use Disorder:**

# Standard 9

NQTL Type: concurrent review

Classification: Inpatient

Factor: Severity or chronicity of an illness

## Definitions

**Mental Health:** Level of current symptoms and presence of symptoms over time.

**Substance Use Disorder:** Level of current symptoms and presence of symptoms over time.

**Medical/Surgical:**

## Sources

### Mental Health

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Substance Use Disorder

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Medical/Surgical

## Evidentiary Standards

### Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Substance Use Disorder

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Medical/Surgical

## Explain

**Mental Health:**

**Substance Use Disorder:**

# Standard 9

NQTL Type: concurrent review

Classification: Inpatient

Factor: Service type

## Definitions

**Mental Health:** Services with various levels of intensity, including frequency and expected duration;

**Substance Use Disorder:** Services with various levels of intensity, including frequency and expected duration;

**Medical/Surgical:** Services with various levels of intensity, including frequency and expected duration;

## Sources

### Mental Health

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Substance Use Disorder

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Medical/Surgical

- State and Federal requirements

## Evidentiary Standards

### Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Substance Use Disorder

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Medical/Surgical

- A certain number/type of recognized medical literature and professional standards (including comparative effectiveness studies and clinical trials), and published research studies.

## Explain

**Mental Health:**

**Substance Use Disorder:**

# Standard 9

NQTL Type: concurrent review

Classification: Inpatient

Factor: Least restrictive appropriate level of care

## Definitions

**Mental Health:** lowest level of care the individual can be safely and effectively treated.

**Substance Use Disorder:**

**Medical/Surgical:**

## Sources

Mental Health

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

Substance Use Disorder

Medical/Surgical

## Evidentiary Standards

Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

Substance Use Disorder

Medical/Surgical

## Explain

# Standard 9

NQTL Type: concurrent review

Classification: Inpatient

Factor: Excessive utilization

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** utilization of a service or services beyond that which is deemed medically necessary

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- State and Federal requirements

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

## Explain

# Standard 9

NQTL Type: concurrent review

Classification: Inpatient

Factor: Clinical Guidelines MCG

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** Compliance with professionally recognized evidence based clinical guidelines used to define clinically appropriate standards of care such as MCG.

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Medical expert reviews
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

- Compliance with industry recognized standards used to define Fraud, Waste, and Abuse Program Integrity

## Explain

# Standard 9

NQTL Type: concurrent review

Classification: Inpatient

Factor: Not Applicable

## Definitions

**Mental Health:** NQTL does not apply for this classification

**Substance Use Disorder:**

**Medical/Surgical:**

## Sources

Mental Health

- Not Applicable

Substance Use Disorder

Medical/Surgical

## Evidentiary Standards

Mental Health

- Not Applicable

Substance Use Disorder

Medical/Surgical

## Explain

# Standard 9

NQTL Type: concurrent review

Classification: Inpatient

Factor: Least restrictive appropriate level of care

## Definitions

**Mental Health:**

**Substance Use Disorder:** Lowest level of care the individual can be safely and effectively treated.

**Medical/Surgical:**

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

## Explain

**Mental Health:**

**Substance Use Disorder:**

# Standard 9

NQTL Type: concurrent review

Classification: Outpatient - Office Based

Category: Mental Health

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N

*No Documents Attached*

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- High levels of variation in length of stay
- Variability in quality
- Severity or chronicity of an illness
- Service type
- Least restrictive appropriate level of care
- Clinical indications and/or evidence

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- High levels of variation in length of stay
- Variability in quality
- Severity or chronicity of an illness
- Service type
- Least restrictive appropriate level of care
- Clinical indications and/or evidence

## Standard 9

NQTL Type: concurrent review

Classification: Outpatient - Office Based

Category: Substance Use Disorder

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N

*No Documents Attached*

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- High levels of variation in length of stay
- Severity or chronicity of an illness
- Service type
- Least restrictive appropriate level of care
- Clinical indications and/or evidence

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Not Applicable

# Standard 9

NQTL Type: concurrent review

Classification: Outpatient - Office Based

Category: Medical/Surgical

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N

*No Documents Attached*

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Excessive utilization
- Health plan accreditation standards for quality assurance
- Medicare/Medicaid program participation eligibility
- Service type
- Separate payments for managing a patient's care outside of face-to-face contact (e.g., care management)
- Quality and performance measures (including customer feedback)
- Safety risks
- Appropriate care setting
- Clinical Guidelines MCG

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Not Applicable

# Standard 9

NQTL Type: concurrent review

Classification: Outpatient - Office Based

Factor: High levels of variation in length of stay

## Definitions

**Mental Health:** Individuals with the same illness having different courses of improvement.

**Substance Use Disorder:** Individuals with the same illness having different courses of improvement.

**Medical/Surgical:**

## Sources

### Mental Health

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Substance Use Disorder

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Medical/Surgical

## Evidentiary Standards

### Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Substance Use Disorder

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Medical/Surgical

## Explain

**Mental Health:**

# Standard 9

NQTL Type: concurrent review  
Classification: Outpatient - Office Based  
Factor: Severity or chronicity of an illness

## Definitions

- Mental Health:** Level of current symptoms and presence of symptoms over time.  
**Substance Use Disorder:** Level of current symptoms and presence of symptoms over time.  
**Medical/Surgical:**

## Sources

- |  |   |                                |
|--|---|--------------------------------|
| <p><b>Mental Health</b></p> <ul style="list-style-type: none"><li>Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits</li></ul> | <p><b>Substance Use Disorder</b></p> <ul style="list-style-type: none"><li>Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits</li></ul> | <p><b>Medical/Surgical</b></p> |
|--|---|--------------------------------|

## Evidentiary Standards

- |   |  |                                |
|---|--|--------------------------------|
| <p><b>Mental Health</b></p> <ul style="list-style-type: none"><li>Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.</li></ul> | <p><b>Substance Use Disorder</b></p> <ul style="list-style-type: none"><li>Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.</li></ul> | <p><b>Medical/Surgical</b></p> |
|---|--|--------------------------------|

## Explain

**Mental Health:**

# Standard 9

NQTL Type: concurrent review  
Classification: Outpatient - Office Based  
Factor: Service type

## Definitions

- Mental Health:** Services with various levels of intensity, including frequency and expected duration;
- Substance Use Disorder:** Services with various levels of intensity, including frequency and expected duration;
- Medical/Surgical:** Services with various levels of intensity, including frequency and expected duration;

## Sources

### Mental Health

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Substance Use Disorder

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Medical/Surgical

- Medical expert reviews

## Evidentiary Standards

### Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Substance Use Disorder

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Medical/Surgical

*Question Skipped*

## Explain

- Mental Health:**
- Medical/Surgical:**

# Standard 9

NQTL Type: concurrent review

Classification: Outpatient - Office Based

Factor: Least restrictive appropriate level of care

## Definitions

**Mental Health:** lowest level of care the individual can be safely and effectively treated.

**Substance Use Disorder:** lowest level of care the individual can be safely and effectively treated.

**Medical/Surgical:**

## Sources

### Mental Health

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Substance Use Disorder

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Medical/Surgical

## Evidentiary Standards

### Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Substance Use Disorder

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Medical/Surgical

## Explain

**Mental Health:**

# Standard 9

NQTL Type: concurrent review

Classification: Outpatient - Office Based

Factor: Clinical indications and/or evidence

## Definitions

**Mental Health:** Professional standards and protocols - defined as comparative effectiveness studies and clinical trials

**Substance Use Disorder:** Professional standards and protocols - defined as comparative effectiveness studies and clinical trials

**Medical/Surgical:**

## Sources

### Mental Health

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Substance Use Disorder

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Medical/Surgical

## Evidentiary Standards

### Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Substance Use Disorder

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Medical/Surgical

## Explain

# Standard 9

NQTL Type: concurrent review  
Classification: Outpatient - Office Based  
Factor: Variability in quality

## Definitions

**Mental Health:** is individual improving, or is there a need for a change in the treatment plan, monitoring appropriateness and efficacy of treatment.

**Substance Use Disorder:**

**Medical/Surgical:**

## Sources

Mental Health

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

Substance Use Disorder

Medical/Surgical

## Evidentiary Standards

Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

Substance Use Disorder

Medical/Surgical

## Explain

**Mental Health:**

# Standard 9

NQTL Type: concurrent review

Classification: Outpatient - Office Based

Factor: Least restrictive appropriate level of care

## Definitions

**Mental Health:** Lowest level of care the individual can be safely and effectively treated.

**Substance Use Disorder:**

**Medical/Surgical:**

## Sources

Mental Health

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

Substance Use Disorder

Medical/Surgical

## Evidentiary Standards

Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

Substance Use Disorder

Medical/Surgical

## Explain

**Mental Health:**

**Substance Use Disorder:**

# Standard 9

NQTL Type: concurrent review  
Classification: Outpatient - Office Based  
Factor: Excessive utilization

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** utilization of a service or services beyond that which is deemed medically necessary

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

*Question Skipped*

## Explain

**Medical/Surgical:**

# Standard 9

NQTL Type: concurrent review

Classification: Outpatient - Office Based

Factor: Health plan accreditation standards for quality assurance

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** state and or federal standards that must be met by the health plan in order to obtain and maintain accreditation

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- National accreditation standards

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

*Question Skipped*

## Explain

**Medical/Surgical:**

# Standard 9

NQTL Type: concurrent review

Classification: Outpatient - Office Based

Factor: Medicare/Medicaid program participation eligibility

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** Verification of provider's participation in Maryland's new Electronic Provider Revalidation and Enrollment Portal (ePREP)

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- State and Federal requirements

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

*Question Skipped*

## Explain

**Medical/Surgical:**

# Standard 9

NQTL Type: concurrent review

Classification: Outpatient - Office Based

Factor: Separate payments for managing a patient's care outside of face-to-face contact (e.g., care management)

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** reimbursement to providers to ensure case management activities are completed in accordance with state and federal requirements

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

*Question Skipped*

## Explain

**Medical/Surgical:**

# Standard 9

NQTL Type: concurrent review

Classification: Outpatient - Office Based

Factor: Quality and performance measures (including customer feedback)

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** Measures intended to evaluate and improve the quality of services, including, but not limited to: performance measures associated with waiver assurances, State regulations, national quality standards and pay for performance efforts.

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Medical expert reviews
- National accreditation standards
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

*Question Skipped*

## Explain

**Medical/Surgical:**

# Standard 9

NQTL Type: concurrent review  
Classification: Outpatient - Office Based  
Factor: Safety risks

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** risk of PA drug compared to other drugs considered

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Medical expert reviews
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

*Question Skipped*

## Explain

**Medical/Surgical:**

# Standard 9

NQTL Type: concurrent review  
Classification: Outpatient - Office Based  
Factor: Appropriate care setting

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** Consideration to most appropriate care setting for service to be provided (i.e. inpatient vs. outpatient, outpatient ambulatory vs outpatient hospital, outpatient ambulatory vs home/community setting)

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Medical expert reviews
- State and Federal requirements
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

## Explain

**Medical/Surgical:**

# Standard 9

NQTL Type: concurrent review  
Classification: Outpatient - Office Based  
Factor: Clinical Guidelines MCG

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** Compliance with professionally recognized evidence based clinical guidelines used to define clinically appropriate standards of care such as MCG.

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Clinical Guidelines MCG

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

## Explain

**Medical/Surgical:**

# Standard 9

NQTL Type: concurrent review  
Classification: Outpatient - Office Based  
Factor: Not Applicable

## Definitions

**Mental Health:**

**Substance Use Disorder:** NQTL does not apply for this classification

**Medical/Surgical:** NQTL does not apply for this classification

## Sources

Mental Health

Substance Use Disorder

- Not Applicable

Medical/Surgical

- Not Applicable

## Evidentiary Standards

Mental Health

Substance Use Disorder

- Not Applicable

Medical/Surgical

*Question Skipped*

## Explain

**Medical/Surgical:**

## Standard 9

NQTL Type: data collection

Classification: Outpatient - Other

Category: Mental Health

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N

*No Documents Attached*

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Not Applicable

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Not Applicable

## Standard 9

NQTL Type: data collection

Classification: Outpatient - Other

Category: Substance Use Disorder

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N

*No Documents Attached*

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Not Applicable

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Not Applicable

# Standard 9

NQTL Type: data collection  
Classification: Outpatient - Other  
Factor: Not Applicable

## Definitions

**Mental Health:** NQTL does not apply for this classification  
**Substance Use Disorder:** NQTL does not apply for this classification  
**Medical/Surgical:** NQTL does not apply for this classification

## Sources

Mental Health <i>Question Skipped</i>	Substance Use Disorder <i>Question Skipped</i>	Medical/Surgical
--	---	------------------

## Evidentiary Standards

Mental Health <i>Question Skipped</i>	Substance Use Disorder <i>Question Skipped</i>	Medical/Surgical
--	---	------------------

## Explain

**Mental Health:**  
**Substance Use Disorder:**

## Standard 9

NQTL Type: data collection

Classification: Inpatient

Category: Mental Health

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N

*No Documents Attached*

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Not Applicable

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Not Applicable

## Standard 9

NQTL Type: data collection

Classification: Inpatient

Category: Substance Use Disorder

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N

*No Documents Attached*

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Not Applicable

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Not Applicable

# Standard 9

NQTL Type: data collection

Classification: Inpatient

Factor: Not Applicable

## Definitions

**Mental Health:** NQTL does not apply for this classification

**Substance Use Disorder:** NQTL does not apply for this classification

**Medical/Surgical:** NQTL does not apply for this classification

## Sources

Mental Health

*Question Skipped*

Substance Use Disorder

- Not Applicable

Medical/Surgical

## Evidentiary Standards

Mental Health

*Question Skipped*

Substance Use Disorder

- Not Applicable

Medical/Surgical

## Explain

**Mental Health:**

**Substance Use Disorder:**

## Standard 9

NQTL Type: data collection

Classification: Emergency Benefits

Category: Mental Health

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N

*No Documents Attached*

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Not Applicable

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Not Applicable

## Standard 9

NQTL Type: data collection

Classification: Emergency Benefits

Category: Substance Use Disorder

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N

*No Documents Attached*

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Not Applicable

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Not Applicable

# Standard 9

NQTL Type: data collection

Classification: Emergency Benefits

Factor: Not Applicable

## Definitions

**Mental Health:** NQTL does not apply for this classification

**Substance Use Disorder:** NQTL does not apply for this classification

**Medical/Surgical:** NQTL does not apply for this classification

## Sources

Mental Health

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

Substance Use Disorder

*Question Skipped*

Medical/Surgical

## Evidentiary Standards

Mental Health

*Question Skipped*

Substance Use Disorder

*Question Skipped*

Medical/Surgical

## Explain

**Mental Health:**

**Substance Use Disorder:**

## Standard 9

NQTL Type: data collection

Classification: Outpatient - Office Based

Category: Mental Health

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N

*No Documents Attached*

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Not Applicable

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Not Applicable

# Standard 9

NQTL Type: data collection

Classification: Outpatient - Office Based

Category: Substance Use Disorder

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N

*No Documents Attached*

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Evaluation of System Design

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Evaluation of System Design

# Standard 9

NQTL Type: data collection

Classification: Outpatient - Office Based

Factor: Not Applicable

## Definitions

**Mental Health:** NQTL does not apply for this classification

**Substance Use Disorder:**

**Medical/Surgical:**

## Sources

Mental Health

*Question Skipped*

Substance Use Disorder

Medical/Surgical

## Evidentiary Standards

Mental Health

*Question Skipped*

Substance Use Disorder

Medical/Surgical

## Explain

**Mental Health:**

# Standard 9

NQTL Type: data collection

Classification: Outpatient - Office Based

Factor: Evaluation of System Design

## Definitions

**Mental Health:**

**Substance Use Disorder:** Improvement over time individually and collectively

**Medical/Surgical:** Improvement over time individually and collectively

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Maryland BHA program requirements

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

- Behavioral Health Administration standards for assessing clinical outcomes

## Explain

**Mental Health:**

**Substance Use Disorder:**

## Standard 9

NQTL Type: fail first requirements/step therapy

Classification: Outpatient - Other

Category: Mental Health

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N

*No Documents Attached*

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Not Applicable

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Not Applicable

## Standard 9

NQTL Type: fail first requirements/step therapy

Classification: Outpatient - Other

Category: Substance Use Disorder

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N

*No Documents Attached*

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Not Applicable

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Not Applicable

## Standard 9

NQTL Type: fail first requirements/step therapy

Classification: Outpatient - Other

Category: Medical/Surgical

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N

*No Documents Attached*

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Not Applicable

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Not Applicable

# Standard 9

NQTL Type: fail first requirements/step therapy

Classification: Outpatient - Other

Factor: Not Applicable

## Definitions

**Mental Health:** NQTL does not apply for this classification

**Substance Use Disorder:** NQTL does not apply for this classification

**Medical/Surgical:** NQTL does not apply for this classification

## Sources

Mental Health

*Question Skipped*

Substance Use Disorder

- Not Applicable

Medical/Surgical

*Question Skipped*

## Evidentiary Standards

Mental Health

*Question Skipped*

Substance Use Disorder

*Question Skipped*

Medical/Surgical

*Question Skipped*

## Explain

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:**

## Standard 9

NQTL Type: fail first requirements/step therapy

Classification: Inpatient

Category: Mental Health

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N

*No Documents Attached*

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Not Applicable

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Not Applicable

## Standard 9

NQTL Type: fail first requirements/step therapy

Classification: Inpatient

Category: Substance Use Disorder

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N

*No Documents Attached*

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Not Applicable

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Not Applicable

## Standard 9

NQTL Type: fail first requirements/step therapy

Classification: Inpatient

Category: Medical/Surgical

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N

*No Documents Attached*

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Not Applicable

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Not Applicable

# Standard 9

NQTL Type: fail first requirements/step therapy

Classification: Inpatient

Factor: Not Applicable

## Definitions

**Mental Health:** NQTL does not apply for this classification

**Substance Use Disorder:** NQTL does not apply for this classification

**Medical/Surgical:** NQTL does not apply for this classification

## Sources

Mental Health

*Question Skipped*

Substance Use Disorder

*Question Skipped*

Medical/Surgical

*Question Skipped*

## Evidentiary Standards

Mental Health

*Question Skipped*

Substance Use Disorder

*Question Skipped*

Medical/Surgical

*Question Skipped*

## Explain

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:**

## Standard 9

NQTL Type: fail first requirements/step therapy

Classification: Outpatient - Office Based

Category: Mental Health

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N

*No Documents Attached*

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Not Applicable

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Not Applicable

## Standard 9

NQTL Type: fail first requirements/step therapy

Classification: Outpatient - Office Based

Category: Substance Use Disorder

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N

*No Documents Attached*

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Not Applicable

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Not Applicable

## Standard 9

NQTL Type: fail first requirements/step therapy

Classification: Outpatient - Office Based

Category: Medical/Surgical

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N

*No Documents Attached*

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Not Applicable

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Not Applicable

# Standard 9

NQTL Type: fail first requirements/step therapy

Classification: Outpatient - Office Based

Factor: Not Applicable

## Definitions

**Mental Health:** NQTL does not apply for this classification

**Substance Use Disorder:** NQTL does not apply for this classification

**Medical/Surgical:** NQTL does not apply for this classification

## Sources

Mental Health

*Question Skipped*

Substance Use Disorder

*Question Skipped*

Medical/Surgical

*Question Skipped*

## Evidentiary Standards

Mental Health

*Question Skipped*

Substance Use Disorder

*Question Skipped*

Medical/Surgical

*Question Skipped*

## Explain

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:**

## Standard 9

NQTL Type: fail first requirements/step therapy

Classification: Prescription Drugs

Category: Mental Health

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N

*No Documents Attached*

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

- fail first protocol

Considered

- Lack of clinical efficiency of treatment or service
- Severity or chronicity of an illness
- Site visit requirements
- Service type
- Safety risks

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

- fail first protocol

Considered

- Lack of clinical efficiency of treatment or service
- Severity or chronicity of an illness
- Site visit requirements
- Service type
- Safety risks

## Standard 9

NQTL Type: fail first requirements/step therapy

Classification: Prescription Drugs

Category: Substance Use Disorder

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N

*No Documents Attached*

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Fail-first protocol or requirement to try a generic, less expensive, or lower efficacy drug for a certain trial period before receiving approval for a new drug

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Fail-first protocol or requirement to try a generic, less expensive, or lower efficacy drug for a certain trial period before receiving approval for a new drug

## Standard 9

NQTL Type: fail first requirements/step therapy

Classification: Prescription Drugs

Category: Medical/Surgical

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N

*No Documents Attached*

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

- Lower Cost Generic

Considered

- Medical Necessity

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

- Lower Cost Generic

Considered

- Medical Necessity

# Standard 9

NQTL Type: fail first requirements/step therapy

Classification: Prescription Drugs

Factor: Lack of clinical efficiency of treatment or service

## Definitions

**Mental Health:** PAs used to approve drugs for participants after failing trials of other drugs or services

**Substance Use Disorder:**

**Medical/Surgical:**

## Sources

Mental Health

- Internal claims analysis
- State and Federal requirements
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

Substance Use Disorder

Medical/Surgical

## Evidentiary Standards

Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.
- A certain number/type of recognized medical literature and professional standards (including comparative effectiveness studies and clinical trials), and published research studies.

Substance Use Disorder

Medical/Surgical

## Explain

**Mental Health:**

# Standard 9

NQTL Type: fail first requirements/step therapy

Classification: Prescription Drugs

Factor: Severity or chronicity of an illness

## Definitions

**Mental Health:** Level of current symptoms and presence of symptoms over time.

**Substance Use Disorder:**

**Medical/Surgical:**

## Sources

Mental Health

- Internal claims analysis
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

Substance Use Disorder

Medical/Surgical

## Evidentiary Standards

Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.
- A certain number/type of recognized medical literature and professional standards (including comparative effectiveness studies and clinical trials), and published research studies.

Substance Use Disorder

Medical/Surgical

## Explain

**Mental Health:**

# Standard 9

NQTL Type: fail first requirements/step therapy

Classification: Prescription Drugs

Factor: Site visit requirements

## Definitions

**Mental Health:** PAs used to determine compliance with site visit requirements associated with the clinical criteria of certain drugs

**Substance Use Disorder:**

**Medical/Surgical:**

## Sources

Mental Health

- State and Federal requirements
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

Substance Use Disorder

Medical/Surgical

## Evidentiary Standards

Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.
- A certain number/type of recognized medical literature and professional standards (including comparative effectiveness studies and clinical trials), and published research studies.

Substance Use Disorder

Medical/Surgical

## Explain

**Mental Health:**

# Standard 9

NQTL Type: fail first requirements/step therapy

Classification: Prescription Drugs

Factor: Service type

## Definitions

**Mental Health:** Services with various levels of intensity, including frequency and expected duration;

**Substance Use Disorder:**

**Medical/Surgical:**

## Sources

Mental Health

- State and Federal requirements
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

Substance Use Disorder

Medical/Surgical

## Evidentiary Standards

Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.
- A certain number/type of recognized medical literature and professional standards (including comparative effectiveness studies and clinical trials), and published research studies.

Substance Use Disorder

Medical/Surgical

## Explain

**Mental Health:**

# Standard 9

NQTL Type: fail first requirements/step therapy

Classification: Prescription Drugs

Factor: Safety risks

## Definitions

**Mental Health:** risk of PA drug compared to other drugs considered

**Substance Use Disorder:**

**Medical/Surgical:**

## Sources

Mental Health

- State and Federal requirements
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

Substance Use Disorder

Medical/Surgical

## Evidentiary Standards

Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.
- A certain number/type of recognized medical literature and professional standards (including comparative effectiveness studies and clinical trials), and published research studies.

Substance Use Disorder

Medical/Surgical

## Explain

**Mental Health:**

# Standard 9

NQTL Type: fail first requirements/step therapy

Classification: Prescription Drugs

Factor: fail first protocol

## Definitions

**Mental Health:** fail first protocol

**Substance Use Disorder:**

**Medical/Surgical:**

## Sources

Mental Health

- Internal claims analysis
- State and Federal requirements
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

Substance Use Disorder

Medical/Surgical

## Evidentiary Standards

Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.
- A certain number/type of recognized medical literature and professional standards (including comparative effectiveness studies and clinical trials), and published research studies.

Substance Use Disorder

Medical/Surgical

## Explain

**Mental Health:**

# Standard 9

NQTL Type: fail first requirements/step therapy

Classification: Prescription Drugs

Factor: Fail-first protocol or requirement to try a generic, less expensive, or lower efficacy drug for a certain trial period before receiving approval for a new drug

## Definitions

**Mental Health:**

**Substance Use Disorder:** Fail-first protocol or requirement to try a generic, less expensive, or lower efficacy drug for a certain trial period before receiving approval for a new drug

**Medical/Surgical:**

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Internal claims analysis
- State and Federal requirements
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.
- A certain number/type of recognized medical literature and professional standards (including comparative effectiveness studies and clinical trials), and published research studies.
- Process review(s) for auditing claims data to ensure compliance of participant's treatment and service plan in conjunction with their prior authorization
- FDA Prescribing Information & Official Compendium

## Explain

# Standard 9

NQTL Type: fail first requirements/step therapy

Classification: Prescription Drugs

Factor: Medical Necessity

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** clinical criteria developed by the PBM's P&T of physicians and pharmacist experts and adopted by the UMHP P&T network physicians and pharmacists

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Medical expert reviews

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

- Drug Utilization (generics and brands)
- Medispan Drug Pricing

## Explain

# Standard 9

NQTL Type: fail first requirements/step therapy

Classification: Prescription Drugs

Factor: Lower Cost Generic

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** lower cost generic availability would be preferred before an equivalent brand-name drug.

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Medispan Drug Pricing

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

- Drug Utilization (generics and brands)
- Medispan Drug Pricing

## Explain

## Standard 9

NQTL Type: medical necessity

Classification: Emergency Benefits

Category: Mental Health

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N

*No Documents Attached*

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Not Applicable

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Not Applicable

## Standard 9

NQTL Type: medical necessity  
Classification: Emergency Benefits  
Category: Substance Use Disorder

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N

*No Documents Attached*

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Not Applicable

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Not Applicable

## Standard 9

NQTL Type: medical necessity

Classification: Emergency Benefits

Category: Medical/Surgical

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: Y

Please upload documentation demonstrating that the NQTL type is applied to 100% of benefits in this classification.

Supporting Documents

- Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Appropriate care setting

# Standard 9

NQTL Type: medical necessity  
Classification: Emergency Benefits  
Factor: Not Applicable

## Definitions

**Mental Health:** NQTL does not apply for this classification  
**Substance Use Disorder:** NQTL does not apply for this classification  
**Medical/Surgical:**

## Sources

Mental Health <i>Question Skipped</i>	Substance Use Disorder <i>Question Skipped</i>	Medical/Surgical
--	---	------------------

## Evidentiary Standards

Mental Health <i>Question Skipped</i>	Substance Use Disorder <i>Question Skipped</i>	Medical/Surgical
--	---	------------------

## Explain

**Mental Health:**  
**Substance Use Disorder:**  
**Medical/Surgical:**

# Standard 9

NQTL Type: medical necessity  
Classification: Emergency Benefits  
Factor: Appropriate care setting

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** Consideration to most appropriate care setting for service to be provided (i.e. inpatient vs. outpatient, outpatient ambulatory vs outpatient hospital, outpatient ambulatory vs home/community setting)

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical  
*Question Skipped*

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical  
*Question Skipped*

## Explain

**Medical/Surgical:**

## Standard 9

NQTL Type: medical necessity  
Classification: Outpatient - Other  
Category: Mental Health

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: Y

Please upload documentation demonstrating that the NQTL type is applied to 100% of benefits in this classification.

Supporting Documents

- [Optum Benefits Mapping\\_MDH Revisions\\_7.17.20.xlsx](#)

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

- High levels of variation in length of stay
- Variability in quality
- Severity or chronicity of an illness
- Service type
- Least restrictive appropriate level of care

Considered

## Standard 9

NQTL Type: medical necessity  
Classification: Outpatient - Other  
Category: Substance Use Disorder

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: Y

Please upload documentation demonstrating that the NQTL type is applied to 100% of benefits in this classification.

Supporting Documents

- Optum Benefits Mapping\_MDH Revisions\_7.17.20.xlsx

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

- High levels of variation in length of stay
- Variability in quality
- Severity or chronicity of an illness
- Service type
- Not Applicable
- Least restrictive appropriate level of care

Considered

## Standard 9

NQTL Type: medical necessity  
Classification: Outpatient - Other  
Category: Medical/Surgical

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: Y

Please upload documentation demonstrating that the NQTL type is applied to 100% of benefits in this classification.

Supporting Documents

- 1.UMHP-2020-Provider-Manual-Final.pdf

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

- Excessive utilization
- Lack of clinical efficiency of treatment or service
- High variability in cost per episode of care
- Lack of adherence to quality standards
- Current and projected demand for services
- Service type
- Relative reimbursement rates
- Clinical Guidelines MCG

Considered

# Standard 9

NQTL Type: medical necessity

Classification: Outpatient - Other

Factor: High levels of variation in length of stay

## Definitions

**Mental Health:** Individuals with the same illness having different courses of improvement.

**Substance Use Disorder:** Individuals with the same illness having different courses of improvement.

**Medical/Surgical:**

## Sources

### Mental Health

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Substance Use Disorder

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Medical/Surgical

## Evidentiary Standards

### Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Substance Use Disorder

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Medical/Surgical

## Explain

**Mental Health:**

# Standard 9

NQTL Type: medical necessity  
Classification: Outpatient - Other  
Factor: Variability in quality

## Definitions

**Mental Health:** is individual improving, or is there a need for a change in the treatment plan, monitoring appropriateness and efficacy of treatment.

**Substance Use Disorder:** is individual improving, or is there a need for a change in the treatment plan, monitoring appropriateness and efficacy of treatment.

**Medical/Surgical:**

## Sources

### Mental Health

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Substance Use Disorder

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Medical/Surgical

## Evidentiary Standards

### Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Substance Use Disorder

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Medical/Surgical

## Explain

**Mental Health:**

# Standard 9

NQTL Type: medical necessity

Classification: Outpatient - Other

Factor: Severity or chronicity of an illness

## Definitions

**Mental Health:** Level of current symptoms and presence of symptoms over time.

**Substance Use Disorder:** Level of current symptoms and presence of symptoms over time.

**Medical/Surgical:**

## Sources

### Mental Health

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Substance Use Disorder

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Medical/Surgical

## Evidentiary Standards

### Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Substance Use Disorder

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Medical/Surgical

## Explain

**Mental Health:**

# Standard 9

NQTL Type: medical necessity  
Classification: Outpatient - Other  
Factor: Service type

## Definitions

- Mental Health:** Services with various levels of intensity, including frequency and expected duration;
- Substance Use Disorder:** Services with various levels of intensity, including frequency and expected duration;
- Medical/Surgical:** Services with various levels of intensity, including frequency and expected duration;

## Sources

### Mental Health

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Substance Use Disorder

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Medical/Surgical

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

## Evidentiary Standards

### Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Substance Use Disorder

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Medical/Surgical

*Question Skipped*

## Explain

- Mental Health:**
- Medical/Surgical:**

# Standard 9

NQTL Type: medical necessity

Classification: Outpatient - Other

Factor: Least restrictive appropriate level of care

## Definitions

**Mental Health:** lowest level of care the individual can be safely and effectively treated.

**Substance Use Disorder:** lowest level of care the individual can be safely and effectively treated.

**Medical/Surgical:**

## Sources

### Mental Health

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Substance Use Disorder

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Medical/Surgical

## Evidentiary Standards

### Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Substance Use Disorder

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Medical/Surgical

## Explain

**Mental Health:**

# Standard 9

NQTL Type: medical necessity  
Classification: Outpatient - Other  
Factor: Excessive utilization

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** utilization of a service or services beyond that which is deemed medically necessary

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- State and Federal requirements
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

*Question Skipped*

## Explain

**Medical/Surgical:**

# Standard 9

NQTL Type: medical necessity

Classification: Outpatient - Other

Factor: Lack of clinical efficiency of treatment or service

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** PAs used to approve drugs for participants after failing trials of other drugs or services

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Medical expert reviews
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

*Question Skipped*

## Explain

**Medical/Surgical:**

# Standard 9

NQTL Type: medical necessity

Classification: Outpatient - Other

Factor: High variability in cost per episode of care

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** Not Applicable. Did not select in Q P1Q2 and Q3.

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Internal claims analysis
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

*Question Skipped*

## Explain

**Medical/Surgical:**

# Standard 9

NQTL Type: medical necessity

Classification: Outpatient - Other

Factor: Lack of adherence to quality standards

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** lack of adherence to nationally recognized quality standards like health plan accreditation

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Medical expert reviews
- State and Federal requirements
- National accreditation standards
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

*Question Skipped*

## Explain

**Medical/Surgical:**

# Standard 9

NQTL Type: medical necessity

Classification: Outpatient - Other

Factor: Current and projected demand for services

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** Current and projected demand for services

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Internal claims analysis
- Internal market and competitive analysis
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

*Question Skipped*

## Explain

**Medical/Surgical:**

# Standard 9

NQTL Type: medical necessity

Classification: Outpatient - Other

Factor: Relative reimbursement rates

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** reimbursement rates that vary based on parameters such as place of service

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- State and Federal requirements
- Internal market and competitive analysis
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

*Question Skipped*

## Explain

**Medical/Surgical:**

# Standard 9

NQTL Type: medical necessity  
Classification: Outpatient - Other  
Factor: Clinical Guidelines MCG

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** Compliance with professionally recognized evidence based clinical guidelines used to define clinically appropriate standards of care such as MCG.

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Clinical Guidelines MCG

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

*Question Skipped*

- Compliance with professionally recognized evidence based clinical guidelines used to define clinically appropriate standards of care such as MCG clinical criteria.

## Explain

# Standard 9

NQTL Type: medical necessity  
Classification: Outpatient - Other  
Factor: Not Applicable

## Definitions

**Mental Health:**

**Substance Use Disorder:** NQTL does not apply for this classification

**Medical/Surgical:**

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Not Applicable

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

- Not Applicable

## Explain

## Standard 9

NQTL Type: medical necessity

Classification: Inpatient

Category: Mental Health

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: Y

Please upload documentation demonstrating that the NQTL type is applied to 100% of benefits in this classification.

Supporting Documents

- Optum Benefits Mapping\_MDH Revisions\_7.17.20.xlsx

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

- High levels of variation in length of stay
- Variability in quality
- Severity or chronicity of an illness
- Service type
- Least restrictive appropriate level of care

Considered

## Standard 9

NQTL Type: medical necessity

Classification: Inpatient

Category: Substance Use Disorder

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: Y

Please upload documentation demonstrating that the NQTL type is applied to 100% of benefits in this classification.

Supporting Documents

- Optum Benefits Mapping\_MDH Revisions\_7.17.20.xlsx

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

- High levels of variation in length of stay
- Variability in quality
- Severity or chronicity of an illness
- Service type
- Least restrictive appropriate level of care

Considered

## Standard 9

NQTL Type: medical necessity

Classification: Inpatient

Category: Medical/Surgical

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: Y

Please upload documentation demonstrating that the NQTL type is applied to 100% of benefits in this classification.

Supporting Documents

- 2020 UMMSHP Clinical Ops Program Description\_final.signed.pdf
- UMHP-2020-Provider-Manual-Final.pdf

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

- Excessive utilization
- Service type
- Clinical Guidelines MCG

Considered

# Standard 9

NQTL Type: medical necessity

Classification: Inpatient

Factor: High levels of variation in length of stay

## Definitions

**Mental Health:** Individuals with the same illness having different courses of improvement.

**Substance Use Disorder:** Individuals with the same illness having different courses of improvement.

**Medical/Surgical:**

## Sources

### Mental Health

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Substance Use Disorder

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Medical/Surgical

## Evidentiary Standards

### Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Substance Use Disorder

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Medical/Surgical

## Explain

**Mental Health:**

**Substance Use Disorder:**

# Standard 9

NQTL Type: medical necessity

Classification: Inpatient

Factor: Variability in quality

## Definitions

**Mental Health:** is individual improving, or is there a need for a change in the treatment plan, monitoring appropriateness and efficacy of treatment.

**Substance Use Disorder:** is individual improving, or is there a need for a change in the treatment plan, monitoring appropriateness and efficacy of treatment.

**Medical/Surgical:**

## Sources

### Mental Health

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Substance Use Disorder

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Medical/Surgical

## Evidentiary Standards

### Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Substance Use Disorder

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Medical/Surgical

## Explain

**Mental Health:**

**Substance Use Disorder:**

# Standard 9

NQTL Type: medical necessity

Classification: Inpatient

Factor: Severity or chronicity of an illness

## Definitions

**Mental Health:** Level of current symptoms and presence of symptoms over time.

**Substance Use Disorder:** Level of current symptoms and presence of symptoms over time.

**Medical/Surgical:**

## Sources

### Mental Health

- Medical expert reviews
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Substance Use Disorder

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Medical/Surgical

## Evidentiary Standards

### Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Substance Use Disorder

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Medical/Surgical

## Explain

**Mental Health:**

**Substance Use Disorder:**

# Standard 9

NQTL Type: medical necessity

Classification: Inpatient

Factor: Service type

## Definitions

**Mental Health:** Services with various levels of intensity, including frequency and expected duration;

**Substance Use Disorder:** Services with various levels of intensity, including frequency and expected duration;

**Medical/Surgical:** Services with various levels of intensity, including frequency and expected duration;

## Sources

### Mental Health

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Substance Use Disorder

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Medical/Surgical

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

## Evidentiary Standards

### Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Substance Use Disorder

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Medical/Surgical

- A certain number/type of recognized medical literature and professional standards (including comparative effectiveness studies and clinical trials), and published research studies.

## Explain

**Mental Health:**

**Substance Use Disorder:**

# Standard 9

NQTL Type: medical necessity

Classification: Inpatient

Factor: Least restrictive appropriate level of care

## Definitions

**Mental Health:** Lowest level of care the individual can be safely and effectively treated.

**Substance Use Disorder:** Lowest level of care the individual can be safely and effectively treated.

**Medical/Surgical:**

## Sources

### Mental Health

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Substance Use Disorder

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Medical/Surgical

## Evidentiary Standards

### Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Substance Use Disorder

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Medical/Surgical

## Explain

**Mental Health:**

**Substance Use Disorder:**

# Standard 9

NQTL Type: medical necessity

Classification: Inpatient

Factor: Excessive utilization

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** utilization of a service or services beyond that which is deemed medically necessary

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- State and Federal requirements

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

## Explain

# Standard 9

NQTL Type: medical necessity

Classification: Inpatient

Factor: Clinical Guidelines MCG

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** Compliance with professionally recognized evidence based clinical guidelines used to define clinically appropriate standards of care such as MCG.

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Clinical Guidelines MCG

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

- Compliance with industry recognized standards used to define Fraud, Waste, and Abuse Program Integrity

## Explain

## Standard 9

NQTL Type: medical necessity  
Classification: Outpatient - Office Based  
Category: Mental Health

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: Y

Please upload documentation demonstrating that the NQTL type is applied to 100% of benefits in this classification.

Supporting Documents

- Optum Benefits Mapping\_MDH Revisions\_7.17.20.xlsx

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

- High levels of variation in length of stay
- Variability in quality
- Severity or chronicity of an illness
- Service type
- Least restrictive appropriate level of care

Considered

## Standard 9

NQTL Type: medical necessity  
Classification: Outpatient - Office Based  
Category: Substance Use Disorder

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: Y

Please upload documentation demonstrating that the NQTL type is applied to 100% of benefits in this classification.

Supporting Documents

- Optum Benefits Mapping\_MDH Revisions\_7.17.20.xlsx

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

- High levels of variation in length of stay
- Variability in quality
- Severity or chronicity of an illness
- Service type
- Least restrictive appropriate level of care

Considered

## Standard 9

NQTL Type: medical necessity  
Classification: Outpatient - Office Based  
Category: Medical/Surgical

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N

*No Documents Attached*

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Excessive utilization
- Lack of clinical efficiency of treatment or service
- High variability in cost per episode of care
- Lack of adherence to quality standards
- Current and projected demand for services
- Service type
- Relative reimbursement rates
- Clinical Guidelines MCG

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Not Applicable

# Standard 9

NQTL Type: medical necessity  
Classification: Outpatient - Office Based  
Factor: Excessive utilization

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** utilization of a service or services beyond that which is deemed medically necessary

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Internal claims analysis
- State and Federal requirements

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

*Question Skipped*

- Medical Economics analysis and utilization trends

## Explain

# Standard 9

NQTL Type: medical necessity

Classification: Outpatient - Office Based

Factor: Lack of clinical efficiency of treatment or service

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** PAs used to approve drugs for participants after failing trials of other drugs or services

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Medical expert reviews

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

*Question Skipped*

## Explain

**Medical/Surgical:**

# Standard 9

NQTL Type: medical necessity

Classification: Outpatient - Office Based

Factor: High variability in cost per episode of care

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** Not Applicable. Did not select in Q P1Q2 and Q3.

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Internal claims analysis
- Medical expert reviews

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

*Question Skipped*

## Explain

**Medical/Surgical:**

# Standard 9

NQTL Type: medical necessity

Classification: Outpatient - Office Based

Factor: Lack of adherence to quality standards

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** lack of adherence to nationally recognized quality standards like health plan accreditation

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Medical expert reviews
- National accreditation standards

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

*Question Skipped*

## Explain

**Medical/Surgical:**

# Standard 9

NQTL Type: medical necessity

Classification: Outpatient - Office Based

Factor: Current and projected demand for services

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** Current and projected demand for services

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Internal claims analysis
- Medical expert reviews
- Internal market and competitive analysis

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

*Question Skipped*

## Explain

**Medical/Surgical:**

# Standard 9

NQTL Type: medical necessity  
Classification: Outpatient - Office Based  
Factor: Service type

## Definitions

- Mental Health:** Services with various levels of intensity, including frequency and expected duration;
- Substance Use Disorder:** Services with various levels of intensity, including frequency and expected duration;
- Medical/Surgical:** Services with various levels of intensity, including frequency and expected duration;

## Sources

### Mental Health

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Substance Use Disorder

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Medical/Surgical

- Medical expert reviews
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

## Evidentiary Standards

### Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Substance Use Disorder

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Medical/Surgical

*Question Skipped*

## Explain

- Mental Health:**
- Substance Use Disorder:**
- Medical/Surgical:**

# Standard 9

NQTL Type: medical necessity  
Classification: Outpatient - Office Based  
Factor: Relative reimbursement rates

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** reimbursement rates that vary based on parameters such as place of service

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- State and Federal requirements

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

*Question Skipped*

## Explain

**Medical/Surgical:**

# Standard 9

NQTL Type: medical necessity  
Classification: Outpatient - Office Based  
Factor: Clinical Guidelines MCG

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** Compliance with professionally recognized evidence based clinical guidelines used to define clinically appropriate standards of care such as MCG.

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Clinical Guidelines MCG

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

## Explain

# Standard 9

NQTL Type: medical necessity

Classification: Outpatient - Office Based

Factor: High levels of variation in length of stay

## Definitions

**Mental Health:** Individuals with the same illness having different courses of improvement.

**Substance Use Disorder:** Individuals with the same illness having different courses of improvement.

**Medical/Surgical:**

## Sources

### Mental Health

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Substance Use Disorder

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Medical/Surgical

## Evidentiary Standards

### Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Substance Use Disorder

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Medical/Surgical

## Explain

**Mental Health:**

**Substance Use Disorder:**

# Standard 9

NQTL Type: medical necessity  
Classification: Outpatient - Office Based  
Factor: Variability in quality

## Definitions

**Mental Health:** is individual improving, or is there a need for a change in the treatment plan, monitoring appropriateness and efficacy of treatment.

**Substance Use Disorder:** is individual improving, or is there a need for a change in the treatment plan, monitoring appropriateness and efficacy of treatment.

**Medical/Surgical:**

## Sources

### Mental Health

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Substance Use Disorder

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Medical/Surgical

## Evidentiary Standards

### Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Substance Use Disorder

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Medical/Surgical

## Explain

**Mental Health:**

**Substance Use Disorder:**

# Standard 9

NQTL Type: medical necessity  
Classification: Outpatient - Office Based  
Factor: Severity or chronicity of an illness

## Definitions

- Mental Health:** Level of current symptoms and presence of symptoms over time.  
**Substance Use Disorder:** Level of current symptoms and presence of symptoms over time.  
**Medical/Surgical:**

## Sources

- |  |   |                                |
|--|---|--------------------------------|
| <p><b>Mental Health</b></p> <ul style="list-style-type: none"><li>Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits</li></ul> | <p><b>Substance Use Disorder</b></p> <ul style="list-style-type: none"><li>Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits</li></ul> | <p><b>Medical/Surgical</b></p> |
|--|---|--------------------------------|

## Evidentiary Standards

- |   |  |                                |
|---|--|--------------------------------|
| <p><b>Mental Health</b></p> <ul style="list-style-type: none"><li>Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.</li></ul> | <p><b>Substance Use Disorder</b></p> <ul style="list-style-type: none"><li>Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.</li></ul> | <p><b>Medical/Surgical</b></p> |
|---|--|--------------------------------|

## Explain

- Mental Health:**  
**Substance Use Disorder:**

# Standard 9

NQTL Type: medical necessity

Classification: Outpatient - Office Based

Factor: Least restrictive appropriate level of care

## Definitions

**Mental Health:** Lowest level of care the individual can be safely and effectively treated.

**Substance Use Disorder:** Lowest level of care the individual can be safely and effectively treated.

**Medical/Surgical:**

## Sources

### Mental Health

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Substance Use Disorder

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Medical/Surgical

## Evidentiary Standards

### Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Substance Use Disorder

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Medical/Surgical

## Explain

**Mental Health:**

**Substance Use Disorder:**

# Standard 9

NQTL Type: medical necessity  
Classification: Outpatient - Office Based  
Factor: Not Applicable

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** NQTL does not apply for this classification

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Not Applicable

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

*Question Skipped*

## Explain

**Medical/Surgical:**

## Standard 9

NQTL Type: medical necessity

Classification: Prescription Drugs

Category: Mental Health

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: Y

Please upload documentation demonstrating that the NQTL type is applied to 100% of benefits in this classification.

Supporting Documents

- Maryland\_PDL\_7.1.20.pdf

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Medication status on Preferred Drug List (PDL) as determined by the Preferred Drug Program via recommendations by the Pharmacy & Therapeutics (P&T) Committee
- clinical appropriateness/medical necessity
- fiscal responsibility/cost effectiveness

## Standard 9

NQTL Type: medical necessity  
Classification: Prescription Drugs  
Category: Substance Use Disorder

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: Y

Please upload documentation demonstrating that the NQTL type is applied to 100% of benefits in this classification.

Supporting Documents

- Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Lack of clinical efficiency of treatment or service
- clinical appropriateness/medical necessity
- fiscal responsibility/cost effectiveness
- medication status on preferred drug list (PDL) as determined by the Preferred Drug Program via recommendations by the P&T Committee

## Standard 9

NQTL Type: medical necessity

Classification: Prescription Drugs

Category: Medical/Surgical

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: Y

Please upload documentation demonstrating that the NQTL type is applied to 100% of benefits in this classification.

Supporting Documents

- Maryland\_PDL\_7.1.20.pdf

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Excessive utilization
- Lack of clinical efficiency of treatment or service
- Current and projected demand for services
- Clinical Literature
- National Practice Guidelines
- FDA drug information
- clinical appropriateness/medical necessity
- fiscal responsibility/cost effectiveness
- medication status on preferred drug list (PDL) as determined by the Preferred Drug Program via recommendations by the P&T Committee

# Standard 9

NQTL Type: medical necessity

Classification: Prescription Drugs

Factor: Medication status on Preferred Drug List (PDL) as determined by the Preferred Drug Program via recommendations by the Pharmacy & Therapeutics (P&T) Committee

## Definitions

**Mental Health:** A drug's classification within the Preferred Drug List (PDL), which is a list of the Department's Preferred and Non-Preferred drugs developed by the Department's Preferred Drug Program via recommendations made by the Pharmacy & Therapeutics (P&T) Committee

**Substance Use Disorder:**

**Medical/Surgical:**

## Sources

Mental Health

- Internal claims analysis
- State and Federal requirements
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

Substance Use Disorder

Medical/Surgical

## Evidentiary Standards

Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.
- A certain number/type of recognized medical literature and professional standards (including comparative effectiveness studies and clinical trials), and published research studies.
- FDA Prescribing Information & Official Compendium

Substance Use Disorder

Medical/Surgical

## Explain

# Standard 9

NQTL Type: medical necessity

Classification: Prescription Drugs

Factor: clinical appropriateness/medical necessity

## Definitions

**Mental Health:** identified measures in place to determine the most appropriate treatment option for participants based on the participant's medical status, the placement of the treatment option on the PDL, and the treatment option's clinical criteria

**Substance Use Disorder:** identified measures in place to determine the most appropriate treatment option for participants based on the participant's medical status, the placement of the treatment option on the PDL, and the treatment option's clinical criteria

**Medical/Surgical:** identified measures in place to determine the most appropriate treatment option for participants based on the participant's medical status, the placement of the treatment option on the PDL, and the treatment option's clinical criteria

## Sources

### Mental Health

- Internal claims analysis
- State and Federal requirements
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Substance Use Disorder

*Question Skipped*

### Medical/Surgical

- Medical expert reviews
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

## Evidentiary Standards

### Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.
- A certain number/type of recognized medical literature and professional standards (including comparative effectiveness studies and clinical trials), and published research studies.
- Process review(s) for auditing claims data to ensure compliance of participant's treatment and service plan in conjunction with their prior authorization
- FDA Prescribing Information & Official Compendium

### Substance Use Disorder

*Question Skipped*

### Medical/Surgical

*Question Skipped*

- A certain number/type of recognized medical literature and professional standards (including comparative effectiveness studies and clinical trials), and published research studies.

## Explain

**Substance Use Disorder:**

# Standard 9

NQTL Type: medical necessity

Classification: Prescription Drugs

Factor: fiscal responsibility/cost effectiveness

## Definitions

**Mental Health:** examination of a drug's actual cost and rebateable status for the State with an emphasis on cost conservation and reduction of waste for the Department while still maintaining the accessibility of care to participants

**Substance Use Disorder:** examination of a drug's actual cost and rebateable status for the State with an emphasis on cost conservation and reduction of waste for the Department while still maintaining the accessibility of care to participants

**Medical/Surgical:** examination of a drug's actual cost and rebateable status for the State with an emphasis on cost conservation and reduction of waste for the Department while still maintaining the accessibility of care to participants

## Sources

### Mental Health

- Internal claims analysis
- State and Federal requirements
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Substance Use Disorder

*Question Skipped*

### Medical/Surgical

- Medical expert reviews
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

## Evidentiary Standards

### Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.
- A certain number/type of recognized medical literature and professional standards (including comparative effectiveness studies and clinical trials), and published research studies.
- Process review(s) for auditing claims data to ensure compliance of participant's treatment and service plan in conjunction with their prior authorization
- FDA Prescribing Information & Official Compendium

### Substance Use Disorder

*Question Skipped*

### Medical/Surgical

*Question Skipped*

## Explain

**Substance Use Disorder:**

**Medical/Surgical:**

# Standard 9

NQTL Type: medical necessity

Classification: Prescription Drugs

Factor: Lack of clinical efficiency of treatment or service

## Definitions

**Mental Health:**

**Substance Use Disorder:** PAs used to approve drugs for participants after failing trials of other drugs or services

**Medical/Surgical:** PAs used to approve drugs for participants after failing trials of other drugs or services

## Sources

Mental Health

Substance Use Disorder

*Question Skipped*

Medical/Surgical

- Medical expert reviews
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

## Evidentiary Standards

Mental Health

Substance Use Disorder

*Question Skipped*

Medical/Surgical

*Question Skipped*

## Explain

**Substance Use Disorder:**

**Medical/Surgical:**

# Standard 9

NQTL Type: medical necessity

Classification: Prescription Drugs

Factor: medication status on preferred drug list (PDL) as determined by the Preferred Drug Program via recommendations by the P&T Committee

## Definitions

**Mental Health:**

**Substance Use Disorder:** A drug's classification within the Preferred Drug List (PDL), which is a list of the Department's Preferred and Non-Preferred drugs developed by the Department's Preferred Drug Program via recommendations made by the Pharmacy & Therapeutics (P&T) Committee

**Medical/Surgical:** A drug's classification within the Preferred Drug List (PDL), which is a list of the Department's Preferred and Non-Preferred drugs developed by the Department's Preferred Drug Program via recommendations made by the Pharmacy & Therapeutics (P&T) Committee

## Sources

Mental Health

Substance Use Disorder  
*Question Skipped*

Medical/Surgical  
• Medical expert reviews

## Evidentiary Standards

Mental Health

Substance Use Disorder  
*Question Skipped*

Medical/Surgical  
*Question Skipped*

## Explain

**Substance Use Disorder:**

**Medical/Surgical:**

# Standard 9

NQTL Type: medical necessity  
Classification: Prescription Drugs  
Factor: Excessive utilization

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** utilization of a service or services beyond that which is deemed medically necessary

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Internal claims analysis
- State and Federal requirements

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

*Question Skipped*

- Utilization is two standard deviations above average utilization per episode of care.
- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

## Explain

# Standard 9

NQTL Type: medical necessity

Classification: Prescription Drugs

Factor: Current and projected demand for services

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** Current and projected demand for services

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Medical expert reviews
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

*Question Skipped*

## Explain

**Medical/Surgical:**

# Standard 9

NQTL Type: medical necessity  
Classification: Prescription Drugs  
Factor: Clinical Literature

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** Clinical literature used by the FDA to approve a drug and medical literature on the use/outcome seen with the drug compared to placebo or to other agents or in different populations.

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Medical expert reviews
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits
- Clinical Literature

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

*Question Skipped*

- Drug Monographs

## Explain

# Standard 9

NQTL Type: medical necessity  
Classification: Prescription Drugs  
Factor: National Practice Guidelines

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** Practice guidelines created by a national government entity or professional organization.

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Medical expert reviews
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits
- Clinical Literature

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

*Question Skipped*

- Drug Monographs

## Explain

# Standard 9

NQTL Type: medical necessity  
Classification: Prescription Drugs  
Factor: FDA drug information

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** Information provided by the drug manufacturer and approved by the FDA

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- FDA

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

*Question Skipped*

- Drug Monographs

## Explain

## Standard 9

NQTL Type: prior authorization/pre authorization

Classification: Outpatient - Other

Category: Mental Health

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N

*No Documents Attached*

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- High levels of variation in length of stay
- Variability in quality
- Severity or chronicity of an illness
- Service type
- Least restrictive appropriate level of care

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Not Applicable

## Standard 9

NQTL Type: prior authorization/pre authorization

Classification: Outpatient - Other

Category: Substance Use Disorder

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N

*No Documents Attached*

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Service type

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Not Applicable

## Standard 9

NQTL Type: prior authorization/pre authorization

Classification: Outpatient - Other

Category: Medical/Surgical

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N

*No Documents Attached*

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Excessive utilization
- High variability in cost per episode of care
- Elasticity of demand
- Service type
- Relative reimbursement rates
- Benefit limitation
- Clinical Guidelines MCG

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Not Applicable

# Standard 9

NQTL Type: prior authorization/pre authorization

Classification: Outpatient - Other

Factor: Excessive utilization

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** utilization of a service or services beyond that which is deemed medically necessary

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Internal claims analysis
- State and Federal requirements

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

*Question Skipped*

## Explain

**Medical/Surgical:**

# Standard 9

NQTL Type: prior authorization/pre authorization  
Classification: Outpatient - Other  
Factor: High variability in cost per episode of care

## Definitions

**Mental Health:**  
**Substance Use Disorder:**  
**Medical/Surgical:** Not Applicable. Did not select in Q P1Q2 and Q3.

## Sources

Mental Health	Substance Use Disorder	Medical/Surgical <ul style="list-style-type: none"><li>• Internal claims analysis</li></ul>
---------------	------------------------	---

## Evidentiary Standards

Mental Health	Substance Use Disorder	Medical/Surgical <i>Question Skipped</i>
---------------	------------------------	---

## Explain

**Medical/Surgical:**

# Standard 9

NQTL Type: prior authorization/pre authorization

Classification: Outpatient - Other

Factor: Elasticity of demand

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** The change in demand, ostensibly referring to the demand for services, based on other factors.

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Medical expert reviews

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

*Question Skipped*

## Explain

**Medical/Surgical:**

# Standard 9

NQTL Type: prior authorization/pre authorization

Classification: Outpatient - Other

Factor: Service type

## Definitions

**Mental Health:** Services with various levels of intensity, including frequency and expected duration;

**Substance Use Disorder:** Services with various levels of intensity, including frequency and expected duration;

**Medical/Surgical:** Services with various levels of intensity, including frequency and expected duration;

## Sources

### Mental Health

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Substance Use Disorder

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Medical/Surgical

- Medical expert reviews

## Evidentiary Standards

### Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Substance Use Disorder

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Medical/Surgical

*Question Skipped*

## Explain

**Medical/Surgical:**

# Standard 9

NQTL Type: prior authorization/pre authorization

Classification: Outpatient - Other

Factor: Relative reimbursement rates

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** reimbursement rates that vary based on parameters such as place of service

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- State and Federal requirements

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

*Question Skipped*

## Explain

**Medical/Surgical:**

# Standard 9

NQTL Type: prior authorization/pre authorization

Classification: Outpatient - Other

Factor: Benefit limitation

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** Benefits, services, drugs, procedures and supplies considered experimental or investigational are not covered pursuant to Medicaid regulations (e.g. lack of approval by FDA, AMA or other body; determination or a medical policy committee and the standards they follow; or other means).

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- State and Federal requirements

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

*Question Skipped*

## Explain

**Medical/Surgical:**

# Standard 9

NQTL Type: prior authorization/pre authorization

Classification: Outpatient - Other

Factor: Clinical Guidelines MCG

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** Compliance with professionally recognized evidence based clinical guidelines used to define clinically appropriate standards of care such as MCG.

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Clinical Guidelines MCG

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

*Question Skipped*

- Compliance with professionally recognized evidence based clinical guidelines used to define clinically appropriate standards of care such as MCG clinical criteria.
- Medical Economics analysis and utilization trends

## Explain

# Standard 9

NQTL Type: prior authorization/pre authorization

Classification: Outpatient - Other

Factor: High levels of variation in length of stay

## Definitions

**Mental Health:** Individuals with the same illness having different courses of improvement.

**Substance Use Disorder:**

**Medical/Surgical:**

## Sources

Mental Health

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

Substance Use Disorder

Medical/Surgical

## Evidentiary Standards

Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

Substance Use Disorder

Medical/Surgical

## Explain

# Standard 9

NQTL Type: prior authorization/pre authorization

Classification: Outpatient - Other

Factor: Variability in quality

## Definitions

**Mental Health:** is individual improving, or is there a need for a change in the treatment plan, monitoring appropriateness and efficacy of treatment.

**Substance Use Disorder:**

**Medical/Surgical:**

## Sources

Mental Health

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

Substance Use Disorder

Medical/Surgical

## Evidentiary Standards

Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

Substance Use Disorder

Medical/Surgical

## Explain

# Standard 9

NQTL Type: prior authorization/pre authorization

Classification: Outpatient - Other

Factor: Severity or chronicity of an illness

## Definitions

**Mental Health:** Level of current symptoms and presence of symptoms over time.

**Substance Use Disorder:**

**Medical/Surgical:**

## Sources

Mental Health

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

Substance Use Disorder

Medical/Surgical

## Evidentiary Standards

Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

Substance Use Disorder

Medical/Surgical

## Explain

**Substance Use Disorder:**

# Standard 9

NQTL Type: prior authorization/pre authorization

Classification: Outpatient - Other

Factor: Least restrictive appropriate level of care

## Definitions

**Mental Health:** lowest level of care the individual can be safely and effectively treated.

**Substance Use Disorder:**

**Medical/Surgical:**

## Sources

Mental Health

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

Substance Use Disorder

Medical/Surgical

## Evidentiary Standards

Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

Substance Use Disorder

Medical/Surgical

## Explain

# Standard 9

NQTL Type: prior authorization/pre authorization

Classification: Outpatient - Other

Factor: Not Applicable

## Definitions

**Mental Health:** NQTL does not apply for this classification

**Substance Use Disorder:** NQTL does not apply for this classification

**Medical/Surgical:** NQTL does not apply for this classification

## Sources

Mental Health

- Not Applicable

Substance Use Disorder

- Not Applicable

Medical/Surgical

- Not Applicable

## Evidentiary Standards

Mental Health

- Not Applicable

Substance Use Disorder

- Not Applicable

Medical/Surgical

*Question Skipped*

## Explain

**Medical/Surgical:**

## Standard 9

NQTL Type: prior authorization/pre authorization

Classification: Inpatient

Category: Mental Health

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N

*No Documents Attached*

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Severity or chronicity of an illness
- Least restrictive appropriate level of care

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Severity or chronicity of an illness
- Not Applicable

## Standard 9

NQTL Type: prior authorization/pre authorization

Classification: Inpatient

Category: Substance Use Disorder

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: Y

Please upload documentation demonstrating that the NQTL type is applied to 100% of benefits in this classification.

Supporting Documents

- Optum Benefits Mapping\_MDH Revisions\_7.17.20.xlsx

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Severity or chronicity of an illness
- Least restrictive appropriate level of care

# Standard 9

NQTL Type: prior authorization/pre authorization

Classification: Inpatient

Category: Medical/Surgical

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: Y

Please upload documentation demonstrating that the NQTL type is applied to 100% of benefits in this classification.

Supporting Documents

- 2020 UMMSHP Clinical Ops Program Description\_final.signed.pdf
- UMHP-2020-Provider-Manual-Final.pdf

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Excessive utilization
- Medicare/Medicaid program participation eligibility
- Service type
- Appropriate care setting
- Clinical Guidelines MCG
- High utilization with variable cost per episode

# Standard 9

NQTL Type: prior authorization/pre authorization

Classification: Inpatient

Factor: Severity or chronicity of an illness

## Definitions

**Mental Health:** Level of current symptoms and presence of symptoms over time.

**Substance Use Disorder:** Level of current symptoms and presence of symptoms over time.

**Medical/Surgical:**

## Sources

### Mental Health

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Substance Use Disorder

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Medical/Surgical

## Evidentiary Standards

### Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Substance Use Disorder

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Medical/Surgical

## Explain

**Mental Health:**

**Substance Use Disorder:**

# Standard 9

NQTL Type: prior authorization/pre authorization

Classification: Inpatient

Factor: Least restrictive appropriate level of care

## Definitions

**Mental Health:** Lowest level of care the individual can be safely and effectively treated.

**Substance Use Disorder:** Lowest level of care the individual can be safely and effectively treated.

**Medical/Surgical:**

## Sources

### Mental Health

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Substance Use Disorder

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Medical/Surgical

## Evidentiary Standards

### Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Substance Use Disorder

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Medical/Surgical

## Explain

**Substance Use Disorder:**

# Standard 9

NQTL Type: prior authorization/pre authorization

Classification: Inpatient

Factor: Not Applicable

## Definitions

**Mental Health:** NQTL does not apply for this classification

**Substance Use Disorder:**

**Medical/Surgical:**

## Sources

Mental Health

- Not Applicable

Substance Use Disorder

Medical/Surgical

## Evidentiary Standards

Mental Health

- Not Applicable

Substance Use Disorder

Medical/Surgical

## Explain

# Standard 9

NQTL Type: prior authorization/pre authorization

Classification: Inpatient

Factor: Excessive utilization

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** utilization of a service or services beyond that which is deemed medically necessary

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- State and Federal requirements
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

## Explain

# Standard 9

NQTL Type: prior authorization/pre authorization

Classification: Inpatient

Factor: Medicare/Medicaid program participation eligibility

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** Verification of provider's participation in Maryland's new Electronic Provider Revalidation and Enrollment Portal (ePREP)

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- State and Federal requirements

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

## Explain

# Standard 9

NQTL Type: prior authorization/pre authorization

Classification: Inpatient

Factor: Service type

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** Services with various levels of intensity, including frequency and expected duration;

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

## Explain

# Standard 9

NQTL Type: prior authorization/pre authorization

Classification: Inpatient

Factor: Appropriate care setting

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** Consideration to most appropriate care setting for service to be provided (i.e. inpatient vs. outpatient, outpatient ambulatory vs outpatient hospital, outpatient ambulatory vs home/community setting)

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Medical expert reviews
- Clinical Guidelines MCG

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

- Compliance with industry recognized standards used to define Fraud, Waste, and Abuse Program Integrity
- Site of Care (Inpatient, SNF, Outpatient, ER)

## Explain

# Standard 9

NQTL Type: prior authorization/pre authorization

Classification: Inpatient

Factor: Clinical Guidelines MCG

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** Compliance with professionally recognized evidence based clinical guidelines used to define clinically appropriate standards of care such as MCG.

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Clinical Guidelines MCG

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

- State regulatory standards for health plan network adequacy.
- Accreditation standards for quality assurance.
- Compliance with industry recognized standards used to define Fraud, Waste, and Abuse Program Integrity

## Explain

# Standard 9

NQTL Type: prior authorization/pre authorization

Classification: Inpatient

Factor: High utilization with variable cost per episode

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** analysis of top 20% of all paid inpatient services where the cost per episode has a variability factor greater than 10%

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- State and Federal requirements
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

## Explain

## Standard 9

NQTL Type: prior authorization/pre authorization

Classification: Outpatient - Office Based

Category: Mental Health

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N

*No Documents Attached*

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- High levels of variation in length of stay
- Variability in quality
- Severity or chronicity of an illness
- Service type
- Least restrictive appropriate level of care

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Not Applicable

## Standard 9

NQTL Type: prior authorization/pre authorization

Classification: Outpatient - Office Based

Category: Substance Use Disorder

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N

*No Documents Attached*

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Service type

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Service type

## Standard 9

NQTL Type: prior authorization/pre authorization

Classification: Outpatient - Office Based

Category: Medical/Surgical

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N

*No Documents Attached*

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Excessive utilization
- High variability in cost per episode of care
- Service type
- Relative reimbursement rates
- Benefit limitation
- Clinical Guidelines MCG

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Clinical Guidelines MCG

- Excessive utilization

# Standard 9

NQTL Type: prior authorization/pre authorization

Classification: Outpatient - Office Based

Factor: High levels of variation in length of stay

## Definitions

**Mental Health:** Individuals with the same illness having different courses of improvement.

**Substance Use Disorder:**

**Medical/Surgical:**

## Sources

Mental Health

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

Substance Use Disorder

Medical/Surgical

## Evidentiary Standards

Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

Substance Use Disorder

Medical/Surgical

## Explain

# Standard 9

NQTL Type: prior authorization/pre authorization

Classification: Outpatient - Office Based

Factor: Variability in quality

## Definitions

**Mental Health:** is individual improving, or is there a need for a change in the treatment plan, monitoring appropriateness and efficacy of treatment.

**Substance Use Disorder:**

**Medical/Surgical:**

## Sources

Mental Health

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

Substance Use Disorder

Medical/Surgical

## Evidentiary Standards

Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

Substance Use Disorder

Medical/Surgical

## Explain

# Standard 9

NQTL Type: prior authorization/pre authorization

Classification: Outpatient - Office Based

Factor: Severity or chronicity of an illness

## Definitions

**Mental Health:** Level of current symptoms and presence of symptoms over time.

**Substance Use Disorder:**

**Medical/Surgical:**

## Sources

Mental Health

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

Substance Use Disorder

Medical/Surgical

## Evidentiary Standards

Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

Substance Use Disorder

Medical/Surgical

## Explain

# Standard 9

NQTL Type: prior authorization/pre authorization

Classification: Outpatient - Office Based

Factor: Service type

## Definitions

**Mental Health:** Services with various levels of intensity, including frequency and expected duration;

**Substance Use Disorder:** Services with various levels of intensity, including frequency and expected duration;

**Medical/Surgical:** Services with various levels of intensity, including frequency and expected duration;

## Sources

### Mental Health

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Substance Use Disorder

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Medical/Surgical

- Medical expert reviews
- State and Federal requirements
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

## Evidentiary Standards

### Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Substance Use Disorder

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

### Medical/Surgical

*Question Skipped*

## Explain

**Substance Use Disorder:**

**Medical/Surgical:**

# Standard 9

NQTL Type: prior authorization/pre authorization

Classification: Outpatient - Office Based

Factor: Least restrictive appropriate level of care

## Definitions

**Mental Health:** lowest level of care the individual can be safely and effectively treated.

**Substance Use Disorder:**

**Medical/Surgical:**

## Sources

Mental Health

- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

Substance Use Disorder

Medical/Surgical

## Evidentiary Standards

Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.

Substance Use Disorder

Medical/Surgical

## Explain

# Standard 9

NQTL Type: prior authorization/pre authorization

Classification: Outpatient - Office Based

Factor: Excessive utilization

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** utilization of a service or services beyond that which is deemed medically necessary

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Internal claims analysis
- Medical expert reviews

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

*Question Skipped*

- Medical Economics analysis and utilization trends

## Explain

**Medical/Surgical:**

# Standard 9

NQTL Type: prior authorization/pre authorization  
Classification: Outpatient - Office Based  
Factor: High variability in cost per episode of care

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** Not Applicable. Did not select in Q P1Q2 and Q3.

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Internal claims analysis

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

*Question Skipped*

## Explain

**Medical/Surgical:**

# Standard 9

NQTL Type: prior authorization/pre authorization

Classification: Outpatient - Office Based

Factor: Relative reimbursement rates

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** reimbursement rates that vary based on parameters such as place of service

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Medical expert reviews
- State and Federal requirements

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

*Question Skipped*

## Explain

**Medical/Surgical:**

# Standard 9

NQTL Type: prior authorization/pre authorization

Classification: Outpatient - Office Based

Factor: Benefit limitation

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** Benefits, services, drugs, procedures and supplies considered experimental or investigational are not covered pursuant to Medicaid regulations (e.g. lack of approval by FDA, AMA or other body; determination or a medical policy committee and the standards they follow; or other means).

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- State and Federal requirements
- Medicaid Fee Schedule

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

*Question Skipped*

## Explain

**Medical/Surgical:**

# Standard 9

NQTL Type: prior authorization/pre authorization

Classification: Outpatient - Office Based

Factor: Clinical Guidelines MCG

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** Compliance with professionally recognized evidence based clinical guidelines used to define clinically appropriate standards of care such as MCG.

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Clinical Guidelines MCG

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

*Question Skipped*

- Compliance with professionally recognized evidence based clinical guidelines used to define clinically appropriate standards of care such as MCG clinical criteria.

## Explain

# Standard 9

NQTL Type: prior authorization/pre authorization

Classification: Outpatient - Office Based

Factor: Not Applicable

## Definitions

**Mental Health:** NQTL does not apply for this classification

**Substance Use Disorder:**

**Medical/Surgical:**

## Sources

Mental Health

- Not Applicable

Substance Use Disorder

Medical/Surgical

## Evidentiary Standards

Mental Health

- Not Applicable

Substance Use Disorder

Medical/Surgical

## Explain

# Standard 9

NQTL Type: prior authorization/pre authorization

Classification: Prescription Drugs

Category: Mental Health

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N

*No Documents Attached*

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

## Relied Upon

- An approval required from the Department or its designee before a drug is dispensed

## Considered

- Lack of clinical efficiency of treatment or service
- Severity or chronicity of an illness
- Licensure, certification, accreditation and/or experience requirements for providers to join provider network
- Medication status on Preferred Drug List (PDL) as determined by the Preferred Drug Program via recommendations by the Pharmacy & Therapeutics (P&T) Committee
- Internal auditing for treatment compliance via concurrent review of treatment plans and medical documentation

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

## Relied Upon

- An approval required from the Department or its designee before a drug is dispensed

## Considered

- Lack of clinical efficiency of treatment or service
- Severity or chronicity of an illness
- Licensure, certification, accreditation and/or experience requirements for providers to join provider network
- Medication status on Preferred Drug List (PDL) as determined by the Preferred Drug Program via recommendations by the Pharmacy & Therapeutics (P&T) Committee
- Internal auditing for treatment compliance via concurrent review of treatment plans and medical documentation

## Standard 9

NQTL Type: prior authorization/pre authorization

Classification: Prescription Drugs

Category: Substance Use Disorder

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N

*No Documents Attached*

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Fail-first protocol or requirement to try a generic, less expensive, or lower efficacy drug for a certain trial period before receiving approval for a new drug
- clinical appropriateness/medical necessity

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Fail-first protocol or requirement to try a generic, less expensive, or lower efficacy drug for a certain trial period before receiving approval for a new drug
- clinical appropriateness/medical necessity

# Standard 9

NQTL Type: prior authorization/pre authorization

Classification: Prescription Drugs

Category: Medical/Surgical

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: N

*No Documents Attached*

P1Q2: Identify all of the factors considered in the decision of whether or not to apply this NQTL type to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

- Medical Necessity

Considered

- Safety risks
- Lower Cost Generic

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

- Medical Necessity

Considered

- Safety risks
- Lower Cost Generic

# Standard 9

NQTL Type: prior authorization/pre authorization

Classification: Prescription Drugs

Factor: Lack of clinical efficiency of treatment or service

## Definitions

**Mental Health:** PAs used to approve drugs for participants after failing trials of other drugs or services

**Substance Use Disorder:**

**Medical/Surgical:**

## Sources

Mental Health

- Internal claims analysis
- State and Federal requirements
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

Substance Use Disorder

Medical/Surgical

## Evidentiary Standards

Mental Health

- Process review(s) for auditing claims data to ensure compliance of participant's treatment and service plan in conjunction with their prior authorization
- FDA Prescribing Information & Official Compendium

Substance Use Disorder

Medical/Surgical

## Explain

# Standard 9

NQTL Type: prior authorization/pre authorization

Classification: Prescription Drugs

Factor: Severity or chronicity of an illness

## Definitions

**Mental Health:** Level of current symptoms and presence of symptoms over time.

**Substance Use Disorder:**

**Medical/Surgical:**

## Sources

Mental Health

- Internal claims analysis
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

Substance Use Disorder

Medical/Surgical

## Evidentiary Standards

Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.
- A certain number/type of recognized medical literature and professional standards (including comparative effectiveness studies and clinical trials), and published research studies.
- FDA Prescribing Information & Official Compendium

Substance Use Disorder

Medical/Surgical

## Explain

# Standard 9

NQTL Type: prior authorization/pre authorization

Classification: Prescription Drugs

Factor: Licensure, certification, accreditation and/or experience requirements for providers to join provider network

## Definitions

**Mental Health:** Providers seeking participation in Plan's network must submit a completed credentialing application that includes completed state-generated CAQH application, current/valid license, DEA and/or CDS license, board certifications, and appropriate education

**Substance Use Disorder:**

**Medical/Surgical:**

## Sources

Mental Health

- State and Federal requirements
- National accreditation standards
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

Substance Use Disorder

Medical/Surgical

## Evidentiary Standards

Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.
- A certain number/type of recognized medical literature and professional standards (including comparative effectiveness studies and clinical trials), and published research studies.

Substance Use Disorder

Medical/Surgical

## Explain

# Standard 9

NQTL Type: prior authorization/pre authorization

Classification: Prescription Drugs

Factor: Medication status on Preferred Drug List (PDL) as determined by the Preferred Drug Program via recommendations by the Pharmacy & Therapeutics (P&T) Committee

## Definitions

**Mental Health:** A drug's classification within the Preferred Drug List (PDL), which is a list of the Department's Preferred and Non-Preferred drugs developed by the Department's Preferred Drug Program via recommendations made by the Pharmacy & Therapeutics (P&T) Committee

**Substance Use Disorder:**

**Medical/Surgical:**

## Sources

Mental Health

- Internal claims analysis
- State and Federal requirements
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

Substance Use Disorder

Medical/Surgical

## Evidentiary Standards

Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.
- A certain number/type of recognized medical literature and professional standards (including comparative effectiveness studies and clinical trials), and published research studies.
- FDA Prescribing Information & Official Compendium

Substance Use Disorder

Medical/Surgical

## Explain

# Standard 9

NQTL Type: prior authorization/pre authorization

Classification: Prescription Drugs

Factor: Internal auditing for treatment compliance via concurrent review of treatment plans and medical documentation

## Definitions

**Mental Health:** Process review activities to review a participant's course and efficacy of treatment to determine the approval or renewal of a prior authorization for their drug.

**Substance Use Disorder:**

**Medical/Surgical:**

## Sources

Mental Health

- Internal claims analysis

Substance Use Disorder

Medical/Surgical

## Evidentiary Standards

Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.
- A certain number/type of recognized medical literature and professional standards (including comparative effectiveness studies and clinical trials), and published research studies.
- Process review(s) for auditing claims data to ensure compliance of participant's treatment and service plan in conjunction with their prior authorization
- FDA Prescribing Information & Official Compendium

Substance Use Disorder

Medical/Surgical

## Explain

# Standard 9

NQTL Type: prior authorization/pre authorization

Classification: Prescription Drugs

Factor: An approval required from the Department or its designee before a drug is dispensed

## Definitions

**Mental Health:** Approval required from the Department or its designee before a drug is dispensed

**Substance Use Disorder:**

**Medical/Surgical:**

## Sources

Mental Health

- Internal claims analysis
- State and Federal requirements
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

Substance Use Disorder

Medical/Surgical

## Evidentiary Standards

Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.
- A certain number/type of recognized medical literature and professional standards (including comparative effectiveness studies and clinical trials), and published research studies.
- FDA Prescribing Information & Official Compendium

Substance Use Disorder

Medical/Surgical

## Explain

# Standard 9

NQTL Type: prior authorization/pre authorization

Classification: Prescription Drugs

Factor: Fail-first protocol or requirement to try a generic, less expensive, or lower efficacy drug for a certain trial period before receiving approval for a new drug

## Definitions

**Mental Health:**

**Substance Use Disorder:** Fail-first protocol or requirement to try a generic, less expensive, or lower efficacy drug for a certain trial period before receiving approval for a new drug

**Medical/Surgical:**

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Internal claims analysis
- State and Federal requirements
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.
- A certain number/type of recognized medical literature and professional standards (including comparative effectiveness studies and clinical trials), and published research studies.
- Process review(s) for auditing claims data to ensure compliance of participant's treatment and service plan in conjunction with their prior authorization
- FDA Prescribing Information & Official Compendium

## Explain

**Mental Health:**

# Standard 9

NQTL Type: prior authorization/pre authorization

Classification: Prescription Drugs

Factor: clinical appropriateness/medical necessity

## Definitions

**Mental Health:**

**Substance Use Disorder:** identified measures in place to determine the most appropriate treatment option for participants based on the participant's medical status, the placement of the treatment option on the PDL, and the treatment option's clinical criteria

**Medical/Surgical:**

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Internal claims analysis
- State and Federal requirements
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.
- A certain number/type of recognized medical literature and professional standards (including comparative effectiveness studies and clinical trials), and published research studies.
- FDA dosage limit
- Process review(s) for auditing claims data to ensure compliance of participant's treatment and service plan in conjunction with their prior authorization

## Explain

# Standard 9

NQTL Type: prior authorization/pre authorization

Classification: Prescription Drugs

Factor: Safety risks

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** risk of PA drug compared to other drugs considered

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Medical expert reviews
- State and Federal requirements
- FDA dosage limit

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

- A certain number/type of recognized medical literature and professional standards (including comparative effectiveness studies and clinical trials), and published research studies.
- Standards used by any committees of experts, and required level of qualifications of committee members.
- FDA dosage limit

## Explain

**Mental Health:**

# Standard 9

NQTL Type: prior authorization/pre authorization

Classification: Prescription Drugs

Factor: Medical Necessity

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** clinical criteria developed by the PBM's P&T of physicians and pharmacist experts and adopted by the UMHP P&T network physicians and pharmacists

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Medical expert reviews

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

- A certain number/type of recognized medical literature and professional standards (including comparative effectiveness studies and clinical trials), and published research studies.
- Standards used by any committees of experts, and required level of qualifications of committee members.

## Explain

# Standard 9

NQTL Type: prior authorization/pre authorization

Classification: Prescription Drugs

Factor: Lower Cost Generic

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** lower cost generic availability would be preferred before an equivalent brand-name drug.

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Internal claims analysis

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

- Drug Utilization (generics and brands)

## Explain

## Standard 9

NQTL Type: tiered drug formulary

Classification: Prescription Drugs

Category: Mental Health

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: Y

Please upload documentation demonstrating that the NQTL type is applied to 100% of benefits in this classification.

Supporting Documents

- Maryland\_PDL\_7.1.20.pdf

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- Medication status on Preferred Drug List (PDL) as determined by the Preferred Drug Program via recommendations by the Pharmacy & Therapeutics (P&T) Committee
- clinical appropriateness/medical necessity
- fiscal responsibility/cost effectiveness

## Standard 9

NQTL Type: tiered drug formulary  
Classification: Prescription Drugs  
Category: Substance Use Disorder

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: Y

Please upload documentation demonstrating that the NQTL type is applied to 100% of benefits in this classification.

Supporting Documents

- Maryland\_PDL\_7.1.20.pdf

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

Considered

- clinical appropriateness/medical necessity
- fiscal responsibility/cost effectiveness
- medication status on preferred drug list (PDL) as determined by the Preferred Drug Program via recommendations by the P&T Committee

## Standard 9

NQTL Type: tiered drug formulary

Classification: Prescription Drugs

Category: Medical/Surgical

P1Q1: Is this NQTL type applied to 100% of benefits in this classification? If yes, the Parity Manager™ will not require the maintenance of information about the factors considered in whether or not to apply this NQTL type to a particular benefit. Please note that the Parity Manager™ will require the maintenance of information about the factors considered in the design of how this NQTL type applies.

Answer: Y

Please upload documentation demonstrating that the NQTL type is applied to 100% of benefits in this classification.

Supporting Documents

- UMHP Formulary Design.docx
- UMHP Drug Tiering Definition.docx

P1Q3: Identify all of the factors considered in the design of how this NQTL type applies to a particular benefit. Identify all the factors, including if different factors are used for different benefits within the same classification.

Relied Upon

- Clinical Literature
- FDA drug information
- Medispan
- clinical appropriateness/medical necessity
- fiscal responsibility/cost effectiveness
- medication status on preferred drug list (PDL) as determined by the Preferred Drug Program via recommendations by the P&T Committee

Considered

# Standard 9

NQTL Type: tiered drug formulary

Classification: Prescription Drugs

Factor: Medication status on Preferred Drug List (PDL) as determined by the Preferred Drug Program via recommendations by the Pharmacy & Therapeutics (P&T) Committee

## Definitions

**Mental Health:** A drug's classification within the Preferred Drug List (PDL), which is a list of the Department's Preferred and Non-Preferred drugs developed by the Department's Preferred Drug Program via recommendations made by the Pharmacy & Therapeutics (P&T) Committee

**Substance Use Disorder:**

**Medical/Surgical:**

## Sources

Mental Health

- Internal claims analysis
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

Substance Use Disorder

Medical/Surgical

## Evidentiary Standards

Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.
- A certain number/type of recognized medical literature and professional standards (including comparative effectiveness studies and clinical trials), and published research studies.
- FDA Prescribing Information & Official Compendium

Substance Use Disorder

Medical/Surgical

## Explain

# Standard 9

NQTL Type: tiered drug formulary

Classification: Prescription Drugs

Factor: clinical appropriateness/medical necessity

## Definitions

**Mental Health:** identified measures in place to determine the most appropriate treatment option for participants based on the participant's medical status, the placement of the treatment option on the PDL, and the treatment option's clinical criteria

**Substance Use Disorder:** identified measures in place to determine the most appropriate treatment option for participants based on the participant's medical status, the placement of the treatment option on the PDL, and the treatment option's clinical criteria

**Medical/Surgical:** identified measures in place to determine the most appropriate treatment option for participants based on the participant's medical status, the placement of the treatment option on the PDL, and the treatment option's clinical criteria

## Sources

### Mental Health

- Internal claims analysis
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Substance Use Disorder

*Question Skipped*

### Medical/Surgical

- Medical expert reviews
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

## Evidentiary Standards

### Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.
- A certain number/type of recognized medical literature and professional standards (including comparative effectiveness studies and clinical trials), and published research studies.
- Process review(s) for auditing claims data to ensure compliance of participant's treatment and service plan in conjunction with their prior authorization
- FDA Prescribing Information & Official Compendium

### Substance Use Disorder

*Question Skipped*

### Medical/Surgical

*Question Skipped*

- Drug Monographs

## Explain

**Substance Use Disorder:**

# Standard 9

NQTL Type: tiered drug formulary

Classification: Prescription Drugs

Factor: fiscal responsibility/cost effectiveness

## Definitions

**Mental Health:** examination of a drug's actual cost and rebateable status for the State with an emphasis on cost conservation and reduction of waste for the Department while still maintaining the accessibility of care to participants

**Substance Use Disorder:** examination of a drug's actual cost and rebateable status for the State with an emphasis on cost conservation and reduction of waste for the Department while still maintaining the accessibility of care to participants

**Medical/Surgical:** examination of a drug's actual cost and rebateable status for the State with an emphasis on cost conservation and reduction of waste for the Department while still maintaining the accessibility of care to participants

## Sources

### Mental Health

- Internal claims analysis
- Evidentiary standards, including any published standards as well as internal plan or issuer standards, relied upon to define the factors triggering the application of an NQTL to benefits

### Substance Use Disorder

*Question Skipped*

### Medical/Surgical

- Medical expert reviews

## Evidentiary Standards

### Mental Health

- Compliance with professionally recognized treatment guidelines used to define clinically appropriate standards of care such as ASAM criteria or APA treatment guidelines.
- A certain number/type of recognized medical literature and professional standards (including comparative effectiveness studies and clinical trials), and published research studies.
- Process review(s) for auditing claims data to ensure compliance of participant's treatment and service plan in conjunction with their prior authorization
- FDA Prescribing Information & Official Compendium

### Substance Use Disorder

*Question Skipped*

### Medical/Surgical

*Question Skipped*

- Standards used by any committees of experts, and required level of qualifications of committee members.

## Explain

**Substance Use Disorder:**

# Standard 9

NQTL Type: tiered drug formulary

Classification: Prescription Drugs

Factor: medication status on preferred drug list (PDL) as determined by the Preferred Drug Program via recommendations by the P&T Committee

## Definitions

**Mental Health:**

**Substance Use Disorder:** A drug's classification within the Preferred Drug List (PDL), which is a list of the Department's Preferred and Non-Preferred drugs developed by the Department's Preferred Drug Program via recommendations made by the Pharmacy & Therapeutics (P&T) Committee

**Medical/Surgical:** A drug's classification within the Preferred Drug List (PDL), which is a list of the Department's Preferred and Non-Preferred drugs developed by the Department's Preferred Drug Program via recommendations made by the Pharmacy & Therapeutics (P&T) Committee

## Sources

Mental Health

Substance Use Disorder

*Question Skipped*

Medical/Surgical

- Medical expert reviews

## Evidentiary Standards

Mental Health

Substance Use Disorder

*Question Skipped*

Medical/Surgical

*Question Skipped*

## Explain

**Substance Use Disorder:**

**Medical/Surgical:**

# Standard 9

NQTL Type: tiered drug formulary

Classification: Prescription Drugs

Factor: Clinical Literature

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** Clinical literature used by the FDA to approve a drug and medical literature on the use/outcome seen with the drug compared to placebo or to other agents or in different populations.

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Clinical Literature

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

*Question Skipped*

- Drug Monographs

## Explain

# Standard 9

NQTL Type: tiered drug formulary

Classification: Prescription Drugs

Factor: FDA drug information

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** Information provided by the drug manufacturer and approved by the FDA

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- FDA

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

*Question Skipped*

- Drug Monographs
- Policies and Procedures
- Formulary

## Explain

# Standard 9

NQTL Type: tiered drug formulary

Classification: Prescription Drugs

Factor: Medispan

## Definitions

**Mental Health:**

**Substance Use Disorder:**

**Medical/Surgical:** Medispan is a third-party source of drug information, including pricing and generic/brand status that reflects the FDA drug information.

## Sources

Mental Health

Substance Use Disorder

Medical/Surgical

- Walters Kluwer

## Evidentiary Standards

Mental Health

Substance Use Disorder

Medical/Surgical

*Question Skipped*

- Medispan Drug Pricing

## Explain

## Standard 10

Any parity flags found in Standard 10 are reported below. The number of flags per classification and limit type combination is shown.

<b>Parity Flag</b>			
	<b>Mental Health</b>	<b>Substance Use Disorder</b>	<b>Medical/Surgical</b>
<b>Inpatient</b>	-	-	-
<b>Outpatient - Other</b>	-	-	-
<b>Outpatient - Office Based</b>	-	-	-
<b>Emergency Benefits</b>	-	-	-
<b>Prescription Drugs</b>	-	-	-

Any classification/category/NQTL combination considered incomplete is reported in the table below.

<b>Missing Information</b>			
	<b>Mental Health</b>	<b>Substance Use Disorder</b>	<b>Medical/Surgical</b>
<b>Inpatient</b>	-	-	-
<b>Outpatient - Other</b>	-	-	-
<b>Outpatient - Office Based</b>	-	-	-
<b>Emergency Benefits</b>	-	-	-
<b>Prescription Drugs</b>	-	-	-

# Standard 10

## NQTL: Outlier Management

P1Q1: Identify all of the Operations Measures the Organization regularly collects and analyzes for the purposes of ensuring that this NQTL is applied in compliance with MHPAEA.

	Mental Health	Substance Use Disorder	Medical/Surgical
<b>Inpatient</b>	<ul style="list-style-type: none"> <li>• Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers</li> <li>• Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria</li> <li>• Authorization Denial Rates for MH/SUD</li> <li>• Outlier Management Data</li> </ul>	<ul style="list-style-type: none"> <li>• Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers</li> <li>• Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria</li> <li>• Authorization Denial Rates for MH/SUD</li> <li>• Outlier Management Data</li> </ul>	<ul style="list-style-type: none"> <li>• Concurrent UM Determination Report</li> <li>• Daily Census</li> </ul>
<b>Outpatient - Other</b>	<ul style="list-style-type: none"> <li>• Outlier Management Data</li> </ul>	<ul style="list-style-type: none"> <li>• Outlier Management Data</li> </ul>	<ul style="list-style-type: none"> <li>• Outlier Management Data</li> <li>• Pre-Service UM Determination Report</li> </ul>
<b>Outpatient - Office Based</b>	<ul style="list-style-type: none"> <li>• Outlier Management Data</li> </ul>	<ul style="list-style-type: none"> <li>• Outlier Management Data</li> </ul>	<ul style="list-style-type: none"> <li>• Pre-Service UM Determination Report</li> </ul>
<b>Emergency Benefits</b>	-	-	-
<b>Prescription Drugs</b>	-	-	-

# Standard 10

## NQTL: Service Limitations

P1Q1: Identify all of the Operations Measures the Organization regularly collects and analyzes for the purposes of ensuring that this NQTL is applied in compliance with MHPAEA.

	<b>Mental Health</b>	<b>Substance Use Disorder</b>	<b>Medical/Surgical</b>
<b>Inpatient</b>	-	<ul style="list-style-type: none"> <li>NQTL does not apply to any services in this classification</li> </ul>	<ul style="list-style-type: none"> <li>NQTL does not apply to any services in this classification</li> </ul>
<b>Outpatient - Other</b>	<ul style="list-style-type: none"> <li>NQTL does not apply to any services in this classification</li> </ul>	<ul style="list-style-type: none"> <li>NQTL does not apply to any services in this classification</li> </ul>	<ul style="list-style-type: none"> <li>NQTL does not apply to any services in this classification</li> </ul>
<b>Outpatient - Office Based</b>	<ul style="list-style-type: none"> <li>Authorization Denial Rates for MH/SUD</li> </ul>	<ul style="list-style-type: none"> <li>Authorization Denial Rates for MH/SUD</li> </ul>	<ul style="list-style-type: none"> <li>NQTL does not apply to any services in this classification</li> </ul>
<b>Emergency Benefits</b>	-	-	-
<b>Prescription Drugs</b>	-	-	-

# Standard 10

## NQTL: concurrent review

P1Q1: Identify all of the Operations Measures the Organization regularly collects and analyzes for the purposes of ensuring that this NQTL is applied in compliance with MHPAEA.

	<b>Mental Health</b>	<b>Substance Use Disorder</b>	<b>Medical/Surgical</b>
<b>Inpatient</b>	<ul style="list-style-type: none"> <li>• Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers</li> <li>• Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria</li> <li>• Authorization Denial Rates for MH/SUD</li> </ul>	<ul style="list-style-type: none"> <li>• Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers</li> <li>• Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria</li> <li>• Authorization Denial Rates for MH/SUD</li> </ul>	<ul style="list-style-type: none"> <li>• Concurrent UM Determination Report</li> </ul>
<b>Outpatient - Other</b>	<ul style="list-style-type: none"> <li>• Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers</li> <li>• Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria</li> <li>• Authorization Denial Rates for MH/SUD</li> </ul>	<ul style="list-style-type: none"> <li>• Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers</li> <li>• Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria</li> <li>• Authorization Denial Rates for MH/SUD</li> </ul>	<ul style="list-style-type: none"> <li>• Pre-Service UM Determination Report</li> </ul>
<b>Outpatient - Office Based</b>	<ul style="list-style-type: none"> <li>• Authorization Denial Rates for MH/SUD</li> </ul>	<ul style="list-style-type: none"> <li>• Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers</li> <li>• Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria</li> <li>• Authorization Denial Rates for MH/SUD</li> </ul>	<ul style="list-style-type: none"> <li>• Pre-Service UM Determination Report</li> </ul>
<b>Emergency Benefits</b>	-	-	-
<b>Prescription Drugs</b>	-	-	-

# Standard 10

## NQTL: data collection

P1Q1: Identify all of the Operations Measures the Organization regularly collects and analyzes for the purposes of ensuring that this NQTL is applied in compliance with MHPAEA.

	<b>Mental Health</b>	<b>Substance Use Disorder</b>	<b>Medical/Surgical</b>
<b>Inpatient</b>	<ul style="list-style-type: none"> <li>NQTL does not apply to any services in this classification</li> </ul>	<ul style="list-style-type: none"> <li>NQTL does not apply to any services in this classification</li> </ul>	-
<b>Outpatient - Other</b>	<ul style="list-style-type: none"> <li>NQTL does not apply to any services in this classification</li> </ul>	<ul style="list-style-type: none"> <li>NQTL does not apply to any services in this classification</li> </ul>	-
<b>Outpatient - Office Based</b>	<ul style="list-style-type: none"> <li>Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers</li> <li>Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria</li> <li>Data Collection - Data Capture extract</li> </ul>	<ul style="list-style-type: none"> <li>Data Collection - Data Capture extract</li> </ul>	-
<b>Emergency Benefits</b>	<ul style="list-style-type: none"> <li>NQTL does not apply to any services in this classification</li> </ul>	<ul style="list-style-type: none"> <li>NQTL does not apply to any services in this classification</li> </ul>	-
<b>Prescription Drugs</b>	-	-	-

# Standard 10

## NQTL: fail first requirements/step therapy

P1Q1: Identify all of the Operations Measures the Organization regularly collects and analyzes for the purposes of ensuring that this NQTL is applied in compliance with MHPAEA.

	Mental Health	Substance Use Disorder	Medical/Surgical
<b>Inpatient</b>	<ul style="list-style-type: none"> <li>NQTL does not apply to any services in this classification</li> </ul>	<ul style="list-style-type: none"> <li>NQTL does not apply to any services in this classification</li> </ul>	<ul style="list-style-type: none"> <li>NQTL does not apply to any services in this classification</li> </ul>
<b>Outpatient - Other</b>	<ul style="list-style-type: none"> <li>NQTL does not apply to any services in this classification</li> </ul>	<ul style="list-style-type: none"> <li>NQTL does not apply to any services in this classification</li> </ul>	<ul style="list-style-type: none"> <li>Degree of discretion exercised by utilization review staff</li> <li>Pre-Service UM Determination Report</li> </ul>
<b>Outpatient - Office Based</b>	<ul style="list-style-type: none"> <li>Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers</li> <li>Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria</li> <li>Authorization Denial Rates for MH/SUD</li> </ul>	<ul style="list-style-type: none"> <li>NQTL does not apply to any services in this classification</li> </ul>	<ul style="list-style-type: none"> <li>NQTL does not apply to any services in this classification</li> </ul>
<b>Emergency Benefits</b>	-	-	-
<b>Prescription Drugs</b>	<ul style="list-style-type: none"> <li>Type and level of documentation (e.g., chart notes, lab results, treatment plans, etc.) the health plan requires from providers during reviews</li> <li>Utilization trends</li> <li>Internal monitoring of prior authorizations to determine compliance of treatment/service plans for drug efficacy based on concurrent review of treatment plans, service usage, and drug utilization</li> <li>Clinical Criteria applied based on FDA labeling and requirements and Official Compendium</li> </ul>	<ul style="list-style-type: none"> <li>Type and level of documentation (e.g., chart notes, lab results, treatment plans, etc.) the health plan requires from providers during reviews</li> <li>Utilization trends</li> <li>Internal monitoring of prior authorizations to determine compliance of treatment/service plans for drug efficacy based on concurrent review of treatment plans, service usage, and drug utilization</li> <li>Clinical Criteria applied based on FDA labeling and requirements and Official Compendium</li> </ul>	<ul style="list-style-type: none"> <li>Prior authorization statistics</li> </ul>

# Standard 10

## NQTL: medical necessity

P1Q1: Identify all of the Operations Measures the Organization regularly collects and analyzes for the purposes of ensuring that this NQTL is applied in compliance with MHPAEA.

	<b>Mental Health</b>	<b>Substance Use Disorder</b>	<b>Medical/Surgical</b>
<b>Inpatient</b>	<ul style="list-style-type: none"> <li>• Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers</li> <li>• Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria</li> <li>• Authorization Denial Rates for MH/SUD</li> </ul>	<ul style="list-style-type: none"> <li>• Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers</li> <li>• Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria</li> <li>• Authorization Denial Rates for MH/SUD</li> </ul>	<ul style="list-style-type: none"> <li>• Concurrent UM Determination Report</li> <li>• Pre-Service UM Determination Report</li> </ul>
<b>Outpatient - Other</b>	<ul style="list-style-type: none"> <li>• Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers</li> <li>• Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria</li> <li>• Authorization Denial Rates for MH/SUD</li> </ul>	<ul style="list-style-type: none"> <li>• Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers</li> <li>• Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria</li> </ul>	<ul style="list-style-type: none"> <li>• Concurrent UM Determination Report</li> <li>• Pre-Service UM Determination Report</li> </ul>
<b>Outpatient - Office Based</b>	<ul style="list-style-type: none"> <li>• Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers</li> <li>• Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria</li> <li>• Authorization Denial Rates for MH/SUD</li> </ul>	<ul style="list-style-type: none"> <li>• Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers</li> <li>• Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria</li> <li>• Authorization Denial Rates for MH/SUD</li> </ul>	<ul style="list-style-type: none"> <li>• Concurrent UM Determination Report</li> <li>• Pre-Service UM Determination Report</li> </ul>
<b>Emergency Benefits</b>	<ul style="list-style-type: none"> <li>• NQTL does not apply to any services in this classification</li> </ul>	<ul style="list-style-type: none"> <li>• NQTL does not apply to any services in this classification</li> </ul>	<ul style="list-style-type: none"> <li>• ER Access</li> </ul>
<b>Prescription Drugs</b>	<ul style="list-style-type: none"> <li>• Type and level of documentation (e.g., chart notes, lab results, treatment plans, etc.) the health plan requires from providers during reviews</li> <li>• Utilization trends</li> <li>• Internal monitoring of prior authorizations to determine compliance of treatment/service plans for drug efficacy based on concurrent review of treatment plans, service usage, and drug utilization</li> <li>• Clinical Criteria applied based on FDA labeling and requirements and Official Compendium</li> </ul>	<ul style="list-style-type: none"> <li>• Type and level of documentation (e.g., chart notes, lab results, treatment plans, etc.) the health plan requires from providers during reviews</li> <li>• Utilization trends</li> <li>• Internal monitoring of prior authorizations to determine compliance of treatment/service plans for drug efficacy based on concurrent review of treatment plans, service usage, and drug utilization</li> <li>• Clinical Criteria applied based on FDA labeling and requirements and Official Compendium</li> </ul>	<ul style="list-style-type: none"> <li>• Prior authorization statistics</li> <li>• PA Criteria</li> </ul>

# Standard 10

## NQTL: prior authorization/pre authorization

P1Q1: Identify all of the Operations Measures the Organization regularly collects and analyzes for the purposes of ensuring that this NQTL is applied in compliance with MHPAEA.

	Mental Health	Substance Use Disorder	Medical/Surgical
<b>Inpatient</b>	<ul style="list-style-type: none"> <li>• Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers</li> <li>• Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria</li> <li>• Authorization Denial Rates for MH/SUD</li> </ul>	<ul style="list-style-type: none"> <li>• Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers</li> <li>• Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria</li> <li>• Authorization Denial Rates for MH/SUD</li> </ul>	<ul style="list-style-type: none"> <li>• Pre-Service UM Determination Report</li> </ul>
<b>Outpatient - Other</b>	<ul style="list-style-type: none"> <li>• Authorization Denial Rates for MH/SUD</li> </ul>	<ul style="list-style-type: none"> <li>• Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers</li> <li>• Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria</li> <li>• Authorization Denial Rates for MH/SUD</li> </ul>	<ul style="list-style-type: none"> <li>• Pre-Service UM Determination Report</li> </ul>
<b>Outpatient - Office Based</b>	<ul style="list-style-type: none"> <li>• Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers</li> <li>• Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria</li> <li>• Authorization Denial Rates for MH/SUD</li> </ul>	<ul style="list-style-type: none"> <li>• Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers</li> <li>• Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria</li> <li>• Authorization Denial Rates for MH/SUD</li> </ul>	<ul style="list-style-type: none"> <li>• Pre-Service UM Determination Report</li> </ul>
<b>Emergency Benefits</b>	-	-	-
<b>Prescription Drugs</b>	<ul style="list-style-type: none"> <li>• Type and level of documentation (e.g., chart notes, lab results, treatment plans, etc.) the health plan requires from providers during reviews</li> <li>• Utilization trends</li> <li>• Internal monitoring of prior authorizations to determine compliance of treatment/service plans for drug efficacy based on concurrent review of treatment plans, service usage, and drug utilization</li> <li>• Clinical Criteria applied based on FDA labeling and requirements and Official Compendium</li> </ul>	<ul style="list-style-type: none"> <li>• Type and level of documentation (e.g., chart notes, lab results, treatment plans, etc.) the health plan requires from providers during reviews</li> <li>• Utilization trends</li> <li>• Internal monitoring of prior authorizations to determine compliance of treatment/service plans for drug efficacy based on concurrent review of treatment plans, service usage, and drug utilization</li> <li>• Clinical Criteria applied based on FDA labeling and requirements and Official Compendium</li> </ul>	<ul style="list-style-type: none"> <li>• Dollar spend trends</li> <li>• Prior authorization statistics</li> <li>• Utilization trends</li> <li>• Policies &amp; Procedures</li> </ul>

# Standard 10

## NQTL: tiered drug formulary

P1Q1: Identify all of the Operations Measures the Organization regularly collects and analyzes for the purposes of ensuring that this NQTL is applied in compliance with MHPAEA.

	Mental Health	Substance Use Disorder	Medical/Surgical
<b>Inpatient</b>	-	-	-
<b>Outpatient - Other</b>	-	-	-
<b>Outpatient - Office Based</b>	-	-	-
<b>Emergency Benefits</b>	-	-	-
<b>Prescription Drugs</b>	<ul style="list-style-type: none"> <li>Type and level of documentation (e.g., chart notes, lab results, treatment plans, etc.) the health plan requires from providers during reviews</li> <li>Utilization trends</li> <li>Internal monitoring of prior authorizations to determine compliance of treatment/service plans for drug efficacy based on concurrent review of treatment plans, service usage, and drug utilization</li> <li>Clinical Criteria applied based on FDA labeling and requirements and Official Compendium</li> </ul>	<ul style="list-style-type: none"> <li>Type and level of documentation (e.g., chart notes, lab results, treatment plans, etc.) the health plan requires from providers during reviews</li> <li>Utilization trends</li> <li>Internal monitoring of prior authorizations to determine compliance of treatment/service plans for drug efficacy based on concurrent review of treatment plans, service usage, and drug utilization</li> <li>Clinical Criteria applied based on FDA labeling and requirements and Official Compendium</li> </ul>	<ul style="list-style-type: none"> <li>Policies &amp; Procedures</li> <li>Drug Monographs</li> <li>P&amp;T Minutes</li> <li>Formulary</li> </ul>

# Standard 10

NQTL Type: Outlier Management

Classification: Inpatient

Category: Medical/Surgical

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

## **Daily Census**

Supporting Documents

- Daily Census\_UMHP\_052120-052620.xlsx
- UMHP Summary YTD\_2019\_12\_23 12\_27.xlsx

## **Concurrent UM Determination Report**

Supporting Documents

- UM Determination Report\_2020Aug\_UMHP\_ConcurrentReviews.xlsx

## Standard 10

NQTL Type: Outlier Management

Classification: Inpatient

Category: Mental Health

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria**

Supporting Documents

- ATTACHMENT M Contract - ASO PBHS 20-18319 (1).pdf

### **Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers**

Supporting Documents

- ATTACHMENT M Contract - ASO PBHS 20-18319 (1).pdf

### **Outlier Management Data**

Supporting Documents

- Sample monthly FWA report - Standard Template - MD.xlsx

### **Authorization Denial Rates for MH/SUD**

Supporting Documents

- Auth Stats for June 2020.xlsx

## Standard 10

NQTL Type: Outlier Management

Classification: Inpatient

Category: Substance Use Disorder

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **Outlier Management Data**

Supporting Documents

- Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

### **Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria**

Supporting Documents

- MDH ASO RFP OPASS 20-18319 11.29.18 (7).pdf

### **Authorization Denial Rates for MH/SUD**

Supporting Documents

- Auth Stats for June 2020.xlsx

### **Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers**

Supporting Documents

- MDH ASO RFP OPASS 20-18319 11.29.18 (7).pdf

## Standard 10

NQTL Type: Outlier Management

Classification: Outpatient - Other

Category: Medical/Surgical

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **Outlier Management Data**

Supporting Documents

- 1.Sample monthly FWA report - Standard Template - MD.xlsx

### **Pre-Service UM Determination Report**

Supporting Documents

- UM Determination Report\_2020Aug\_UMHP\_Preservice.xlsx

## Standard 10

NQTL Type: Outlier Management

Classification: Outpatient - Other

Category: Mental Health

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **Outlier Management Data**

Supporting Documents

- 1.Sample monthly FWA report - Standard Template - MD.xlsx

## Standard 10

NQTL Type: Outlier Management  
Classification: Outpatient - Other  
Category: Substance Use Disorder

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **Outlier Management Data**

#### Supporting Documents

- 1.Sample monthly FWA report - Standard Template - MD.xlsx

## Standard 10

NQTL Type: Outlier Management  
Classification: Outpatient - Office Based  
Category: Medical/Surgical

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **Pre-Service UM Determination Report**

Supporting Documents

- UM Determination Report\_2020Aug\_UMHP\_Preservice.xlsx

# Standard 10

NQTL Type: Outlier Management  
Classification: Outpatient - Office Based  
Category: Mental Health

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

## **Outlier Management Data**

### Supporting Documents

- 1.Sample monthly FWA report - Standard Template - MD.xlsx

# Standard 10

NQTL Type: Outlier Management  
Classification: Outpatient - Office Based  
Category: Substance Use Disorder

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

## **Outlier Management Data**

### Supporting Documents

- 1.Sample monthly FWA report - Standard Template - MD.xlsx

## Standard 10

NQTL Type: Service Limitations

Classification: Inpatient

Category: Medical/Surgical

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **NQTL does not apply to any services in this classification**

#### Supporting Documents

- Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

## Standard 10

NQTL Type: Service Limitations

Classification: Inpatient

Category: Substance Use Disorder

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **NQTL does not apply to any services in this classification**

#### Supporting Documents

- Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

## Standard 10

NQTL Type: Service Limitations

Classification: Outpatient - Other

Category: Medical/Surgical

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **NQTL does not apply to any services in this classification**

#### Supporting Documents

- Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

## Standard 10

NQTL Type: Service Limitations

Classification: Outpatient - Other

Category: Mental Health

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **NQTL does not apply to any services in this classification**

#### Supporting Documents

- Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

## Standard 10

NQTL Type: Service Limitations

Classification: Outpatient - Other

Category: Substance Use Disorder

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **NQTL does not apply to any services in this classification**

#### Supporting Documents

- DO NOT APPLY NQTLS to OP -Other SUD v2.0.docx

# Standard 10

NQTL Type: Service Limitations

Classification: Outpatient - Office Based

Category: Medical/Surgical

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

## **NQTL does not apply to any services in this classification**

### Supporting Documents

- Maryland Medicaid\_Parity Analysis Definitions\_9.10.20.pdf

## Standard 10

NQTL Type: Service Limitations

Classification: Outpatient - Office Based

Category: Mental Health

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **Authorization Denial Rates for MH/SUD**

#### Supporting Documents

- Auth Stats for June 2020.xlsx

## Standard 10

NQTL Type: Service Limitations

Classification: Outpatient - Office Based

Category: Substance Use Disorder

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **Authorization Denial Rates for MH/SUD**

Supporting Documents

- Auth Stats for June 2020.xlsx

## Standard 10

NQTL Type: concurrent review

Classification: Inpatient

Category: Medical/Surgical

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **Concurrent UM Determination Report**

Supporting Documents

- UM Determination Report\_2020Aug\_UMHP\_ConcurrentReviews.xlsx

## Standard 10

NQTL Type: concurrent review

Classification: Inpatient

Category: Mental Health

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers**

Supporting Documents

- ATTACHMENT M Contract - ASO PBHS 20-18319 (1).pdf

### **Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria**

Supporting Documents

- ATTACHMENT M Contract - ASO PBHS 20-18319 (1).pdf

### **Authorization Denial Rates for MH/SUD**

Supporting Documents

- Auth Stats for June 2020.xlsx

## Standard 10

NQTL Type: concurrent review

Classification: Inpatient

Category: Substance Use Disorder

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers**

Supporting Documents

- ATTACHMENT M Contract - ASO PBHS 20-18319 (1).pdf

### **Authorization Denial Rates for MH/SUD**

Supporting Documents

- Auth Stats for June 2020.xlsx

### **Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria**

Supporting Documents

- ATTACHMENT M Contract - ASO PBHS 20-18319 (1).pdf

## Standard 10

NQTL Type: concurrent review

Classification: Outpatient - Other

Category: Medical/Surgical

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **Pre-Service UM Determination Report**

Supporting Documents

- UM Determination Report\_2020Aug\_UMHP\_Preservice.xlsx

## Standard 10

NQTL Type: concurrent review  
Classification: Outpatient - Other  
Category: Mental Health

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **Authorization Denial Rates for MH/SUD**

Supporting Documents

- Auth Stats for June 2020.xlsx

### **Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers**

Supporting Documents

- ATTACHMENT M Contract - ASO PBHS 20-18319 (1).pdf

### **Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria**

Supporting Documents

- MDH ASO RFP OPASS 20-18319 11.29.18 (7).pdf

## Standard 10

NQTL Type: concurrent review  
Classification: Outpatient - Other  
Category: Substance Use Disorder

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria**

Supporting Documents

- ATTACHMENT M Contract - ASO PBHS 20-18319 (1).pdf

### **Authorization Denial Rates for MH/SUD**

Supporting Documents

- Auth Stats for June 2020.xlsx

### **Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers**

Supporting Documents

- ATTACHMENT M Contract - ASO PBHS 20-18319 (1).pdf

# Standard 10

NQTL Type: concurrent review

Classification: Outpatient - Office Based

Category: Medical/Surgical

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

## **Pre-Service UM Determination Report**

Supporting Documents

- UM Determination Report\_2020Aug\_UMHP\_Preservice.xlsx

## Standard 10

NQTL Type: concurrent review

Classification: Outpatient - Office Based

Category: Mental Health

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **Authorization Denial Rates for MH/SUD**

#### Supporting Documents

- Auth Stats for June 2020 - Sample.xlsx

## Standard 10

NQTL Type: concurrent review

Classification: Outpatient - Office Based

Category: Substance Use Disorder

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **Authorization Denial Rates for MH/SUD**

Supporting Documents

- Auth Stats for June 2020.xlsx

### **Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers**

Supporting Documents

- ATTACHMENT M Contract - ASO PBHS 20-18319 (1).pdf

### **Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria**

Supporting Documents

- ATTACHMENT M Contract - ASO PBHS 20-18319 (1).pdf

## Standard 10

NQTL Type: data collection

Classification: Emergency Benefits

Category: Mental Health

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **NQTL does not apply to any services in this classification**

#### Supporting Documents

- Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

## Standard 10

NQTL Type: data collection

Classification: Emergency Benefits

Category: Substance Use Disorder

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **NQTL does not apply to any services in this classification**

#### Supporting Documents

- Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

## Standard 10

NQTL Type: data collection

Classification: Inpatient

Category: Mental Health

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **NQTL does not apply to any services in this classification**

#### Supporting Documents

- DO NOT APPLY NQTLs to Inpatient-MH.docx

## Standard 10

NQTL Type: data collection

Classification: Inpatient

Category: Substance Use Disorder

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **NQTL does not apply to any services in this classification**

#### Supporting Documents

- Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

## Standard 10

NQTL Type: data collection

Classification: Outpatient - Other

Category: Mental Health

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **NQTL does not apply to any services in this classification**

#### Supporting Documents

- Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

## Standard 10

NQTL Type: data collection

Classification: Outpatient - Other

Category: Substance Use Disorder

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **NQTL does not apply to any services in this classification**

#### Supporting Documents

- DO NOT APPLY NQTLS to OP -Other SUD.docx

## Standard 10

NQTL Type: data collection

Classification: Outpatient - Office Based

Category: Mental Health

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria**

Supporting Documents

- ATTACHMENT M Contract - ASO PBHS 20-18319 (1).pdf

### **Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers**

Supporting Documents

- ATTACHMENT M Contract - ASO PBHS 20-18319 (1).pdf

### **Data Collection - Data Capture extract**

Supporting Documents

- Data Collection NQTL - Data Capture.docx

# Standard 10

NQTL Type: data collection

Classification: Outpatient - Office Based

Category: Substance Use Disorder

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

## **Data Collection - Data Capture extract**

Supporting Documents

- Data Collection NQTL - Data Capture.docx

## Standard 10

NQTL Type: fail first requirements/step therapy

Classification: Inpatient

Category: Medical/Surgical

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **NQTL does not apply to any services in this classification**

#### Supporting Documents

- Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

## Standard 10

NQTL Type: fail first requirements/step therapy

Classification: Inpatient

Category: Mental Health

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **NQTL does not apply to any services in this classification**

#### Supporting Documents

- DO NOT APPLY NQTLs to Inpatient-MH.docx

## Standard 10

NQTL Type: fail first requirements/step therapy

Classification: Inpatient

Category: Substance Use Disorder

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **NQTL does not apply to any services in this classification**

#### Supporting Documents

- Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

## Standard 10

NQTL Type: fail first requirements/step therapy

Classification: Outpatient - Other

Category: Medical/Surgical

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **Pre-Service UM Determination Report**

Supporting Documents

- UM Determination Report\_2020Aug\_UMHP\_Preservice.xlsx

### **Degree of discretion exercised by utilization review staff**

Supporting Documents

- DME - DMS Audiology Review Procedures (8.04.2020).docx

## Standard 10

NQTL Type: fail first requirements/step therapy

Classification: Outpatient - Other

Category: Mental Health

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **NQTL does not apply to any services in this classification**

#### Supporting Documents

- DO NOT APPLY NQTLs to OP-Other-MH.docx

## Standard 10

NQTL Type: fail first requirements/step therapy

Classification: Outpatient - Other

Category: Substance Use Disorder

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **NQTL does not apply to any services in this classification**

#### Supporting Documents

- DO NOT APPLY NQTLS to OP -Other SUD.docx

## Standard 10

NQTL Type: fail first requirements/step therapy

Classification: Outpatient - Office Based

Category: Medical/Surgical

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **NQTL does not apply to any services in this classification**

#### Supporting Documents

- Maryland Medicaid\_Parity Analysis Definitions\_7.16.20.pdf

## Standard 10

NQTL Type: fail first requirements/step therapy

Classification: Outpatient - Office Based

Category: Mental Health

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **Authorization Denial Rates for MH/SUD**

Supporting Documents

- Auth Stats for June 2020 - Sample.xlsx

### **Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria**

Supporting Documents

- ATTACHMENT M Contract - ASO PBHS 20-18319 (1).pdf

### **Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers**

Supporting Documents

- ATTACHMENT M Contract - ASO PBHS 20-18319 (1).pdf

## Standard 10

NQTL Type: fail first requirements/step therapy

Classification: Outpatient - Office Based

Category: Substance Use Disorder

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **NQTL does not apply to any services in this classification**

#### Supporting Documents

- DO NOT APPLY NQTLs to OP-Office SUD.docx

## Standard 10

NQTL Type: fail first requirements/step therapy

Classification: Prescription Drugs

Category: Medical/Surgical

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **Prior authorization statistics**

#### Supporting Documents

- 202007 Summary MONTHLY\_UNIVERSITY\_OF\_MARYLAND\_HEALTH\_PARTNERS\_MCAID\_STANDARD\_PA\_SUMMARIES\_202007.xml
- 2020 May UMHP P&T Committee Presentation.pptx

## Standard 10

NQTL Type: fail first requirements/step therapy

Classification: Prescription Drugs

Category: Mental Health

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **Clinical Criteria applied based on FDA labeling and requirements and Official Compendium**

Supporting Documents

- Maryland\_PDL\_7.1.20.pdf

### **Type and level of documentation (e.g., chart notes, lab results, treatment plans, etc.) the health plan requires from providers during reviews**

Supporting Documents

- Maryland\_PDL\_7.1.20.pdf

### **Internal monitoring of prior authorizations to determine compliance of treatment/service plans for drug efficacy based on concurrent review of treatment plans, service usage, and drug utilization**

Supporting Documents

- PRP PA Audit Process Review 7 27 20 DB.docx

### **Utilization trends**

Supporting Documents

- P & T SOP Revised 02.10.2020 FINAL.docx

## Standard 10

NQTL Type: fail first requirements/step therapy

Classification: Prescription Drugs

Category: Substance Use Disorder

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **Type and level of documentation (e.g., chart notes, lab results, treatment plans, etc.) the health plan requires from providers during reviews**

Supporting Documents

- Maryland\_PDL\_7.1.20.pdf

### **Internal monitoring of prior authorizations to determine compliance of treatment/service plans for drug efficacy based on concurrent review of treatment plans, service usage, and drug utilization**

Supporting Documents

- PRP PA Audit Process Review 7 27 20 DB.docx

### **Clinical Criteria applied based on FDA labeling and requirements and Official Compendium**

Supporting Documents

- Maryland\_PDL\_7.1.20.pdf

### **Utilization trends**

Supporting Documents

- P & T SOP Revised 02.10.2020 FINAL.docx

## Standard 10

NQTL Type: medical necessity

Classification: Emergency Benefits

Category: Medical/Surgical

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **ER Access**

#### Supporting Documents

- UMHA.HS.UM.121 Emergency Services\_km\_cw\_adb.pdf

## Standard 10

NQTL Type: medical necessity

Classification: Emergency Benefits

Category: Mental Health

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **NQTL does not apply to any services in this classification**

#### Supporting Documents

- We DO NOT apply any NQTLs to the Emergency and Pharmacy Benefits.docx

## Standard 10

NQTL Type: medical necessity

Classification: Emergency Benefits

Category: Substance Use Disorder

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **NQTL does not apply to any services in this classification**

#### Supporting Documents

- We DO NOT apply any NQTLs to the Emergency and Pharmacy Benefits.docx

## Standard 10

NQTL Type: medical necessity

Classification: Inpatient

Category: Medical/Surgical

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **Concurrent UM Determination Report**

Supporting Documents

- UM Determination Report\_2020Aug\_UMHP\_ConcurrentReviews.xlsx

### **Pre-Service UM Determination Report**

Supporting Documents

- UM Determination Report\_2020Aug\_UMHP\_Preservice.xlsx

## Standard 10

NQTL Type: medical necessity

Classification: Inpatient

Category: Mental Health

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria**

Supporting Documents

- MDH ASO RFP OPASS 20-18319 11.29.18 (7).pdf

### **Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers**

Supporting Documents

- ATTACHMENT M Contract - ASO PBHS 20-18319 (1).pdf

### **Authorization Denial Rates for MH/SUD**

Supporting Documents

- Auth Stats for June 2020.xlsx

## Standard 10

NQTL Type: medical necessity

Classification: Inpatient

Category: Substance Use Disorder

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers**

Supporting Documents

- ATTACHMENT M Contract - ASO PBHS 20-18319 (1).pdf

### **Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria**

Supporting Documents

- ATTACHMENT M Contract - ASO PBHS 20-18319 (1).pdf

### **Authorization Denial Rates for MH/SUD**

Supporting Documents

- Auth Stats for June 2020 - Sample.xlsx

## Standard 10

NQTL Type: medical necessity

Classification: Outpatient - Other

Category: Medical/Surgical

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **Concurrent UM Determination Report**

Supporting Documents

- UM Determination Report\_2020Aug\_UMHP\_ConcurrentReviews.xlsx

### **Pre-Service UM Determination Report**

Supporting Documents

- UM Determination Report\_2020Aug\_UMHP\_Preservice.xlsx

## Standard 10

NQTL Type: medical necessity  
Classification: Outpatient - Other  
Category: Mental Health

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria**

Supporting Documents

- ATTACHMENT M Contract - ASO PBHS 20-18319 (1).pdf

### **Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers**

Supporting Documents

- ATTACHMENT M Contract - ASO PBHS 20-18319 (1).pdf

### **Authorization Denial Rates for MH/SUD**

Supporting Documents

- Auth Stats for June 2020.xlsx

## Standard 10

NQTL Type: medical necessity  
Classification: Outpatient - Other  
Category: Substance Use Disorder

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria**

Supporting Documents

- ATTACHMENT M Contract - ASO PBHS 20-18319 (1).pdf

### **Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers**

Supporting Documents

- ATTACHMENT M Contract - ASO PBHS 20-18319 (1).pdf

## Standard 10

NQTL Type: medical necessity

Classification: Outpatient - Office Based

Category: Medical/Surgical

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **Pre-Service UM Determination Report**

Supporting Documents

- UM Determination Report\_2020Aug\_UMHP\_Preservice.xlsx

### **Concurrent UM Determination Report**

Supporting Documents

- UM Determination Report\_2020Aug\_UMHP\_ConcurrentReviews.xlsx

## Standard 10

NQTL Type: medical necessity

Classification: Outpatient - Office Based

Category: Mental Health

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers**

Supporting Documents

- MDH ASO RFP OPASS 20-18319 11.29.18 (7).pdf

### **Authorization Denial Rates for MH/SUD**

Supporting Documents

- Auth Stats for June 2020 - Sample.xlsx

### **Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria**

Supporting Documents

- ATTACHMENT M Contract - ASO PBHS 20-18319 (1).pdf

## Standard 10

NQTL Type: medical necessity  
Classification: Outpatient - Office Based  
Category: Substance Use Disorder

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria**

Supporting Documents

- ATTACHMENT M Contract - ASO PBHS 20-18319 (1).pdf

### **Authorization Denial Rates for MH/SUD**

Supporting Documents

- Auth Stats for June 2020.xlsx

### **Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers**

Supporting Documents

- ATTACHMENT M Contract - ASO PBHS 20-18319 (1).pdf

# Standard 10

NQTL Type: medical necessity

Classification: Prescription Drugs

Category: Medical/Surgical

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

## PA Criteria

Supporting Documents

- Sporonox oral capsules Ref# 280-A.pdf
- Protopic Step Therapy Ref # MMT 177-F.pdf
- MMT Global Exception Ref# 569-A.pdf

## Prior authorization statistics

Supporting Documents

- 202007 Summary MONTHLY\_UNIVERSITY\_OF\_MARYLAND\_HEALTH\_PARTNERS\_MCAID\_STANDARD\_PA\_SUMMARIES\_202007 (1).xml

## Standard 10

NQTL Type: medical necessity  
Classification: Prescription Drugs  
Category: Mental Health

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **Utilization trends**

Supporting Documents

- P & T SOP Revised 02.10.2020 FINAL.docx

### **Type and level of documentation (e.g., chart notes, lab results, treatment plans, etc.) the health plan requires from providers during reviews**

Supporting Documents

- Maryland\_PDL\_7.1.20.pdf

### **Clinical Criteria applied based on FDA labeling and requirements and Official Compendium**

Supporting Documents

- Maryland\_PDL\_7.1.20.pdf

### **Internal monitoring of prior authorizations to determine compliance of treatment/service plans for drug efficacy based on concurrent review of treatment plans, service usage, and drug utilization**

Supporting Documents

- PRP PA Audit Process Review 7 27 20 DB.docx

## Standard 10

NQTL Type: medical necessity  
Classification: Prescription Drugs  
Category: Substance Use Disorder

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **Type and level of documentation (e.g., chart notes, lab results, treatment plans, etc.) the health plan requires from providers during reviews**

Supporting Documents

- Maryland\_PDL\_7.1.20.pdf

### **Internal monitoring of prior authorizations to determine compliance of treatment/service plans for drug efficacy based on concurrent review of treatment plans, service usage, and drug utilization**

Supporting Documents

- PRP PA Audit Process Review 7 27 20 DB.docx

### **Clinical Criteria applied based on FDA labeling and requirements and Official Compendium**

Supporting Documents

- Maryland\_PDL\_7.1.20.pdf

### **Utilization trends**

Supporting Documents

- P & T SOP Revised 02.10.2020 FINAL.docx

## Standard 10

NQTL Type: prior authorization/pre authorization

Classification: Inpatient

Category: Medical/Surgical

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **Pre-Service UM Determination Report**

Supporting Documents

- UM Determination Report\_2020Aug\_UMHP\_Preservice.xlsx

## Standard 10

NQTL Type: prior authorization/pre authorization

Classification: Inpatient

Category: Mental Health

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers**

Supporting Documents

- ATTACHMENT M Contract - ASO PBHS 20-18319 (1).pdf

### **Authorization Denial Rates for MH/SUD**

Supporting Documents

- Auth Stats for June 2020.xlsx

### **Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria**

Supporting Documents

- ATTACHMENT M Contract - ASO PBHS 20-18319 (1).pdf

## Standard 10

NQTL Type: prior authorization/pre authorization

Classification: Inpatient

Category: Substance Use Disorder

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **Authorization Denial Rates for MH/SUD**

Supporting Documents

- Auth Stats for June 2020.xlsx

### **Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers**

Supporting Documents

- ATTACHMENT M Contract - ASO PBHS 20-18319 (1).pdf

### **Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria**

Supporting Documents

- ATTACHMENT M Contract - ASO PBHS 20-18319 (1).pdf

## Standard 10

NQTL Type: prior authorization/pre authorization

Classification: Outpatient - Other

Category: Medical/Surgical

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **Pre-Service UM Determination Report**

Supporting Documents

- UM Determination Report\_2020Aug\_UMHP\_Preservice.xlsx

## Standard 10

NQTL Type: prior authorization/pre authorization

Classification: Outpatient - Other

Category: Mental Health

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **Authorization Denial Rates for MH/SUD**

#### Supporting Documents

- Auth Stats for June 2020 - Sample.xlsx

## Standard 10

NQTL Type: prior authorization/pre authorization

Classification: Outpatient - Other

Category: Substance Use Disorder

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **Authorization Denial Rates for MH/SUD**

Supporting Documents

- Auth Stats for June 2020 - Sample.xlsx

### **Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria**

Supporting Documents

- ATTACHMENT M Contract - ASO PBHS 20-18319 (1).pdf

### **Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers**

Supporting Documents

- ATTACHMENT M Contract - ASO PBHS 20-18319 (1).pdf

## Standard 10

NQTL Type: prior authorization/pre authorization

Classification: Outpatient - Office Based

Category: Medical/Surgical

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **Pre-Service UM Determination Report**

Supporting Documents

- UM Determination Report\_2020Aug\_UMHP\_Preservice.xlsx

## Standard 10

NQTL Type: prior authorization/pre authorization

Classification: Outpatient - Office Based

Category: Mental Health

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **Authorization Denial Rates for MH/SUD**

Supporting Documents

- Auth Stats for June 2020.xlsx

### **Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers**

Supporting Documents

- ATTACHMENT M Contract - ASO PBHS 20-18319 (1).pdf

### **Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria**

Supporting Documents

- ATTACHMENT M Contract - ASO PBHS 20-18319 (1).pdf

## Standard 10

NQTL Type: prior authorization/pre authorization

Classification: Outpatient - Office Based

Category: Substance Use Disorder

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **Inter-rater reliability surveys for medical/surgical, mental health and substance use disorder reviewers**

Supporting Documents

- ATTACHMENT M Contract - ASO PBHS 20-18319 (1).pdf

### **Internal audit findings related to coverage determination consistency with the plan's medical necessity criteria**

Supporting Documents

- ATTACHMENT M Contract - ASO PBHS 20-18319 (1).pdf

### **Authorization Denial Rates for MH/SUD**

Supporting Documents

- Auth Stats for June 2020.xlsx

# Standard 10

NQTL Type: prior authorization/pre authorization

Classification: Prescription Drugs

Category: Medical/Surgical

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

## Utilization trends

Supporting Documents

- UMHP Jan-Jun20 FINAL RxInsights\_wREBATES\_Medicaid\_3375766.pdf
- UMHP Jan-Mar20 FINAL RxInsights wREBATES\_Medicaid.pdf
- UMHP Jan-Sep2019 FINAL RxInsights\_Medicaid\_wRebates 3093654.pdf

## Dollar spend trends

Supporting Documents

- UMHP Jan-Jun20 FINAL RxInsights\_wREBATES\_Medicaid\_3375766.pdf
- UMHP Jan-Mar20 FINAL RxInsights wREBATES\_Medicaid.pdf
- UMHP Jan-Sep2019 FINAL RxInsights\_Medicaid\_wRebates 3093654.pdf

## Prior authorization statistics

Supporting Documents

- 2020 August UMHP P&T Committee Presentation.pptx
- 202007 Summary MONTHLY\_UNIVERSITY\_OF\_MARYLAND\_HEALTH\_PARTNERS\_MCAID\_STANDARD\_PA\_SUMMARIES\_202007 (1).xml

## Policies & Procedures

Supporting Documents

- UMHP.HS.PH.04 Pharmacy Prior Authorization.docx

## Standard 10

NQTL Type: prior authorization/pre authorization

Classification: Prescription Drugs

Category: Mental Health

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **Utilization trends**

Supporting Documents

- P & T SOP Revised 02.10.2020 FINAL.docx

### **Type and level of documentation (e.g., chart notes, lab results, treatment plans, etc.) the health plan requires from providers during reviews**

Supporting Documents

- Maryland\_PDL\_7.1.20.pdf

### **Clinical Criteria applied based on FDA labeling and requirements and Official Compendium**

Supporting Documents

- Maryland\_PDL\_7.1.20.pdf

### **Internal monitoring of prior authorizations to determine compliance of treatment/service plans for drug efficacy based on concurrent review of treatment plans, service usage, and drug utilization**

Supporting Documents

- PRP PA Audit Process Review 7 27 20 DB.docx

## Standard 10

NQTL Type: prior authorization/pre authorization

Classification: Prescription Drugs

Category: Substance Use Disorder

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **Utilization trends**

Supporting Documents

- P & T SOP Revised 02.10.2020 FINAL.docx

### **Internal monitoring of prior authorizations to determine compliance of treatment/service plans for drug efficacy based on concurrent review of treatment plans, service usage, and drug utilization**

Supporting Documents

- PRP PA Audit Process Review 7 27 20 DB.docx

### **Clinical Criteria applied based on FDA labeling and requirements and Official Compendium**

Supporting Documents

- Maryland\_PDL\_7.1.20.pdf

### **Type and level of documentation (e.g., chart notes, lab results, treatment plans, etc.) the health plan requires from providers during reviews**

Supporting Documents

- Maryland\_PDL\_7.1.20.pdf

# Standard 10

NQTL Type: tiered drug formulary

Classification: Prescription Drugs

Category: Medical/Surgical

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

## Drug Monographs

Supporting Documents

- UMHP Medical and Clinical Literature.docx
- Sickle Cell Disease Agents External Therapeutic Class Review.pdf

## Formulary

Supporting Documents

- UMHP-Complete-Formulary-Sept.-2020.pdf

## Policies & Procedures

Supporting Documents

- UMHP.HS.PH.03 Formulary Maintenance.docx

## P&T Minutes

Supporting Documents

- UMHP.HS.PH.04 Pharmacy Prior Authorization.docx

## Standard 10

NQTL Type: tiered drug formulary

Classification: Prescription Drugs

Category: Mental Health

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **Type and level of documentation (e.g., chart notes, lab results, treatment plans, etc.) the health plan requires from providers during reviews**

Supporting Documents

- Maryland\_PDL\_7.1.20.pdf

### **Utilization trends**

Supporting Documents

- P & T SOP Revised 02.10.2020 FINAL.docx

### **Internal monitoring of prior authorizations to determine compliance of treatment/service plans for drug efficacy based on concurrent review of treatment plans, service usage, and drug utilization**

Supporting Documents

- PRP PA Audit Process Review 7 27 20 DB.docx

### **Clinical Criteria applied based on FDA labeling and requirements and Official Compendium**

Supporting Documents

- Maryland\_PDL\_7.1.20.pdf

## Standard 10

NQTL Type: tiered drug formulary

Classification: Prescription Drugs

Category: Substance Use Disorder

Upload data or other documents defining or illustrating the Operations Measures. For Accreditation Applicants, upload documents defining the Operations Measures and the most recent minutes from a compliance or operations meeting in which the listed Operations Measure was reviewed and discussed.

### **Utilization trends**

Supporting Documents

- P & T SOP Revised 02.10.2020 FINAL.docx

### **Type and level of documentation (e.g., chart notes, lab results, treatment plans, etc.) the health plan requires from providers during reviews**

Supporting Documents

- Maryland\_PDL\_7.1.20.pdf

### **Clinical Criteria applied based on FDA labeling and requirements and Official Compendium**

Supporting Documents

- Maryland\_PDL\_7.1.20.pdf

### **Internal monitoring of prior authorizations to determine compliance of treatment/service plans for drug efficacy based on concurrent review of treatment plans, service usage, and drug utilization**

Supporting Documents

- PRP PA Audit Process Review 7 27 20 DB.docx