MARYLAND PRIMARY CARE PROGRAM

CARE TRANSFORMATION ARRANGEMENT

This Care Transformation Arrangement ("Arrangement") is between Johns Hopkins Medicine Alliance for Patients, a care transformation organization (the "CTO"), and [name of Practice], (the "Practice") (each a "Party," and collectively the "Parties").

The CTO has been selected by the Centers for Medicare and Medicaid Services ("CMS"), Center for Medicare and Medicaid Innovation ("CMMI"), to serve as a care transformation organization in the Maryland Primary Care Program ("MDPCP"). The Practice is a primary care practice that provides health care services to Medicare beneficiaries, among others, in the State of Maryland.

This Arrangement sets forth the terms and conditions under which the CTO will provide to the Practice certain care transformation services and resources consistent with MDPCP requirements.

1. *Participation Agreements*. Prior to the Effective Date of this Arrangement, the CTO must sign an MDPCP Participation Agreement with CMMI (the "CTO Participation Agreement"). Prior to the Effective Date of this Arrangement, the Practice must sign an MDPCP Participation Agreement with CMMI (the "Practice Participation Agreement"). If either Party does not sign a Participation Agreement with CMMI prior to the Effective Date of this Arrangement, then this Arrangement shall be deemed null ab initio.

2. *Effective Date*. The Effective Date of this Arrangement is the later of January 1, 2019, or January 1 of the year following the date this Arrangement is signed by the last Party to sign it (as indicated by the date associated with that Party's signature). A Party's performance obligations under this Arrangement shall not begin prior to the Effective Date.

3. *Term of Arrangement*. This Arrangement is effective for a minimum of one full Performance Year, which consists of a 12-month period beginning on January 1 of each year, and will renew automatically on January 1 of each year, until terminated by either party in accordance with Section 12 of this Arrangement. This Arrangement is subject to early termination by either Party only if: (1) CMS terminates either the CTO Participation Agreement or the Practice Participation Agreement, or (2) if CMS authorizes, in writing, such early termination of this Arrangement.

4. *Offer and Selection of CTO Services*. The Practice is responsible for meeting the Care Transformation Requirements as listed in Appendix A. The CTO will support the Practice in meeting those requirements including any support specified in the either the CTO or Practice Participation Agreements. The CTO has offered to provide any and all of the CTO Services to the Practice, as listed in the package selected in Appendix B. The CTO offers these same CTO Services to all participating practices within the same service option level and Track.

5. *Care Management Fees*. CMS will calculate the Practice's Care Management Fees ("CMF") according to the CTO Participation Agreement, the Practice Participation Agreement, and the methodologies described therein. In accordance with CTO Option Selection Form A, the CTO will receive **30/50%** of the practice's CMF payment amount calculated by CMS, and the remaining **70/50%** of such CMF payment amount will be paid to the Practice.

6. *Lead Care Manager*. For practices choosing the 50% option, the CTO will provide the Practice with one or more individuals who are fully dedicated to care management functions of the Practice (the "Lead Care Manager"), and additional services selected in accordance with Section 4. For practices choosing the 30% option, the practice will have its own care manager(s) to work in conjunction with the CTO and the CTO's offerings in accordance with Section 4.

7. *Data Sharing and Privacy*. The Practice authorizes the CTO to have access to all clinical data available in the electronic medical records or shared through the State-Designated Health Information Exchange ("HIE"), including personal health information, of MDPCP Beneficiaries attributed to the Practice. The Practice authorizes the CTO to have access via CRISP to quality and utilization reports available to the Practice. The CTO will include a Business Associate Agreement ("BAA") for the Practice to approve. The BAA will govern their data sharing, use, and confidentiality, a copy of which is in Appendix B. Each Party will comply with HIE policies and regulations, including patient education requirements, and will execute any separate agreement that may be required by CRISP.

8. *Notification of Changes in Medicare Enrollment*. The Practice will notify the CTO of any changes to the Practice's Medicare beneficiary enrollment information within thirty (30) days after such changes occur.

9. *No Remuneration Provided*. Neither the CTO nor the Practice has offered, given, or received remuneration in return for, or to induce business other than the business covered under this CTO Arrangement.

10. *Practice of Medicine or Professional Services Not Limited by this Arrangement*. The Arrangement does not limit or restrict in any way the ability of the Practice and its clinician(s) to make medical decisions that they consider in their professional judgment to be in the best interest of a MDPCP Beneficiary.

11. *Compliance with All Applicable Laws*. This Arrangement does not alter or amend the Parties' being bound to comply with all relevant federal and State laws, including, but not limited to, health care fraud and abuse laws, HIPAA, and the Maryland Medical Practice Act. The CTO will continue to be bound by the terms of the CTO Participation Agreement, and the Practice will continue to be bound by the terms of the Practice Participation Agreement.

12. *Termination*. Either Party may terminate this Arrangement annually or earlier by providing written notice of termination to the other Party, CMS and the Program Management Office. If the Practice or CTO decides to terminate this Arrangement for any reason, it must provide written notice in accordance with the notification and termination requirements stated in the applicable MDPCP Participation Agreements. This Arrangement automatically terminates on the Effective Date of the termination of either the CTO Participation Agreement or the Practice Participation Agreement.

13. *Copies and Retention of Arrangement*. The Practice will provide a copy of this Arrangement to the CTO and the Maryland Department of Health, Program Management Office, within thirty (30) days of execution. The CTO will retain copies of this Arrangement for a period of ten (10) years following expiration or termination of the CTO Participation Agreement. The CTO will, upon request, provide copies of this Arrangement to the federal government, including, but not limited to, CMS, the HHS Office of the Inspector General, or the Comptroller General.

14. *Amendments*. The Parties may amend this Arrangement including, but not limited to, the CTO Services offered and provided, at any time upon mutual written consent. The CTO must continue to offer the same CTO Services to all participating practices within the same service option level and Track, as specified in Section 4 of this Arrangement.

IN WITNESS THEREOF, and in acknowledgement of the aforementioned, the authorized representatives of the CTO and the Practice do hereby indicate their approval and consent:

FOR THE CARE TRANSFORMATION ORGANIZATION:

__SAMPLE Only – Do NOT Complete Now___
Signature

__SAMPLE Only – Do NOT Complete Now___
Printed Name

__SAMPLE Only – Do NOT Complete Now___
MDPCP CTO ID

__SAMPLE Only – Do NOT Complete Now___
Title

__SAMPLE Only – Do NOT Complete Now___
Date Signed

FOR THE PRACTICE:

__SAMPLE Only – Do NOT Complete Now___
Signature

__SAMPLE Only – Do NOT Complete Now___
Printed Name

__SAMPLE Only – Do NOT Complete Now___
MDPCP Practice ID

__SAMPLE Only – Do NOT Complete Now___
Title

__SAMPLE Only – Do NOT Complete Now___
Date Signed

Appendix A:

Care Transformation Requirements

Comprehensive Primary Care Functions of Advanced Primary Care	Care Transformation Requirement	Practice Track Requirement
Access and Continuity	1.1 Empanel attributed beneficiaries to practitioner or care team.	Track 1 + 2
	1.2 Ensure attributed beneficiaries have 24/7 access to a care team or practitioner with real-time access to the EHR.	Track 1 + 2
	1.3 Ensure attributed beneficiaries have regular access to the care team or practitioner through at least one alternative care strategy.	Track 2 only
Care Management	2.1 Ensure all empaneled, attributed beneficiaries are risk stratified.	Track 1 + 2
	2.2 Ensure all attributed beneficiaries identified as increased risk and likely to benefit receive targeted, proactive, relationship-based (longitudinal) care management.	Track 1 + 2
	2.3 Ensure attributed beneficiaries receive a follow-up interaction from your practice within one week for ED discharges and two business days for hospital discharges.	Track 1 + 2
	2.4 Ensure targeted, attributed beneficiaries who have received follow-up after ED, hospital discharge, or other triggering events receive short-term (episodic) care management.	Track 1 + 2
	2.5 Ensure attributed beneficiaries in longitudinal care management are engaged in a personalized care planning process, which includes at least their goals, needs, and self-management activities.	Track 2 only
	2.6 Ensure attributed beneficiaries in longitudinal care management have access to comprehensive medication management.	Track 2 only
Comprehensiveness and Coordination across the Continuum of Care	3.1 Ensure coordinated referral management for attributed beneficiaries seeking care from high-volume and/or high-cost specialists as well as EDs and hospitals.	Track 1 + 2
	3.2 Ensure attributed beneficiaries with behavioral health needs have access to care consistent with at least one option from a menu of options for integrated behavioral health supplied to attributed beneficiaries by the Practice	Track 1 + 2
	3.3 Facilitate access to resources that are available in your community for beneficiaries with identified health-related social needs	Track 2 only
Beneficiary & Caregiver Experience	4.1 Convene a Patient-Family/ Caregiver Advisory Council (PFAC) at least annually and integrate PFAC recommendations into care and quality improvement activities.	Track 1 + 2
	4.2 Engage attributed beneficiaries and caregivers in a collaborative process for advance care planning	Track 2 only
Planned Care for Health Outcomes	5.1 Continuously improve your performance on key outcomes, including cost of care, electronic clinical quality measures, beneficiary experience, and utilization measures.	Track 1 + 2

Appendix B:
CTO Services/Personnel Offered and Practice Selection

Package A (50%)

Service Category	Care Requirement & Quality Measure	Description	Staff Type	Ratio of staff (FTE) to practice
Behavioral Health Integration (BHI)	Comprehensiveness & Coordination 3.2, NQF 0004	Access to behavioral health services as part of multi-disciplinary care team either embedded in partner practice sites or who service the geographic region	Psychiatrist Health Behavior Specialist (LCSW-C or LCPC)	.77 to 32 practices 8.2 to 32 practices
Medication Management	Care Management 2.6	Access to pharmacy services as part of multi-disciplinary care team either embedded in partner practice sites or who service the geographic region	Pharmacists	1.1 to 32 practices
Social Determinants Screening & Referral	Comprehensiveness & Coordination 3.3	Assistance with workflows and capabilities to implement screening tools for health-related social needs	Care Manager (RN or LCSW-C)	12.5 to 32 practices
Alternative Care (e.g., Telehealth, home visits)	Access & Continuity 1.3	Technical assistance with establishing processes to connect patients to alternative care solutions such as home-based services (including remote patient monitoring for chronic diseases such as diabetes and CHF), and establishing telemedicine capabilities as needed.	Telemedicine Home Care Coordinators	All practices
Transitional Care Management (TCM)	Care Management 2.2, 2.3, 2.4, 2.5, 2.6	Provide support with identifying patients being discharged from hospital; Provide support with establishing a process for transitional care follow-up	Care Manager (RN or LCSW-C)	12.5 to 32 practices
Care Planning & Self-Management Support	Care Management 2.5, Beneficiary & Caregiver Experience 4.2	Access to Care Manager as part of multi-disciplinary care team either embedded in partner practice sites or who service the geographic region; Completes a comprehensive medical, cognitive, and social needs assessment and creates an individualized care plan for the most vulnerable patients	Care Manager (RN or LCSW-C)	12.5 to 32 practices
Population Health Management & Analytics	Planned Care for Health Outcomes 5.1, eCQMs, Utilization	Access to reports and information to assist practices with identifying areas of opportunities to make improvements	Data Analytics/IT	All practices
Clinical & Claims Data Analysis	Care Management 2.1-2.4, Utilization	Support for implementation of predictive analytics (Johns Hopkins ACG System), as feasible, dependent upon access to claims data, to assist in the optimal deployment of care coordination resources and to guide practices to focus on high-cost, high need patients; Technical assistance and support for utilizing CRISP to obtain real-time alerts from ENS on admissions, emergency department visits, and transfers to skilled nursing facilities; Technical assistance / consulting services to assess gaps and develop an implementation plan to meet program requirements	Quality Improvement & Practice Transformation Coach Data Analytics/IT	All practices
Patient Family Advisory Councils (PFACs)	Beneficiary & Caregiver Experience 4.1	Technical assistance with implementation	Quality Improvement & Practice Transformation Coach	All practices
Quality & Utilization Performance	Planned Care for Health Outcomes 5.1, eCQMs	Access to reports and information to assist practices with identifying areas of opportunities to make improvements	Data Analytics/IT	All practices
24/7 Access	Access & Continuity 1.2	Technical assistance with EMR assessment (e.g. access to a patient portal); Consulting services to assess gaps and develop an implementation plan to meet program requirements	Quality Improvement & Practice Transformation Coach Data Analytics/IT	All practices
Referral Management	Comprehensiveness & Coordination 3.1	Technical assistance with workflows, reporting capabilities, and potential EMR solutions to track referrals and identify high value specialists	Quality Improvement & Practice Transformation Coach Data Analytics/IT	All practices

Example Package B (30%)*

Service Category	Care Requirement & Quality Measure	Description	Staff Type	Ratio of staff (FTE) to practice
Behavioral Health Integration (BHI)	Comprehensiveness & Coordination 3.2, NQF 0004	Access to behavioral health services as part of multi-disciplinary care team (not including a Lead Care Manager) either embedded in partner practice sites or who service the geographic region	Psychiatrist Health Behavior Specialist (LCSW-C or LCPC)	.77 to 32 practices 8.2 to 32 practices
Medication Management	Care Management 2.6	Access to pharmacy services as part of multi-disciplinary care team (not including a Lead Care Manager) either embedded in partner practice sites or who service the geographic region	Pharmacist	1.1 to 32 practices
Social Determinants Screening & Referral	Comprehensiveness & Coordination 3.3	Assistance with workflows and capabilities to implement screening tools for health-related social needs	Care Manager (RN or LCSW-C)	12.5 to 32 practices
Alternative Care (e.g., Telehealth, home visits)	Access & Continuity 1.3	Technical assistance with establishing processes to connect patients to alternative care solutions such as home-based services (including remote patient monitoring for chronic diseases such as diabetes and CHF), and establishing telemedicine capabilities as needed.	- Telemedicine - Home Care Coordinators	All practices
Transitional Care Management (TCM)	Care Management 2.2, 2.3, 2.4, 2.5, 2.6	Provide support with identifying patients being discharged from hospital; Provide support with establishing a process for transitional care follow-up	Care Manager (RN or LCSW-C)	12.5 to 32 practices
Care Planning & Self-Management Support	Care Management 2.5, Beneficiary & Caregiver Experience 4.2	Practice to provide Lead CM; Optional access to Care Manager as part of multi-disciplinary care team either embedded in partner practice sites or who service the geographic region; Completes a comprehensive medical, cognitive, and social needs assessment and creates an individualized care plan for the most vulnerable patients	Care Manager (RN or LCSW-C)	12.5 to 32 practices
Population Health Management & Analytics	Planned Care for Health Outcomes 5.1, eCQMs, Utilization	Access to reports and information to assist practices with identifying areas of opportunities to make improvements	Data Analytics/IT	All practices
Clinical & Claims Data Analysis	Care Management 2.1-2.4, Utilization	Support for implementation of predictive analytics (Johns Hopkins ACG System), as feasible, dependent upon access to claims data, to assist in the optimal deployment of care coordination resources and to guide practices to focus on high-cost, high need patients; Technical assistance and support for utilizing CRISP to obtain real-time alerts from ENS on admissions, emergency department visits, and transfers to skilled nursing facilities; Technical assistance / consulting services to assess gaps and develop an implementation plan to meet program requirements	Quality Improvement & Practice Transformation Coach Data Analytics/IT	All practices
Patient Family Advisory Councils (PFACs)	Beneficiary & Caregiver Experience 4.1	Technical assistance with implementation	Quality Improvement & Practice Transformation Coach	All practices
Quality & Utilization Performance	Planned Care for Health Outcomes 5.1, eCQMs	Access to reports and information to assist practices with identifying areas of opportunities to make improvements	Data Analytics/IT	All practices
24/7 Access	Access & Continuity 1.2	Technical assistance with EMR assessment (e.g. access to a patient portal); Consulting services to assess gaps and develop an implementation plan to meet program requirements	Quality Improvement & Practice Transformation Coach Data Analytics/IT	All practices
Referral Management	Comprehensiveness & Coordination 3.1	Technical assistance with workflows, reporting capabilities, and potential EMR solutions to track referrals and identify high value specialists	Quality Improvement & Practice Transformation Coach Data Analytics/IT	All practices

*Practice will have its own care manager to work in conjunction with the CTO and the CTO's offerings

Final Practice Selection

- ☐ Package A (50%)
- ☐ Package B (30%)

Practice Signature SAMPLE Only – Do NOT Complete Now CTO Signature SAMPLE Only – Do NOT Complete Now

Appendix C:

Business Associate Agreement
between the CTO and the Practice

[Attached hereto]