RECORDS INVENTORY AND RETENTION SCHEDULE COVER SHEET

Schedule Number		
(To be completed by DGS/Records Management Division)	4284	
Agency Information		
Department / Agency	Maryland Department of Health	
Division / Unit	CLIFTON T. PERKINS HOSPITAL CENTER	
Mission Statement/Link to division/unit website	https://health.maryland.gov/perkins/Pages/home.as	
Schedule Information		
Supersedes Schedule(s)		
Amends Schedule(s)	428	
Preparer Information		
Name of Preparer	Walter Zerrlaut	
Title of Preparer	Records Officer Designee	
Preparer Email Address	walter.zerrlaut@maryland.gov	
Preparer Telephone Number	410-767-3598	
Date	6/15/22	
Agency Approval		
Name of Agency Director	Greer Dorsey	
Agency Director Signature	Green A. Dorsey	
Date	6/15/2022	
State Archivist Approval	201	
State Archivist Signature	and salu aim	
Date	9/21/22	

If scanning, scan to Maryland State Archives standards and destroy originals. Scanned images must be kept in accordance with the same retention periods assigned to the paper version of the record. A certificate of records disposal request must be submitted to the MDH Records Management Office for review and approval prior to the disposal of any record materials identified in this records schedule. This includes paper records that are scanned immediately upon receipt, paper records that have reached maturity and electronic records that have reached maturity. After disposal, a disposal certificate will be filed with the Maryland State Archives by the MDH Records Office.

FOR RECORDS RETENTION SCHEDL To be Submitted to the Records Management Division.

Hall of Records Commission

NO. PAGE NO.

			1.10.			
1. Re	questing Agency	2. Division or Bureau of Reque				
Jepar	Department of Mental Hygiene Clifton T. Perkins State Hospital, Business Manager					
3. Au	ithorization Requested (Check only one of the sq	uares below).				
pated. F	ditional accumulation is antici-	there is a continuing Original Original Original Cecords will cease to retained for it their retention after	ilm and destroy origina ols if not microfilmed would l the period of time indicated.			
4. Item No.	5. Description of F Describe records accurately. Include title, for work or activity to which the records relate (cubic or linear feet). Show recommended	orm number, size of documents, e, inclusive dates, and quantity	 Recommendation Hall of Records and Board of Public Works. 			
1	PATIENTS* MEDICAL RECORDS					
	Quantity: 3 open shelves, active; 5 4 file drawers, nonrecord Size: Letter Dates: 1959 Pile Arrangement: Internal - Chronol External - Alphabe Index: DMH 500 Master Register of Ho One open card file, active; of	ogical etical by patient spital Patients -	ve			
	The patient medical record folder con lowing papers;-	tains all or some of the fo	1-			
	Correspondence with correctional instandividual patients Transfer authorizations Description of patient Admission data and memoranda Copies of receipts for prisoners Writs of habeas corpus Patient movement sheets Statistical data sheet Psychiatric notes Physical examinations Treatment cards Medical and nursing notes - Summaries Medication records Reception interview Nursing service notes Medical office statements Patient photographs Accident reports					
7. Age	ency, Division or Bureau Representative					
; ()	ADD E Signature DI to E De	Title	10/5/64			
	ARD E Signature ALBERT	Disable Andrews	Date			
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Archivist

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(8 - 60)
Holl of Records
Commission

REC ST FOR RECORDS RETENTION SCHE, LE (Continuation Sheet)

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4. Item No. 5. Description of Records
Describe records accurately. Include title, form number, size of documents, work or activity to which the records relate, inclusive dates, and quantity (cubic or linear feet). Show recommended retention period.

6. Recommendation of Hall of Records and Board of Public Works.

1 (cont.)

Record folders are transferred from the active to an inactive file upon discharge or death of the patient. Four file drawers of non-record material (extra carbon copies of medical and nursing reports) are filed separately and may be destroyed when legal or administrative value ceases; this material is considered nonrecord within the meaning of the statute governing nonrecord material (Annotated Code of Maryland, 1957 edition as amended, Art. 41, Sec. 179).

RECOMMENDATION: RETAIN FOR FIVE YEARS AFTER DISCHARGE OR DEATH OF
THE PATIENT, THEN MICROFILM AFTER ELIMINATING DUPLICATION OF RECORDS; DESTROY FILES AND RETAIN MICROFILM
PERMANENTLY.

2

MEDICAL AND NURSING NOTES AND MEMORANDA

Quantity: 5 legal files (est.)

Dates: 1959...

File Arr.: Alphabetical and/or chronological

These files are composed of the individual doctors, nurses and attendants daily memoranda and notes relating to the treatment of patients. These notes are summarized periodically and placed in the Patients Medical Records (Item 1) which will be microfilmed. The rough notes are considered to be nonrecord within the meaning of the statute (Ann. Code of Maryland, 1957 edition as amended, Art. 41, Sec. 179), and may be destroyed as soon as no longer needed by the office, since they are "housekeeping type" records.

3

PERSONNEL FILES (EMPLOYEE FOLDERS)

Size: Letter size

Dates: 1959...

Quantity: 5 file drawers (2 active, 3 inactive) File Arr.: Alphabetical, by name of employee

The Personnel Files contain all or some of the following papers:-

Title
Application for State Employment
Correspondence
Notice to be Admitted to the Test (copy)
Labor Registry Application
Request for Temporary Appointment
Medical Examination for Appointment
First Report of Injury
Security Questionnaire
Rating Questionnaire
Notice of Employment
Employee Questionnaire
Medical Examination for Employment
Evaluation Sheet
Reprimands and Exhibits
Disciplinary Suspension Form
Suspension Request
Time Cards

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REC ST FOR RECORDS RETENTION SCHELE (Continuation Sheet)

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5. Description of Records

Describe records occurately. Include title, form number, size of documents, work or activity to which the records relate, inclusive dates, and quantity (cubic or linear feet). Show recommended retention period.

Recommendation of Hall of Records and Board of Public Works.

3 (cont.) Form No.

Q-000-0-000
Office Payroll Memo
Request for X-ray Examination
Syphilis Serology
Employee's Retirement System Application
Employee's Withholding Exemption Certificate
Employee's Maryland Withholding Exemption Certificate
Employee's Record Sheet (attendance)
Report of Employees- Accident or injury
Clothing Receipt
Monthly Probationary Reports
Letter of Resignation

Title

P-2-60 Employee's Release Cut-Off Information Record for file of State Commissioner CB 103

of Personnel

RETAIN FOR FOUR YEARS AFTER TERMINATION OF EMPLOY-RECOMMENDATION: MENT, THEN DESTROY.

4 EMPLOYEE HISTORY CARDS

Size: 5" x 8" cards Dates: 1959...

Quantity: 4 wisible card trays

File Arr.: Alphabetical, by name of employee

The Employee History Cards are composed of two cards for each employee: (1) History Card, and (2) Rating Card. The History Card gives the name, address and telephone number of the employee, the employee number, his sex and color, name of person to be notified in case of accident, employee's birth date and marital status, the department number, employee's Social Security number, his retirement number, rate, date of retirement enrollment, date of approval of physical examination, veteran's number (if any), education code number, locker number, date of appointment and title of position, starting salary, with salary and position changes and dates, termination date and reason for leaving, and remarks. The Rating Card has space for efficiency ratings, names of the raters, and any disciplinary actions taken, with the reasons, dates and results of such actions.

RECOMMENDATION: RETAIN FOR FOUR YEARS AFTER TERMINATION OF EMPLOY-MENT, THEN DESTROY.

5 LEAVE RECORD CARBS

Size: 5" x 8" cards

Dates: 1962...

Quantity: 9 visible card trays

File Arr.: Alphabetical, by name of employee

Audit: State

Leave is recorded by the visible method under the name of the employee, giving the month and day, the type of leave taken, and the type of leaverearned, including compensatory leave.

SCHEDULE FORM HR-RM 1A REC ST FOR RECORDS RETENTION SCHEL LE (8.60) NO. Hall of Records (Continuation Sheet) PAGE Commission NO. 5. Description of Records 6. Recommendation 4. of Hall of Records Describe records occurately. Include title, form number, size of documents, item work or activity to which the records relate, inclusive dates, and quantity and Board of Public (cubic or linear feet). Show recommended retention period. Works. No. RETAIN EMPLOYEE'S LAST LEAVE RECORD CARD PRIOR TO 3 (cont.) RECOMMENDATION: A. TERMINATION OF SERVICE FOR FOUR YEARS OR UNTIL AUDITED, WHICHEVER IS LATER, THEN DESTROY. RETAIN ALL OTHER LEAVE RECORD CARDS FOR THREE YEARS OR UNTIL AUDITED, WHICHEVER IS LATER, THEN DESTROY. EMPLOYEE'S STATUS CARD (MASTER AUTHORIZATION CARD) Quantity: 2 file drawers Dates: 1959... Audit: State audit The Master Authorization Card was replaced in 1964 by the Employee's Status Card, a punched card with interpretation. These cards are the authorization for placing an employee's name on the payroll and for changes in pay or status. The Employee's Status card is returned to the Commissioner of Personnel after the information is transferred to the office personnel records. The recommendation below therefore applies principally to the old Master Authorization Cards but would also apply to the new form if it were retained by the office. RETAIN FOR THREE YEARS OR UNTIL AUDITED, WHICHEVER RECOMMENDATION: IS LATER. THEN DESTROY. 7 GENERAL PERSONNEL FILE Size: Letter size Dates: 1959 ... Quantity: '1 file drawer File Arr.: Alphabetical, by subject The contents of this file include reports and studies and general material relating to employees and employee-employer relations, as follows: -Description Form No. MS 330. 330-A Certification of Eligibles Commissioner of Personnel - Policies & Procedures Grievance Committee Orientation Programs Overtime Personnel Managers

> Physicians Position Requests Problems and Recommendations Reclassification Requests Reclassifications - Pending and completed Employee Regulations Retirement System Certification of Rates and Contributions Salaries and Adjustments

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FORM HR-RM 1A (08.5) Hall of Records Commission

REC ST FOR RECORDS RETENTION SCHEL LE (Continuation Sheet)

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5. Description of Records Describe records accurately. Include title, form number, size of documents, work or activity to which the records relate, inclusive dates, and quantity (cubic or linear feet). Show recommended retention period.

Recommendation of Hall of Records and Board of Public Works.

(cont.)

Staffing in General Turnover **V**acancies Wasserman X-rays

Material having continuing legal or administrative value to the operation of the office should be retained until such value ceases.

Printed and mimeographed material and blank forms are considered nonrecord within the meaning of the statute governing nonrecord material (Ann. Code of Maryland, 1957 Ed. as amended, Art. 41, Sec. 179), and may be destroyed as soon as no longer needed by the office.

RECOMMENDATION: RETAIN FOR THREE YEARS. THEN DESTROY.

GENERAL LEDGER

Quantity: 2 vols. Size: 12" x 14" x 4" Dates: 1959... Audit: State

This record is the final book of entry, arranged by accounts which are updated periodically from the General Accounting Records (Item 12).

RECOMMENDATION: RETAIN PERMANENTLY.

9

BUDGET LEDGER CARDS

Quantity: 1 ledger tray; 2 bundles

Size: 13" x 13" cards

Dates: 1959...

File Arr.: Numerical, by program number

The Budget Ledger cards give the program and object numbers and the title of the item, with space for 58 entries (front and back), under Current Transactions and Cash Transactions. The Current Transactions section of the card gives the date, requisition or purchase order number, the reference, encumbrances and adjustments, and the unencumbered balance. The Cash Transactions section gives the date and reference, budget credits, expenditures, and the cash balance.

RETAIN FOR THREE YEARS OR UNTIL AUDITED, WHICHEVER RECOMMENDATION: IS LATER, THEN DESTROY.

10

PATIENTS LEDGER CARDS

Size: 13" x 13" cards

Dates: 1959...

Quantity: 1 ledger tray

Audit: State

The Patients' Ledger Cards record receipts and withdrawals of patients' personal funds, one card for each patient, giving the name and date, the check or receipt number, and the amount deposited or

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REC ST FOR RECORDS RETENTION SCHELLE (Continuction Sheet)

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5. Description of Records Describe records accurately. Include title, form number, size of documents, work or activity to which the records relate, inclusive dates, and quantity (cubic or linear feet). Show recommended retention period.

Recommendation of Hall of Records and Board of Public Works.

10 (cont.) withdrawn, with the balance carried forward. This information is also included in the General Ledger (Item 8).

RECOMMENDATION: RETAIN FOR FOUR YEARS AFTER THE DEATH OR DISCHARGE

OF A PATIENT OR UNTIL AUDITED, WHICHEVER IS LATER,

THEN DESTROY.

11

INVENTORY RECORDS

Quantity: 25 visible card trays Size: 5" x 8" cards

Dates: 1960...

File Arr.: By object or commodity

An itemized inventory is maintained for:

1. Fixed Assets

Land, Improvements, and Buildings (Form B.P.Inv. 4-53) Motor Vehicle Equipment (Form B.P. Inv. 3-53) Institutional and Office Equipment (Form B.P. Inv. 2-53)

2. Materials and Supplies (Expendables)

Office Supplies - Program I Food and Related Commodities - Program II Fuel Oil, Maintenance Materials and Wearing Apparel - Prog. II Cosmetics, Drugs and Medical Supplies, and Uniforms - Prog. IV Recreation - Program V

Annual Reports are made on the basis of these inventories to the Department of Budget and Procurement for Fixed Assets (Form B.P. Inv. R 101) and for Materials and Supplies (Form B.P. Inv. R 102). See Item 12.

Recommendation A below applies only to the inventory cards for Fixed Assets, and Recommendation B applies only to the cards for Materials and Supplies.

RECOMMENDATION: A. RETAIN INVENTORY CARDS FOR FIXED ASSETS UNTIL THE ITEM IS DISPOSED OF OR REPLACED AND FOR THREE YEARS THEREAFTER OR UNTIL AUDITED, WHICHEVER IS LATER. THEN DESTROY.

RETAIN INVENTORY CARDS FOR MATERIALS AND SUPPLIES В. UNTIL THE CARD IS REPLACED AND FOR THREE YEARS THEREAFTER OR UNTIL AUDITED, WHICHEVER IS LATER, THEN DESTROY.

12

ACCOUNTING RECORDS

Quantity: 10 file drawers Dates: 1959...

File Arr.: Chronological

Audit: State

This item includes all standard State accounting forms, as well as general accounting records which are supporting data to the permanently retained General Ledgers or other books of final entry. In cases in which General Ledgers are not maintained or may be missing

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REG ST FOR RECORDS RETENTION SCHELLE (Continuation Sheet)

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Recommendation of Hall of Records and Board of Public Works.

12 (cont.)

for certain periods, the Cash Receipts and Disbursements Journals or the Counter Cash Books are considered the books of final entry and are to be retained permanently. (Recommendations A and B, below). All other records are considered to have value only for the three-year statutory limitation or, if subject to audit, for three years or until audited, whichever is later. (Recommendation C, below.)

All or some of the following accounting records are found in each State, county or municipal agency:-

Comptroller of the Treasury

Memorandum of Adjustment

B-1-S Distribution of Charges

Transmittal E-1 & E-3

DD-1 Certificate of Deposit and Bank Deposit Slip

Monthly Report of State Funds Collected and R-2 (formerly MR-2) Deposited

> Distribution of Unexpended and Obligated Balandes Monthly Statement of Balances Transmittals (General Schedule G-5, Item 3) Warrants, Paying & Receiving (General Schedules G-3 & G-4, Item 3)

On the last two items above, the State Treasurer's copies are either permanently retained or microfilmed.

Purchasing Bureau (Department of Budget & Procurement)

Requisition for Supplies (also Agency Interoffice Reqn.) 1-4

47-A Purchase Order

Out-of-Schedule Requisition for Supplies 100-16

39-A & 40-A Stores Requisition

CF-2 & CF-3 Copy of Contract Awarded

27-A

100-24 Actual Emergency & Repairs Report

26-A Notice of Award of Contract

Report of Partial Delivery 51

52 Credit Memorandum

Budget Bureau (Dept. of Budget & Procurement)

BB-1 (Revised, formerly BB-1 & BB-2) Budget Schedule Amendment

Report of Fixed Assets BP Inv. R101

Report of Materials & Supplies BP Inv. R102

BP Inv. 31-6 Materials and Supplies Physical Inventory

BP 1-11 Budget Estimates

BB-40 Request for Position Action

General Accounting Records

Audit Reports (Recommendation A, below)

General Ledgers (Rec. A, below)

Receipts and Disbursements Journals and Counter Cash

Books (Rec. B. below)

Receipt copies and stubs, including tax receipts

Paid bills and invoices

Bank deposit slips and receipts

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SCHEDULE NO.

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Recommendation of Hall of Records and Board of Public Works.

12 (cont.)

General Accounting Records (continued)

Bank statements Check stubs and canceled checks Cashiers reports Cash register tapes General Fund cash receipts Memorandum Receipt and Property Condemnation Reports, P. Requisitions for Supplies and Material (internal) Bid form Confirming order Receiving Reports, DMN P2-61, B-108 Delivery Order and Receipt, P-7 Stock Record Card, P-1 Inventory work sheets Daily Store Records, DM 8-D1 and D2 Payroll maintenance cards Notice of cut-off or change within agency Daily and Monthly Time Sheets Priority data Power plant utility reports County Patient Maintenance Bills, DMH-101 Cafeteria Feeding Reports Dietary reports Special dietary reports Daily Menus, DM 8, D 4 Table Journals Food Report Meal Books Canteen Receipts and Withdrawal Tickets

Payroll Accounting

Occupational therapy cash receipts

Payroll Journal (General Schedule G-2, Item 1-d)

Recreation Fund receipts

Payroll Exceptions, Additions and Deductions (Gen. Sched. G-2, Item 2-b)

Payroll Warrants (Gen. Sched. G-3, Item 3)

Farm Report

Payroll Transmittals (Gen. Sched. G-5, Item 3)

State Treasurer's copies of the above four records series are either permanently retained or microfilmed.

RECOMMENDATION: A. RETAIN GENERAL LEDGERS PERMANENTLY.

- B. RETAIN RECEIPTS AND DISBURSEMENTS JOURNALS OR COUNTER CASH BOOKS PERMANENTLY ONLY WHEN GENERAL LEDGERS ARE NOT FOUND FOR THE PERIOD COVERED BY JOURNALS OR CASH BOOKS. OTHERWISE, RECOMMENDA-TION "C" APPLIES.
- C. RETAIN FOR THREE YEARS OR UNTIL AUDITED, WHICHEVER is later, then destroy.

GENERAL FILE

Size: Letter size

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REQUEST FOR RECORDS RETENTION SCHEDULE

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5. Description of Records Describe records accurately. Include title, form number, size of documents, work or activity to which the records relate, inclusive dates, and quantity (cubic or linear feet). Show recommended retention period.

Recommendation of Hall of Records and Board of Public Works.

13 (cont.)

Dates: 1959...

Quantity: 5 file drawers (1 for budget papers) File Arr.: Alphabetical, by subject

The General File consists of general correspondence and original, printed, and mimeographed material under the following principal headings --- (The first series is subject to Recommendation "A", the second subject to Recommendation "B"):

Series I

Administrative Orders Audit Reports Budget:-Budgets by year Capital Improvement

Budgets by year

Hall of Records Insurance Legal Opinions Legislative Visits Loss of State Property Mental Hygiene, Policies

Regulations: -Nursing Service Patients **Visitors** Security, General Security Reports Telephone System

Series II

Budget: - Amendments Forms (blank) Instructions Procurement Requests

Canteen Civil Defense

Clothing Commissioner of Personnel Construction

Communications & Sound System Dormitory Driveway & Playcourt

Electrical Equipment Employee Housing Generator

Kitchen Equipment Laboratory Cabinets Main Building

Road & Parking Lot

Security Alteration & Improvement

Service Building Steel Guard Screens Tile Floor Covering Ventilation

Food Allowance

Air Horn

Food Costs - Daily Reports, (Copies filed in Cafeteria&

in Dept. of Mental Hygiene)

Furnishings Health Dept. I.B.M.

Incentive Awards Industrial Therapy Program Inspection and Licenses

Key Authorizations (Issuance of

keys to personnel) Keying System

Moetings, Agenda & Minutes:-

Administrative

State Board of Health and

Mental Hygiene

Object Transfers (Budget and .

Procurement Requests; Out-of-State Travel

Overtime Payments Patient Statistics

Planning Department (State)

Psychiatric Aid Public Works (State)

Rehabilitation & Recreation

Reimbursements

Reports:-Commitments

Program Safety Donable Food Fire . Sewing Room

Food Linen Storeroom

Salary Projections Salary and Payroll Security, Council Staffing

Staffing Patterns

Supplies

Surplus Property and Agency

Surveys Tax Exemption

Telephone Charge Sheets

Vehicles.

Volunteer Activities

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REQUEST FOR RECORDS RETENTION SCHEDULE (Continuation Sheet)

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5. Description of Records Describe records accurately. Include title, form number, size of documents, work or activity to which the records relate, inclusive dates, and quantity

(cubic or linear feet). Show recommended retention period.

6. Recommendation of Hall of Records and Board of Public

Works.

13 (cont.)

Material having continuing legal or administrative value to the operation of the office should be retained until such value ceases. Printed and mimeographed material and extra copies of correspondence, reports, memoranda, or other records retained in the files are considered nonrecord according to the statute (Ann. Code of Maryland. 1957 Ed. as amended, Article 41, Section 179), and may be destroyed as soon as no longer needed by the office.

RECOMMENDATION: RETAIN PERMANENTLY SERIES I.

RETAIN SERIES II FOR THREE YEARS, THEN DESTROY.